

PUBLIC SUBMISSION

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Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

Comment On: EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

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Submitter Information

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General Comment

I oppose this proposal to change the individual investors current structure of trading stocks and options. As it is currently configured it is possible to utilize options to increase income and reduce risk in purchasing stocks utilizing put and call options. An investor is required to have a certain level of experience and available resources prior to being authorized to make certain trades as it currently stands.

I would expect these changes to in fact to increase costs and complexity for individual investors. Please refrain from imposing these regulations as currently proposed.