

# PUBLIC SUBMISSION

**As of:** 8/19/15

**Received:** August 19, 2015

**Status:** Pending\_Post

**Tracking No.** 1jz-8kn3-89m1

**Comments Due:** August 28, 2015

**Submission Type:** Web

**Docket:** EBSA-2010-0050

Definition of the Term “Fiduciary”; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

**Comment On:** EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

**Document:** EBSA-2010-0050-DRAFT-4952

Comment on FR Doc # 2015-08831

---

## Submitter Information

**Name:** Edward Bartholic

**Address:**

280 Jasmine St

Denver, CO, 80220

**Email:** ebartholic@comcast.net

**Phone:** 3037978991

---

## General Comment

I think that anyone who talks to me about my retirement plans should be covered under the definition of Fiduciary. That would mean a stock broker, a financial advisor, a telephone center representative, a person presenting a seminar.

Anyone who could propose some sort of change to my financial services provider.

Anyone who could propose some sort of change to my investment selections.

As a consumer, I would want anyone talking to me about my retirement investments (IRAs; 401ks; 403bs: etc) to be held to a Fiduciary Standard. The Standard I'm talking about is defined as the proposed rule indicates.

This is necessary because I have seen within my own family instances where financial products & changes have been recommended that only were for the benefit of the person recommending them.

And by the way, I work in a job that would be affected by this proposed standard, and I'm all in favor of it.