

PUBLIC SUBMISSION

As of: 5/15/15 3:12 PM
Received: May 15, 2015
Status: Pending_Post
Tracking No. 1jz-8iv2-3cg9
Comments Due: July 06, 2015
Submission Type: Web

Docket: EBSA-2014-0016
Fiduciary - Conflict of Interest Exemptions

Comment On: EBSA-2014-0016-0001
Proposed Class Exemptions: Principal Transactions in Certain Debt Securities between Investment Advice Fiduciaries and Employee Benefit Plans and IRAs

Document: EBSA-2014-0016-DRAFT-0024
Comment on FR Doc # 2015-08833

Submitter Information

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General Comment

All advisors and decision makers of retirement funds and retirement money investment should be held to the rule of planning and advising what is best for the client. Any commissions, fees, and incentives should be completely stated and transparent to the client.