

PUBLIC SUBMISSION

Received: March 15, 2017
Status: Pending_Post
Tracking No. 1k1-8v9x-qgpf
Comments Due: April 17, 2017
Submission Type: Web

Docket: EBSA-2010-0050

Definition of the Term “Fiduciary”; Conflict of Interest Rule—Retirement Investment Advice

Comment On: EBSA-2010-0050-3491

Definition of Term Fiduciary; Conflict of Interest Rule-Retirement Investment

Document: EBSA-2010-0050-DRAFT-15381

Comment on FR Doc # 2017-04096

Submitter Information

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General Comment

This rule was created mainly for the corporate 401-k plans. This investment area has the highest fees and the least qualified advisers. If investors where so interest in a fiduciary then why are all the major brokerage firms still in business? I am a registered investment adviser and i cannot tell you how many prospects that i talk to that could care less. Is the department of labor going to walk into a Merrill Lynch office and examine brokers files? If not then this whole law is a waste of time.