

U.S. Department of Labor

Benefits Review Board
200 Constitution Ave. NW
Washington, DC 20210-0001



BRB No. 22-0469 BLA

JAMES D. COX)	
)	
Claimant-Respondent)	
)	
v.)	
)	
RANGER FUEL CORPORATION)	
)	DATE ISSUED: 11/06/2023
Employer-Petitioner)	
)	
DIRECTOR, OFFICE OF WORKERS')	
COMPENSATION PROGRAMS, UNITED)	
STATES DEPARTMENT OF LABOR)	
)	
Party-in-Interest)	DECISION and ORDER

Appeal of the Decision and Order Awarding Benefits of Lystra A. Harris, Administrative Law Judge, United States Department of Labor.

Joseph E. Wolfe and Brad A. Austin (Wolfe Williams & Reynolds), Norton, Virginia, for Claimant.

Ann B. Rembrandt (Jackson Kelly PLLC), Charleston, West Virginia, for Employer.

Before: GRESH, Chief Administrative Appeals Judge, BUZZARD and ROLFE, Administrative Appeals Judges.

PER CURIAM:

Employer appeals Administrative Law Judge (ALJ) Lystra A. Harris's Decision and Order Awarding Benefits (2019-BLA-05608) rendered on a claim filed pursuant to the

Black Lung Benefits Act, as amended, 30 U.S.C. §§901-944 (2018) (Act). This case involves a subsequent claim filed on April 4, 2018.¹

The ALJ found Claimant established thirty-five years of underground coal mine employment and a totally disabling respiratory or pulmonary impairment. 20 C.F.R. §718.204(b)(2). Thus, she found Claimant invoked the presumption of total disability due to pneumoconiosis at Section 411(c)(4) of the Act,² 30 U.S.C. §921(c)(4) (2018), and established a change in an applicable condition of entitlement.³ 20 C.F.R. §725.309. She further found Employer did not rebut the presumption and awarded benefits.

On appeal, Employer argues the ALJ erred in finding it did not rebut the Section 411(c)(4) presumption.⁴ Claimant responds in support of the award of benefits. The Director, Office of Workers' Compensation Programs, has not filed a response brief.

The Benefits Review Board's scope of review is defined by statute. We must affirm the ALJ's Decision and Order if it is rational, supported by substantial evidence, and in

¹ Claimant filed four previous claims. Director's Exhibits 1-4. The district director denied Claimant's prior claim, filed on May 18, 2010, because he did not establish any element of entitlement. Director's Exhibit 3.

² Section 411(c)(4) of the Act provides a rebuttable presumption that a miner is totally disabled due to pneumoconiosis if he has at least fifteen years of underground or substantially similar surface coal mine employment and a totally disabling respiratory or pulmonary impairment. 30 U.S.C. §921(c)(4) (2018); *see* 20 C.F.R. §718.305.

³ When a miner files a claim for benefits more than one year after the denial of a previous claim becomes final, the ALJ must also deny the subsequent claim unless she finds that "one of the applicable conditions of entitlement . . . has changed since the date upon which the order denying the prior claim became final." 20 C.F.R. §725.309(c); *see White v. New White Coal Co.*, 23 BLR 1-1, 1-3 (2004). The "applicable conditions of entitlement" are "those conditions upon which the prior denial was based." 20 C.F.R. §725.309(c)(3). Because Claimant did not establish any element of entitlement in his prior claim, he had to submit new evidence establishing at least one element of entitlement to obtain review of the merits of his current claim. *White*, 23 BLR at 1-3.

⁴ We affirm, as unchallenged on appeal, the ALJ's findings that Claimant established thirty-five years of underground coal mine employment, total disability, invocation of the Section 411(c)(4) presumption, and a change in an applicable condition of entitlement. *See Skrack v. Island Creek Coal Co.*, 6 BLR 1-710, 1-711 (1983); 20 C.F.R. §§718.204(b)(2), 718.305(b), 725.309(c); Decision and Order at 6, 16-17.

accordance with applicable law.⁵ 33 U.S.C. §921(b)(3), as incorporated by 30 U.S.C. §932(a); *O’Keeffe v. Smith, Hinchman & Grylls Assocs., Inc.*, 380 U.S. 359 (1965).

Rebuttal of the Section 411(c)(4) Presumption

Because Claimant invoked the Section 411(c)(4) presumption, the burden shifted to Employer to establish he has neither legal nor clinical pneumoconiosis,⁶ or that “no part of [his] respiratory or pulmonary total disability was caused by pneumoconiosis as defined in [20 C.F.R.] §718.201.” 20 C.F.R. §718.305(d)(1)(i), (ii). The ALJ found Employer failed to establish rebuttal by either method.⁷

Legal Pneumoconiosis

To disprove legal pneumoconiosis, Employer must establish Claimant does not have a chronic lung disease or impairment “significantly related to, or substantially aggravated by, dust exposure in coal mine employment.” 20 C.F.R. §§718.201(a)(2), (b), 718.305(d)(1)(i)(A); *see Minich v. Keystone Coal Mining Corp.*, 25 BLR 1-149, 1-155 n.8 (2015).

The ALJ considered the medical opinions of Drs. Zaldivar and McSharry that Claimant does not have legal pneumoconiosis but has asthma and fluid accumulation in

⁵ This case arises within the jurisdiction of the United States Court of Appeals for the Fourth Circuit because Claimant performed his coal mine employment in West Virginia. *See Shupe v. Director, OWCP*, 12 BLR 1-200, 1-202 (1989) (en banc); Director’s Exhibit 6.

⁶ “Legal pneumoconiosis” includes “any chronic lung disease or impairment and its sequelae arising out of coal mine employment.” 20 C.F.R. §718.201(a)(2). The definition includes “any chronic pulmonary disease or respiratory or pulmonary impairment significantly related to, or substantially aggravated by, dust exposure in coal mine employment.” 20 C.F.R. §718.201(b). “Clinical pneumoconiosis” consists of “those diseases recognized by the medical community as pneumoconioses, *i.e.*, the conditions characterized by permanent deposition of substantial amounts of particulate matter in the lungs and the fibrotic reaction of the lung tissue to that deposition caused by dust exposure in coal mine employment.” 20 C.F.R. §718.201(a)(1).

⁷ The ALJ found Employer disproved clinical pneumoconiosis. 20 C.F.R. §718.305(d)(1)(i)(B); Decision and Order at 22.

the lungs due to heart disease unrelated to coal mine dust exposure.⁸ Employer's Exhibits 1 at 10; 2 at 7; 4; 5. She found their opinions inadequately explained and thus insufficient to rebut the presumption of legal pneumoconiosis. Decision and Order at 22.

We reject Employer's assertion that the ALJ provided invalid reasons for finding Drs. Zaldivar's and McSharry's opinions not credible. Employer's Brief at 12-22.

Drs. Zaldivar and McSharry acknowledged Claimant never smoked and worked in coal mine employment for at least thirty-six years until 1985. Employer's Exhibits 1 at 2; 2 at 2; 4 at 18, 24; 5 at 10, 13. They diagnosed asthma and opined Claimant's obstructive impairment is due to his cardiac disease. Employer's Exhibits 2 at 8; 4 at 48-49, 55, 58; 5 at 27, 29-31. Further, they excluded coal mine dust exposure as a cause of Claimant's obstructive impairment based, in part, on their shared view that he would not have developed legal pneumoconiosis after leaving the mines because latent and progressive pneumoconiosis is rare. Decision and Order at 22; Employer's Exhibits 4 at 54-55; 5 at 27-28. Dr. Zaldivar stated "there is no history . . . [Claimant] ever had any trouble when he was working insofar as an asthmatic anything," and Dr. McSharry stated he "lived many years after his last exposure to coal with no evidence of lung disease related to pneumoconiosis or any other cause." Employer's Exhibits 4 at 52; 5 at 28.

The ALJ permissibly discredited their reasoning as inconsistent with the regulations' recognition that pneumoconiosis is "a latent and progressive disease which may first become detectable only after the cessation of coal mine dust exposure." 20 C.F.R. §718.201(c); see *Mullins Coal Co. of Va. v. Director, OWCP*, 484 U.S. 135, 151 (1987); *Hobet Mining, LLC v. Epling*, 783 F.3d 498, 506 (4th Cir. 2015) (medical opinion not in accord with the accepted view that pneumoconiosis can be both latent and progressive may be discredited); Decision and Order at 22. Further, the ALJ permissibly found Drs. Zaldivar's and McSharry's opinions unpersuasive because neither physician adequately explained why Claimant's history of coal mine dust exposure did not contribute to or aggravate his obstructive impairment. See *Milburn Colliery Co. v. Hicks*, 138 F.3d 524,

⁸ The ALJ accurately found that the opinions of Drs. Green and Porterfield diagnosing legal pneumoconiosis do not aid Employer in meeting its burden on rebuttal. Decision and Order at 19-20, 22; Director's Exhibit 28 at 1; Claimant's Exhibit 1 at 6-8; Employer's Exhibit 3 at 17-18. Thus, we decline to address Employer's arguments regarding the ALJ's weighing of these opinions. See *Shinseki v. Sanders*, 556 U.S. 396, 413 (2009) (appellant must explain how the "error to which [it] points could have made any difference"); Employer's Brief at 22-26.

533 (4th Cir. 1998); *Clark v. Karst-Robbins Coal Co.*, 12 BLR 1-149, 1-155 (1989) (en banc); Decision and Order at 22; Employer’s Brief at 15-20.

Employer’s arguments amount to a request to reweigh the evidence, which we are not empowered to do. *Anderson v. Valley Camp of Utah, Inc.*, 12 BLR 1-111, 1-113 (1989). Because the ALJ acted within her discretion in rejecting both opinions, we affirm her finding that Employer did not disprove legal pneumoconiosis. *See Looney*, 678 F.3d at 316-17; *Hicks*, 138 F.3d at 533; Decision and Order at 22. Employer’s failure to disprove legal pneumoconiosis precludes a rebuttal finding that Claimant does not have pneumoconiosis. 20 C.F.R. §718.305(d)(1)(i).

Disability Causation

The ALJ next considered whether Employer established “no part of [Claimant’s] respiratory or pulmonary total disability was caused by pneumoconiosis as defined in [20 C.F.R.] §718.201.” 20 C.F.R. §718.305(d)(1)(ii); *see* Decision and Order at 26. She permissibly discredited Drs. Zaldivar’s and McSharry’s opinions on disability causation because they did not diagnose legal pneumoconiosis, contrary to her finding that Employer did not disprove the existence of the disease. *See Epling*, 783 F.3d at 504-05, *quoting Toler v. E. Assoc. Coal Corp.*, 43 F.3d 109, 116 (4th Cir. 1995); Decision and Order at 23-24. We therefore affirm the ALJ’s finding that Employer failed to establish no part of Claimant’s respiratory disability was caused by legal pneumoconiosis. 20 C.F.R. §718.305(d)(1)(ii). Consequently, we affirm the ALJ’s finding that Employer did not rebut the Section 411(c)(4) presumption and the award of benefits.

Accordingly, the ALJ's Decision and Order Awarding Benefits is affirmed.

SO ORDERED.

DANIEL T. GRESH, Chief
Administrative Appeals Judge

GREG J. BUZZARD
Administrative Appeals Judge

JONATHAN ROLFE
Administrative Appeals Judge