



Stakeholder Consultation

Employee Benefits Security
Administration
Department of Labor (DOL)

DRAFT FY 2014-2018
DOL Strategic Plan

July 18, 2013



We Want to Hear From *You*

- Collect your feedback on proposed strategies and ideas on achieving our priorities.
- Consider your views and concerns while writing the plan.



Department of Labor's Strategic Goal Structure

Strategic Goal 1 – Prepare workers for better jobs and ensure fair compensation

Strategic Objectives:

- 1.1 Advance employment opportunities for U.S. workers
- 1.2 Provide marketable skills and knowledge to increase workers' income and help them overcome barriers to the middle class
- 1.3 Secure wages and overtime
- 1.4 Foster acceptable work conditions and respect for workers in the global economy to provide workers with a fair share of productivity and protect vulnerable people

Strategic Goal 2 – Ensure workplaces are safe and healthy

Strategic Objective:

- 2.1 Secure safe and healthy workplaces, particularly in high-risk industries

Strategic Goal 3 – Promote fair and high-quality work environments

Strategic Objectives:

- 3.1 Break down barriers to fair and diverse workplaces and narrow wage and income inequality
- 3.2 Protect workers' rights

Strategic Goal 4 – Secure retirement, health, and other employee benefits and, for those not working, provide income security

Strategic Objectives:

- 4.1 Provide income support when work is impossible or unavailable and facilitate return to work
- 4.2 Improve health benefits and retirement security for all workers

Strategic Goal 5 – Produce timely and accurate data on the economic conditions of workers and their families

Strategic Objective:

- 5.1 Provide sound and impartial information on labor market activity, working conditions, and price changes in the economy for decision making, including support for the formulation of economic and social policy affecting virtually all Americans



Employee Benefits Security Administration (EBSA) Overview

- **Mission:** The Employee Benefits Security Administration seeks to assure the security of the retirement, health and other workplace related benefits of American workers and their families.
- **Leadership: Assistant Secretary Phyllis C. Borzi**

EBSA supports the following Department of Labor Strategic Goals and Objectives:

- Strategic Goal 4 – Secure retirement, health, and other employee benefits and, for those not working, provide income security
 - Strategic Objective 4.2 – Improve health benefits and retirement security for all workers



EBSA Strategies

- **The priorities and strategies to achieve our goals and objectives include:**
 - Vigorous Enforcement
 - Focusing enforcement resources on national projects (cases which focus on an identified area of concern) and in areas that have the greatest impact on the protection of plan assets and participants' benefits.
 - Implementing the Major Case Investigations initiative. This initiative will concentrate enforcement resources on areas that have the greatest impact on the protection of plan assets and participants' benefits (e.g. professional fiduciaries and service providers with responsibility for large amounts of plan assets and the administration of large amounts of plan benefits).
 - Targeted Research to Evaluate the Success of Enforcement Activities
 - Consumer & Compliance Outreach/Education
 - EBSA will continue to partner with employer, worker and community-based organizations to identify violations and to educate workers, small businesses, and others about the new health insurance marketplace created by the Affordable Care Act.



EBSA Strategies

- **Do these strategies make sense to you?**
- **Are there other strategies that we should consider to meet our objectives?**
- **If you would like to send comments to DOL or to learn more about the DOL strategic planning process, please visit [DOL's Strategic Plan Comment Page.](#)**