From: James Allen [mailto:james.allen@cfainstitute.org]

Sent: Monday, August 03, 2015 3:05 PM

To: EBSA, E-ORI - EBSA

Subject: testify on Conflict of Interest Rule

Dear Employee Benefits Security Administration Staff:

On behalf of CFA Institute, I would like to request an opportunity for CFA Institute to testify and present its views of the Conflict of Interest rule proposal at the upcoming hearing. We are an organization that represents nearly 130,000 investment analysts, advisers, portfolio managers and other investment professionals, and we represent the views of these investment professionals before standards setters, regulatory authorities and legislative bodies globally.

We delivered our letter in support to the Dept. of Labor on this rule proposal in July, and it also is available on our website at http://www.cfainstitute.org/Comment%20Letters/20150720.pdf. We recognize that this request is delivered subsequent to the deadline, but we ask that you consider our request given the status that we have as representatives of highly professional and highly ethical investment professionals who are very interested both in the development of this rule and its application.

Thank you for your kind consideration.

Kind regards,

James C. Allen, CFA | Head, Capital Markets Policy | Americas CFA Institute | 915 E. High Street | Charlottesville, VA | 24590 434.951.5558 (W) | 202.662.3726 (W) | 434.227.1338 (M)

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