

From: Seys, Theresa M [mailto:theresa.seys@ampf.com]
Sent: Friday, July 24, 2015 1:27 PM
To: EBSA, E-ORI - EBSA
Subject: Conflict of Interest Rule Hearing

I respectfully request the opportunity to testify at your Hearing on behalf of Ameriprise Financial.

- Name of the person desiring to serve as a witness: Theresa M Seys
- The organization or organizations represented: Ameriprise Financial
- Contact information (address, telephone, and email):
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- Ameriprise Financial submitted a comment letter on the Proposal on July 20, 2015.

Please find below a high-level outline of the items I would like to address:

- Potential impact of the Department's Proposal(s) on the retail investor, including IRA and small business owners and plan participants
- Chilling effect on retirement planning due to uncertainty and lack of clarity raised by the impartial conduct standards of the Best Interest Contract Exemption ("BIC Exemption")
- Operational burdens of the BIC Exemption and possible alternative ways to achieve desired results
- Distribution and Rollover recommendations
- Regulatory coordination

Regards,
Theresa Seys

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Theresa M. Seys

Vice President & Chief Counsel – Retail Retirement, Corporate Compensation & Benefits
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