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Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

Comment On: EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

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Submitter Information

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General Comment

Options trading is often misunderstood by the general public and regulators. Certain option purchase and sale approaches have the effect of reducing risk in a portfolio and not increasing it. My personal experience is that it has resulted in increased returns and has also avoided more risky direct stock ownership positions. It would be an egregious mistake to overregulate this area and I suggest that the government need not exercise rule making in this area.