

### Appendix 1: Inflation Adjustment Act – Penalty Adjustments

| Agency | Law                                               | Name/<br>Description                                                                           | CFR<br>Citation    | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
|--------|---------------------------------------------------|------------------------------------------------------------------------------------------------|--------------------|------------------------------------|-----------------------------------------------|-------------------------------|----------------------------------|-------------------|-------------------|----------------------------------------------|----------------------------------------------|
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977 | Regular<br>Assessment                                                                          | 30 CFR<br>100.3(A) | 2007                               | 72 F.R. 13,592                                |                               | \$60,000                         |                   | \$70,000          |                                              | \$68,300                                     |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977 | Penalty<br>Conversion<br>Table                                                                 | 30 CFR<br>100.3(G) | 2007                               | 72 F.R. 13,592                                | \$112                         | \$60,000                         | \$112             | \$70,000          | \$127                                        | \$68,300                                     |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977 | Minimum<br>Penalty for any<br>order issued<br>under<br>104(d)(1) of<br>the Mine Act            | 30 CFR<br>100.4(a) | 2007                               | 72 F.R. 13,592                                | \$2,000                       |                                  | \$2,000           |                   | \$2,277                                      |                                              |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977 | Minimum<br>penalty for any<br>order issued<br>under<br>104(d)(2) of<br>the Mine Act            | 30 CFR<br>100.4(b) | 2007                               | 72 F.R. 13,592                                | \$4,000                       |                                  | \$4,000           |                   | \$4,553                                      |                                              |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977 | Penalty for<br>failure to<br>provide timely<br>notification<br>under 103(j) of<br>the Mine Act | 39 CFR<br>100.4(c) | 2007                               | 72 F.R. 13,592                                | \$5,000                       | \$60,000                         | \$5,000           | \$65,000          | \$5,692                                      | \$68,300                                     |

| Agency | Law                                               | Name/<br>Description                                                                                                                                                                | CFR<br>Citation     | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
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| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977 | Any operator<br>who fails to<br>correct a<br>violation for<br>which a<br>citation or<br>order was<br>issued under<br>104(a) of the<br>Mine Act -                                    | 30 CFR<br>100.5(C)  | 2007                               | 72 F.R. 13,592                                |                               | \$6,500                          |                   | \$7,500           |                                              | \$7,399                                      |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977 | Violation of<br>mandatory<br>safety<br>standards<br>related to<br>smoking<br>standards                                                                                              | 30 CFR<br>100.5(D)  | 2007                               | 72 F.R. 13,592                                |                               | \$275                            |                   | \$375             |                                              | \$313                                        |
| MSHA   | Federal Mine<br>Safety &<br>Health Act of<br>1977 | Flagrant<br>violations<br>under<br>110(b)(2) of<br>the Mine Act                                                                                                                     | 30 CFR<br>100.5(e)  | 2007                               | 72 F.R. 13,592                                |                               | \$220,000                        |                   | \$242,000         |                                              | \$250,433                                    |
| EBSA   | Employee<br>Retirement<br>Income<br>Security Act  | Section 209(b):<br>Failure to<br>furnish reports<br>(e.g., pension<br>benefit<br>statements) to<br>certain former<br>participants<br>and<br>beneficiaries or<br>maintain<br>records | 29 CFR<br>2575.2(a) | 1974                               | Pub. L. 93-406                                |                               | \$10                             |                   | \$11              |                                              | \$28                                         |

| Agency | Law                                     | Name/<br>Description                                                                                                                                                                                                  | CFR<br>Citation  | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
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| EBSA   | Employee Retirement Income Security Act | Section 502 (c)(2) – Per day for failure/refusal to properly file plan’s annual report                                                                                                                                | 29 CFR 2575.2(b) | 1987                               | Pub. L. 100-203                               |                               | \$1,000                          |                   | \$1,100           |                                              | \$2,063                                      |
| EBSA   | Employee Retirement Income Security Act | Section 502 (c)(4) – Per day for failure to disclose certain documents upon request under ERISA 101(k) and (l); failure to furnish notices under 101(j) and 514(e)(3) - each statutory recipient a separate violation | 29 CFR 2575.2(c) | 1993                               | Pub. L. 103-66                                |                               | \$1,000                          |                   | \$1,000           |                                              | \$1,632                                      |
| EBSA   | Employee Retirement Income Security Act | Section 502 (c)(5) – Per day for each failure to file annual report for Multiple Employer Welfare Arrangements (MEWAs)                                                                                                | 29 CFR 2575.2(d) | 1996                               | Pub. L. 104-91                                |                               | \$1,000                          |                   | \$1,100           |                                              | \$1,502                                      |

| Agency | Law                                     | Name/<br>Description                                                                                                                                                            | CFR<br>Citation  | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$)                | 11/15<br>Min (\$) | 11/15<br>Max (\$)                               | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar)    |
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| EBSA   | Employee Retirement Income Security Act | Section 502 (c)(6) – Per day for each failure to provide Secretary of Labor requested documentation not to exceed a per request maximum                                         | 29 CFR 2575.2(e) | 1997                               | Pub. L. 105-34                                |                               | \$100 per day not to exceed \$1,000 per request |                   | \$110 per day not to exceed \$1,100 per request |                                              | \$147 per day not to exceed \$1,472 per request |
| EBSA   | Employee Retirement Income Security Act | Section 502 (c)(7) – Per day for each failure to provide notices of blackout periods and of right to divest employer securities-- each statutory recipient a separate violation | 29 CFR 2575.2(f) | 2002                               | Pub. L. 107-204                               |                               | \$100                                           |                   | \$100                                           |                                              | \$131                                           |

| Agency | Law                                              | Name/<br>Description                                                                                                                                                                                                                                                       | CFR<br>Citation     | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
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| EBSA   | Employee<br>Retirement<br>Income<br>Security Act | Section 502<br>(c)(8) – Per<br>each failure<br>by an<br>endangered<br>status<br>multiemployer<br>plan to adopt a<br>funding<br>improvement<br>plan or meet<br>benchmarks;<br>failure of a<br>critical status<br>multiemployer<br>plan to adopt<br>a rehabilitation<br>plan | 29 CFR<br>2575.2(g) | 2006                               | Pub. L 109-280                                |                               | \$1,100                          |                   | \$1,100           |                                              | \$1,296                                      |
| EBSA   | Employee<br>Retirement<br>Income<br>Security Act | Section<br>502(c)(9)(A) –<br>Per day for<br>each failure by<br>an employer to<br>inform<br>employees of<br>CHIP coverage<br>opportunities<br>under Section<br>701(f)(3)(B)(i)(l<br>) – each<br>employee a<br>separate<br>violation                                         | 29 CFR<br>2575.2(h) | 2009                               | Pub .L. 111-3                                 |                               | \$100                            |                   | \$100             |                                              | \$110                                        |

| Agency | Law                                              | Name/<br>Description                                                                                                                                                                                                                                                                                                             | CFR<br>Citation     | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
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| EBSA   | Employee<br>Retirement<br>Income<br>Security Act | Section<br>502(c)(9)(B) –<br>Per day for<br>each failure by<br>a plan to<br>timely provide<br>to any State<br>information<br>required to be<br>disclosed<br>under Section<br>701(f)(3)(B)(ii),<br>as added by<br>CHIP regarding<br>coverage<br>coordination –<br>each<br>participant/be<br>necificary a<br>separate<br>violation | 29 CFR<br>2575.2(i) | 2009                               | Pub .L. 111-3                                 |                               | \$100                            |                   | \$100             |                                              | \$110                                        |

| Agency | Law                                     | Name/Description                                                                                                                                                                                                                                                                                                                                                                    | CFR Citation        | Last Year Adjusted (Non IAA) | Authority for Last Adjustment (Non IAA) | Min Penalty (Non IAA) (\$) | Max Penalty (Non IAA) (\$) | 11/15 Min (\$) | 11/15 Max (\$) | New Min (Rounded to nearest dollar) | New Max (Rounded to nearest dollar) |
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| EBSA   | Employee Retirement Income Security Act | Section 502(c)(10) - Failure by any plan sponsor of group health plan, or any health insurance issuer offering health insurance coverage in connection with the plan, to meet the requirements of Sections 702(a)(1)(F), (b)(3), (c) or (d); or Section 701; or Section 702(b)(1) with respect to genetic information - daily per participant and beneficiary non-compliance period | 29 CFR 2575.2(j)(1) | 2008                         | Pub. L. 110-233                         |                            | \$100                      |                | \$100          |                                     | \$110                               |

| Agency | Law                                     | Name/<br>Description                                                                                                           | CFR<br>Citation     | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
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| EBSA   | Employee Retirement Income Security Act | Section 502(c)(10) minimum penalty for uncorrected de minimis violations                                                       | 29 CFR 2575.2(j)(2) | 2008                               | Pub. L. 110-233                               | \$2,500                       |                                  | \$2,500           |                   | \$2,745                                      |                                              |
| EBSA   | Employee Retirement Income Security Act | Section 502(c)(10) – minimum penalty for uncorrected violations that are not de minimis                                        | 29 CFR 2575.2(j)(3) | 2008                               | Pub. L. 110-233                               | \$15,000                      |                                  | \$15,000          |                   | \$16,473                                     |                                              |
| EBSA   | Employee Retirement Income Security Act | Section 502(c)(10) - unintentional failure maximum cap                                                                         | 29 CFR 2575.2(j)(4) | 2008                               | Pub. L. 110-233                               |                               | \$500,000                        |                   | \$500,000         |                                              | \$549,095                                    |
| EBSA   | Employee Retirement Income Security Act | Section 502(c)(12) – Per day for each failure of a CSEC plan in restoration status to adopt a restoration plan                 | 29CFR 2575.2(k)     | 2014                               | Pub. L. 113-97                                |                               | \$100                            |                   | \$100             |                                              | \$100                                        |
| EBSA   | Employee Retirement Income Security Act | Section 502 (m) – Failure of fiduciary to make a proper distribution from a defined benefit plan under section 206(e) of ERISA | 29 CFR 2575.2(l)    | 1994                               | Pub. L. 103-465                               |                               | \$10,000                         |                   | \$10,000          |                                              | \$15,909                                     |



| Agency | Law                                     | Name/<br>Description                                                                                                                            | CFR<br>Citation                               | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
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| EBSA   | Employee Retirement Income Security Act | Failure to provide Summary of Benefits Coverage under PHS Act section 2715(f), as incorporated in ERISA section 715 and 29 CFR 2590.715-2715(e) | 29 CFR 2575.2(m)                              | 2010                               | Pub. L. 111-148                               |                               | \$1,000                          |                   | \$1,000           |                                              | \$1,087                                      |
| OSHA   | Occupational Safety and Health Act      | Serious Violation                                                                                                                               | 29 CFR 1903.15(d)(3)                          | 1990                               | Pub. L. 101-508                               |                               | \$7,000                          |                   | \$7,000           |                                              | \$12,471                                     |
| OSHA   | Occupational Safety and Health Act      | Other-Than-Serious                                                                                                                              | 29 CFR 1903.15(d)(4)                          | 1990                               | Pub. L. 101-508                               |                               | \$7,000                          |                   | \$7,000           |                                              | \$12,471                                     |
| OSHA   | Occupational Safety and Health Act      | Willful or Repeated                                                                                                                             | 29 CFR 1903.15(d)(1) and 29 CFR 1903.15(d)(2) | 1990                               | Pub. L. 101-508                               | \$5,000                       | \$70,000                         | \$5,000           | \$70,000          | \$8,908                                      | \$124,709                                    |
| OSHA   | Occupational Safety and Health Act      | Posting Requirement                                                                                                                             | 29 CFR 1903.15(d)(6)                          | 1990                               | Pub. L. 101-508                               |                               | \$7,000                          |                   | \$7,000           |                                              | \$12,471                                     |
| OSHA   | Occupational Safety and Health Act      | Failure to Abate                                                                                                                                | 29 CFR 1903.15(d)(5)                          | 1990                               | Pub. L. 101-508                               |                               | \$7,000                          |                   | \$7,000           |                                              | \$12,471                                     |
| WHD    | Family and Medical Leave Act            | FMLA                                                                                                                                            | 29 CFR 825.300(a)(1)                          | 1993                               | Pub. L. 103-3                                 |                               | \$100                            |                   | \$110             |                                              | \$163                                        |
| WHD    | Fair Labor Standards Act                | FLSA                                                                                                                                            | 29 CFR 578.3(a)                               | 1989                               | Pub. L. 101-157                               |                               | \$1,000                          |                   | \$1,100           |                                              | \$1,894                                      |

| Agency | Law                                                     | Name/<br>Description                                        | CFR<br>Citation          | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
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| WHD    | Fair Labor Standards Act                                | Child Labor                                                 | 29 CFR 579.1(a)(1)(i)(A) | 2008                               | Pub. L. 110-233                               |                               | \$11,000                         |                   | \$11,000          |                                              | \$12,080                                     |
| WHD    | Fair Labor Standards Act                                | Child Labor resulting in serious injury or death            | 29 CFR 579.1(a)(1)(i)(B) | 2008                               | Pub. L. 110-233                               |                               | \$50,000                         |                   | \$50,000          |                                              | \$54,910                                     |
| WHD    | Fair Labor Standards Act                                | CL willful or repeated resulting in serious injury or death | 29 CFR 579.1(a)(1)(i)(B) | 2008                               | Pub. L. 110-233                               |                               | \$100,000                        |                   | \$100,000         |                                              | \$109,820                                    |
| WHD    | Migrant and Seasonal Agricultural Worker Protection Act | MSPA                                                        | 29 CFR 500.1(e)          | 1983                               | Pub. L. 97-470                                |                               | \$1,000                          |                   | \$1,000           |                                              | \$2,355                                      |
| WHD    | Immigration & Nationality Act                           | H1B                                                         | 20 CFR 655.810(b)(1)     | 1990                               | Pub. L. 101-649                               |                               | \$1,000                          |                   | \$1,000           |                                              | \$1,782                                      |
| WHD    | Immigration & Nationality Act                           | H1B willful or discrimination                               | 20 CFR 655.810(b)(2)     | 1998                               | Pub. L. 105-277                               |                               | \$5,000                          |                   | \$5,000           |                                              | \$7,251                                      |
| WHD    | Immigration & Nationality Act                           | H1B willful that resulted in displacement of a US worker    | 20 CFR 655.810(b)(3)     | 1998                               | Pub. L. 105-277                               |                               | \$35,000                         |                   | \$35,000          |                                              | \$50,758                                     |
| WHD    | Immigration & Nationality Act                           | H2B <sup>1</sup>                                            | 29 CFR 503.23            | 2005                               | Pub. L. 109-13                                |                               | \$10,000                         |                   | \$10,000          |                                              | \$11,940                                     |
| WHD    | Immigration & Nationality Act                           | D-1                                                         | 20 CFR 655.620           | 1990                               | Pub. L. 101-649                               |                               | \$5,000                          |                   | \$5,000           |                                              | \$8,908                                      |
| WHD    | Contract Work Hours and Safety Standards Act            | CWHSSA                                                      | 29 CFR 5.8(a)            | 1962                               | Pub. L. 87-581                                |                               | \$10                             |                   | \$10              |                                              | \$25                                         |

<sup>1</sup> See supra note **Error! Bookmark not defined.**

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| WHD    | Walsh-Healey Public Contracts Act | Walsh-Healey                                                                  | 41 CFR 50-201.3(e)  | 1936                         | 49 Stat. 2036                           |                            | \$10                       |                | \$10           |                                     | \$25                                |
| WHD    | Employee Polygraph Protection Act | EPPA                                                                          | 29 CFR 801.42(a)    | 1988                         | Pub. L. 100-347                         |                            | \$10,000                   |                | \$10,000       |                                     | \$19,787                            |
| WHD    | Immigration & Nationality Act     | H2A                                                                           | 29 CFR 501.19(c)    | 2010                         | 75 FR 6,884                             |                            | \$1,500                    |                | \$1,500        |                                     | \$1,631                             |
| WHD    | Immigration & Nationality Act     | H2A willful or discrimination                                                 | 29 CFR 501.19(c)(1) | 2008                         | 73 FR 77,110                            |                            | \$5,000                    |                | \$5,000        |                                     | \$5,491                             |
| WHD    | Immigration & Nationality Act     | H2A Safety or health resulting in serious injury or death                     | 29 CFR 501.19(c)(2) | 2010                         | 75 FR 6,884                             |                            | \$50,000                   |                | \$50,000       |                                     | \$54,373                            |
| WHD    | Immigration & Nationality Act     | H2A willful or repeated safety or health resulting in serious injury or death | 29 CFR 501.19(c)(4) | 2010                         | 75 FR 6,884                             |                            | \$100,000                  |                | \$100,000      |                                     | \$108,745                           |
| WHD    | Immigration & Nationality Act     | H2A failing to cooperate in an investigation                                  | 29 CFR 501.19(d)    | 2008                         | 73 FR 77,110                            |                            | \$5,000                    |                | \$5,000        |                                     | \$5,491                             |
| WHD    | Immigration & Nationality Act     | H2A displacing a US worker                                                    | 29 CFR 501.19(e)    | 2010                         | 75 FR 6,884                             |                            | \$15,000                   |                | \$15,000       |                                     | \$16,312                            |
| WHD    | Immigration & Nationality Act     | H2A improperly rejecting a US worker                                          | 29 CFR 501.19(f)    | 2010                         | 75 FR 6,884                             |                            | \$15,000                   |                | \$15,000       |                                     | \$16,312                            |
| WHD    | Fair Labor Standards Act          | Home Worker                                                                   | 29 CFR 530.302      | 1988                         | 53 FR 45,706                            | \$10                       | \$500                      | \$10           | \$500          | \$20                                | \$989                               |

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| OWCP   | Longshore and Harbor Workers' Compensation Act | Failure to file first report of injury or filing a false statement or misrepresentation in first report | 20 CFR 702.204          | 1984                               | Pub. L. 98-426                                |                               | \$10,000                         |                   | \$11,000          |                                              | \$22,587                                     |
| OWCP   | Longshore and Harbor Workers' Compensation Act | Failure to report termination of payments                                                               | 20 CFR 702.236          | 1927                               | 44 Stat. 1432                                 |                               | \$100                            |                   | \$110             |                                              | \$275                                        |
| OWCP   | Longshore and Harbor Workers' Compensation Act | Discrimination against employees who claim compensation or testify in a LHWCA proceeding                | 20 CFR 702.271(a)(2)    | 1984                               | Pub. L. 98-426                                | \$1,000                       | \$5,000                          | \$1,100           | \$5,500           | \$2,259                                      | \$11,293                                     |
| OWCP   | Black Lung Benefits Act                        | Failure to report termination of payments                                                               | 20 CFR 725.621 (b), (d) | 1978                               | Pub. L. 95-239                                |                               | \$500                            |                   | \$550             |                                              | \$1,375                                      |
| OWCP   | Black Lung Benefits Act                        | Failure to file required reports                                                                        | 20 CFR 725.621(d)       | 1978                               | Pub. L. 95-239                                |                               | \$500                            |                   | \$550             |                                              | \$1,375                                      |
| OWCP   | Black Lung Benefits Act                        | Failure to secure payment of benefits                                                                   | 20 CFR 726.300          | 1978                               | Pub. L. 95-239                                |                               | \$1,000                          |                   | \$1,000           |                                              | \$2,500                                      |

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| OWCP   | Black Lung<br>Benefits Act | Failure to<br>secure<br>payment of<br>benefits for<br>mines with<br>fewer than 25<br>employees | 20 CFR<br>726.302(c)<br>(2)(i) | 2001                               | 65 FR 79,920                                  | \$100                         |                                  | \$100             |                   | \$134                                        |                                              |
| OWCP   | Black Lung<br>Benefits Act | Failure to<br>secure<br>payment of<br>benefits for<br>mines with 25-<br>50 employees           | 20 CFR<br>726.302(c)<br>(2)(i) | 2001                               | 65 FR 79,920                                  | \$200                         |                                  | \$200             |                   | \$268                                        |                                              |
| OWCP   | Black Lung<br>Benefits Act | Failure to<br>secure<br>payment of<br>benefits for<br>mines with 51-<br>100 employees          | 20 CFR<br>726.302(c)<br>(2)(i) | 2001                               | 65 FR 79,920                                  | \$300                         |                                  | \$300             |                   | \$402                                        |                                              |
| OWCP   | Black Lung<br>Benefits Act | Failure to<br>secure<br>payment of<br>benefits for<br>mines with<br>more than 100<br>employees | 20 CFR<br>726.302(c)<br>(2)(i) | 2001                               | 65 FR 79,920                                  | \$400                         |                                  | \$400             |                   | \$535                                        |                                              |
| OWCP   | Black Lung<br>Benefits Act | Failure to<br>secure<br>payment of<br>benefits after<br>10th day of<br>notice                  | 20 CFR<br>726.302(c)<br>(4)    | 2001                               | 65 FR 79,920                                  | \$100                         |                                  | \$100             |                   | \$134                                        |                                              |
| OWCP   | Black Lung<br>Benefits Act | Failure to<br>secure<br>payment of<br>benefits for<br>repeat<br>offenders                      | 20 CFR<br>726.302(c)<br>(5)    | 2001                               | 65 FR 79,920                                  | \$300                         |                                  | \$300             |                   | \$402                                        |                                              |

| Agency | Law                        | Name/<br>Description                           | CFR<br>Citation             | Last Year<br>Adjusted<br>(Non IAA) | Authority for<br>Last Adjustment<br>(Non IAA) | Min Penalty<br>(Non IAA) (\$) | Max Penalty<br>(Non IAA)<br>(\$) | 11/15<br>Min (\$) | 11/15<br>Max (\$) | New Min<br>(Rounded<br>to nearest<br>dollar) | New Max<br>(Rounded<br>to nearest<br>dollar) |
|--------|----------------------------|------------------------------------------------|-----------------------------|------------------------------------|-----------------------------------------------|-------------------------------|----------------------------------|-------------------|-------------------|----------------------------------------------|----------------------------------------------|
| OWCP   | Black Lung<br>Benefits Act | Failure to<br>secure<br>payment of<br>benefits | 20 CFR<br>726.302(c)<br>(5) | 1978                               | Pub. L. 95-239                                |                               | \$1,000                          |                   | \$1,100           |                                              | \$2,750                                      |