

# PUBLIC SUBMISSION

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Request for Information on the Fiduciary Rule and Prohibited Transaction Exemptions

**Comment On:** EBSA-2017-0004-0001

Fiduciary Rule and Prohibited Transaction Exemptions; Request for Information

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## General Comment

I am opposed to the Dol rule. There will be many small companies who will have a hard time opening 401k and also the small investor will be ignored or sent to a call center. As a financial advisor I am spending most of my time on paperwork rather than helping my client with their investments and financial goals. It is limiting some of the investments that we can choose for clients that would be suitable for them as now we have to buy bonds out of our inventory. This rule will help the lawyers more than the client and their advisors..