

Application

Federal Award Identification Number (FAIN)	AK-2018-020-00
Temporary Application Number	1725-2018-4
Application Name	17 5311 Admin, Ops, PM, RTAP, Intercity
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation And Public Facilities, Alaska Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1725	State Agency	ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES	809387434

Location Type	Address	City	State	Zip
Headquarters	CAPITOL BLDG 3RD FL	JUNEAU	AK	998110000
Physical Address	3132 CHANNEL DR	JUNEAU	AK	99801
Mailing Address	PO BOX 112500	JUNEAU	AK	99801

Union Information

Union Name	AFSCME
Address 1	1625 L Street NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Gerald McEntee
Telephone	2024291000

Fax	2024291293
E-mail	kkorpi@afscme.org
Website	www.afscme.org/
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Union Name	Alaska Public Employees Association
Address 1	211 4th Street, Suite 306
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	bludwig@apea-aft.org
Website	www.apea-aft.org/
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Union Name	Confidential Employees Association
Address 1	211 4th Street
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	cea@apea-aft.org
Website	www.apea-aft.org/
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Union Name	InlandBoatmans Union National Office
Address 1	1711 West Nickerson ST Suite D
Address 2	
City	Seattle
State	Washington
Zipcode	98111
Contact Name	David Freiboth
Telephone	2062846001
Fax	2062845043
E-mail	david.ibu@mindspring.com
Website	www.ibu.org/
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Union Name	International Org of Masters, Mates and Pilots International HQ
Address 1	700 Maritime BLVD
Address 2	

City	Linthicum Heights
State	Maryland
Zipcode	21090
Contact Name	Timothy Brown
Telephone	4108508700
Fax	4108500973
E-mail	president@bridgedeck.org
Website	www.bridgedeck.org/

Union Name	Laborers International Union of North America
Address 1	905 16th ST NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20006
Contact Name	Terence OSullivan
Telephone	2027378320
Fax	2027372754
E-mail	communications@liuna.org
Website	www.liuna.org/

Union Name	MARINE ENGINEERS BENEFICIAL ASSOCIATION
Address 1	444 North Capitol St., NW, Sui
Address 2	
City	Washington
State	District of Columbia
Zipcode	20001
Contact Name	Ron Davis
Telephone	2026385355
Fax	2026385369
E-mail	mehahq@d1meba.org
Website	www.d1meba.org

Union Name	Public Employees Local 71 AFL-CIO Anchorage Office
Address 1	2510 Arctic Boulevard
Address 2	
City	Anchorage
State	Alaska
Zipcode	99530
Contact Name	Jim Ashton
Telephone	9072767211
Fax	9072797171

E-mail	tracy@local71.com
Website	ak.aflcio.org/anchorageclc
Union Name	
Public Employees Local 71 AFL-CIO Juneau Office	
Address 1	710 West 9th St
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Tom Brice
Telephone	9075866993
Fax	9075865757
E-mail	tom@local71.com
Website	www.ak.aflcio.org/12

Part 2: Application Information

Title: 17 5311 Admin, Ops, PM, RTAP, Intercity

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2018-020-00	Final Concurrence / Reservation	Grant	5/11/2018	5/11/2018	No

Application Start Date

The start date will be set to the date of the award

Application End Date

12/30/2021

Application Executive Summary

INITIAL APPLICATION (00)

This grant application is requesting \$8,393,734 in FFY17 Section 5311 funds and \$97,738 in FFY17 Section 5311 RTAP funds.

This grant will provide funding for rural project administration, operating, preventive maintenance, and intercity project administration and operating for subrecipients as well as direct and indirect state administration funding for AKDOT. RTAP funds will be used for training and technical assistance.
END INITIAL APPLICATION (00)

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Indirect costs WILL be applied to this application. The cognizant agency, the Federal Highway Administration, approved Alaska Department of Transportation & Public Facilities on 7/6/2017 and a copy of the organization's approved rate is on file with FTA in the EAMS. The approved rates of 1.42% will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Stephanie	Bushong	Public Transit Planner	stephanie.bushong@alaska.gov	907-465-2780
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,491,472
Local			\$3,722,975
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$12,214,447

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2018-020-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$839,374.00	\$0.00	\$839,374.00	2
AK-2018-020-01-00	11.80.00 State Administration - Indirect (17 5311 100:00)(00)	\$293,781.00	\$0.00	\$293,781.00	1
AK-2018-020-01-00	11.80.00 State Administration - Direct (17 5311 100:00)(00)	\$545,593.00	\$0.00	\$545,593.00	1
AK-2018-020-02-00	117-00 (117-A4) OTHER CAPITAL ITEMS (BUS)	\$279,258.00	\$27,720.00	\$306,978.00	8
AK-2018-020-02-00	11.7A.00 Preventive Maintenance - Rural (17 5311 90.97:9.03)(00)	\$112,755.00	\$11,192.00	\$123,947.00	7
AK-2018-020-02-00	11.7C.00 Non Fixed Route Ada Paratransit Service - Rural (17 5311 90.97:9.03)(00)	\$166,503.00	\$16,528.00	\$183,031.00	1
AK-2018-020-02-00	300-00 (300-A5) OPERATING ASSISTANCE	\$4,386,304.00	\$3,327,913.00	\$7,714,217.00	10
AK-2018-020-02-00	30.09.02 Operating (17 531156.86:43.14)(00)	\$4,386,304.00	\$3,327,913.00	\$7,714,217.00	10
AK-2018-020-02-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$2,599,576.00	\$258,043.00	\$2,857,619.00	10
AK-2018-020-02-00	11.79.00 Project Administration - Rural (17 5311 90.97:9.03)(00)	\$2,599,576.00	\$258,043.00	\$2,857,619.00	10
AK-2018-020-02-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$289,222.00	\$109,299.00	\$398,521.00	4
AK-2018-	11.79.00 Project Administration -	\$167,013.00	\$16,578.00	\$183,591.00	2

020-02-00		Intercity (17 5311 90.97:9.03)(00)				
AK-2018-020-02-00	30.09.02	Operating Assistance - Intercity (17 5311 56.86:46.14)(00)	\$122,209.00	\$92,721.00	\$214,930.00	2
AK-2018-020-03-00	635-00 (635-A6)	RURAL TRANSIT ASSISTANCE PROGRAM	\$97,738.00	\$0.00	\$97,738.00	3
AK-2018-020-03-00	43.50.01	Training (17 5311 100:00)(00)	\$71,919.00	\$0.00	\$71,919.00	1
AK-2018-020-03-00	43.50.02	Technical Assistance (17 5311 100:00)(00)	\$25,232.00	\$0.00	\$25,232.00	1
AK-2018-020-03-00	43.50.04	Related Support Services (17 5311 100:00)(00)	\$587.00	\$0.00	\$587.00	1

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: 17 5311 State Admin - Direct & Indirect

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2018-020-01-00	1725-2018-4-P1	5/11/2018	6/30/2018	12/30/2021

Project Description

Initial Application (00)

Provide program administration, technical assistance activities and operating assistance to transit authorities to continue existing service or increase service to meet routine changes in demand and higher standards of safety, security and service. These monies will be used to provide all necessary costs to meet the Purpose of Project including, but not limited to: travel costs including air fare, hotel, per diem, and ground transportation; all necessary training (both in-state and out-of-state) to provide direct and indirect program administration, technical and operating assistance to transit authorities; teleconference expenses, conference fees, reference materials; all costs related to providing technical and operating assistance to transit authorities.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Project Benefits

As a result of this project, the 5311 funds will be managed in an efficient and effective manner, allowing

for subrecipients to utilize the opportunities provided for by the grant funds.

Additional Information

These rural public transit projects will take place in communities across Alaska, see the program of projects for all locations.

Location Description

These rural public transit projects will take place in rural communities across Alaska, see the program of projects for all locations.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$839,374
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$839,374

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2018-020-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$839,374.00	\$0.00	\$839,374.00	2
AK-2018-020-01-00	11.80.00 State Administration	\$293,781.00	\$0.00	\$293,781.00	1

		- Indirect (17 5311 100:00)(00)				
		State Administration				
AK-2018-020-01- 00	11.80.00	- Direct (17 5311 100:00)(00)	\$545,593.00	\$0.00	\$545,593.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - State Administration - Indirect (17 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 funds in the amount of \$293,781 for Indirect State Administration for 5311 rural public transit. The Indirect Cost Rate Agreement is attached to this application.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$293,781
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$293,781

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	12/31/2021	

Budget Activity Line Item: 11.80.00 - State Administration - Direct (17 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY2017 Section 5311 program funds in the amount of \$545,593 for Direct State Administration for the 5311 rural public transit program.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$545,593
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$545,593

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	12/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to

continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: 17 5311 Admin, Ops, PM, Intercity

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2018-020-02-00	1725-2018-4-P2	5/11/2018	7/31/2018	8/30/2021

Project Description

Initial Application (00)

Provide administrative, capital and operating assistance for rural and small urban public transportation systems.

Match ratio: Administration and Capital 90.97%/9.03%; Operating 56.86%/43.14%

INTERCITY BUS

AKDOT&PF certifies that the intercity bus service needs of the State of Alaska are being met adequately and therefore does not intend to expend 15 percent of its FY13-15 Section 5311 apportionments to carry out a program for the development and support of intercity bus transportation (see Governor's letter, attached).

Project Benefits

The benefits of these projects will be the continued operation of rural public transit systems throughout rural Alaska.

Additional Information

None provided.

Location Description

Locations for the various subrecipient projects will take place in various rural Alaska locations as stated in the program of projects.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,554,360
Local			\$3,722,975
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$11,277,335

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2018-020-02-00	117-00 (117-A4) OTHER CAPITAL ITEMS (BUS)	\$279,258.00	\$27,720.00	\$306,978.00	8
AK-2018-020-02-00	11.7A.00 Preventive Maintenance - Rural (17 5311 90.97:9.03)(00)	\$112,755.00	\$11,192.00	\$123,947.00	7
AK-2018-020-02-00	11.7C.00 Non Fixed Route Ada Paratransit Service - Rural (17 5311 90.97:9.03)(00)	\$166,503.00	\$16,528.00	\$183,031.00	1
AK-2018-020-02-00	300-00 (300-A5) OPERATING ASSISTANCE	\$4,386,304.00	\$3,327,913.00	\$7,714,217.00	10
AK-2018-020-02-00	30.09.02 Operating (17 531156.86:43.14)(00)	\$4,386,304.00	\$3,327,913.00	\$7,714,217.00	10
AK-2018-020-02-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$2,599,576.00	\$258,043.00	\$2,857,619.00	10
AK-2018-020-02-00	11.79.00 Project Administration - Rural (17 5311 90.97:9.03)(00)	\$2,599,576.00	\$258,043.00	\$2,857,619.00	10
AK-2018-020-02-00	634-00 INTERCITY BUS TRANSPORTATION	\$289,222.00	\$109,299.00	\$398,521.00	4

020-02-00	(634-A3)					
AK-2018-020-02-00	11.79.00	Project Administration - Intercity (17 5311 90.97:9.03)(00)	\$167,013.00	\$16,578.00	\$183,591.00	2
AK-2018-020-02-00	30.09.02	Operating Assistance - Intercity (17 5311 56.86:46.14)(00)	\$122,209.00	\$92,721.00	\$214,930.00	2

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - Project Administration - Rural (17 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	10

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 funds in the amount of \$2,599,576 for subrecipient project administration for rural public transit.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,599,576
Local			\$258,043
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$2,857,619

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2018	
End Date	8/31/2021	

Budget Activity Line Item: 11.79.00 - Project Administration - Intercity (17 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	2

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 funds in the amount of \$167,013 for Intercity project administration for Interior Alaska Bus Line in Tok and Soaring Eagle Transit in Gulkana.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$167,013
Local			\$16,578
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$183,591

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2018	
End Date	8/31/2021	

Budget Activity Line Item: 11.7A.00 - Preventive Maintenance - Rural (17 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	7

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 funds in the amount of \$112,755 for preventive maintenance for rural public transit agencies.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the

projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$112,755
Local			\$11,192
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$123,947

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2018	
End Date	8/31/2021	

Budget Activity Line Item: 11.7C.00 - Non Fixed Route Ada Paratransit Service - Rural (17 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7C.00	NON FIXED ROUTE ADA PARATRANSIT SERVICE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 funds in the amount of \$166,503 for non-fixed route ADA paratransit service for rural public transit agencies.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$166,503
Local			\$16,528
Local/In-Kind			\$0
State			\$0

State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Total Eligible Cost	\$183,031

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2018	
End Date	8/31/2021	

Budget Activity Line Item: 30.09.02 - Operating (17 531156.86:43.14)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	10

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 funds in the amount of \$4,386,304 for subrecipient operating assistance for rural public transit.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,386,304
Local			\$3,327,913
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$7,714,217

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2018	
End Date	8/31/2021	

Budget Activity Line Item: 30.09.02 - Operating Assistance - Intercity (17 5311 56.86:46.14)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	2

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 funds in the amount of \$122,209 for Intercity operating for Interior Alaska Bus Line in Tok and Soaring Eagle Transit in Gulkana.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$122,209
Local			\$92,721
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$214,930

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2018	
End Date	8/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	Project Administration - Rural (17 5311 90.97:9.03)(00)	10	\$2,599,576.00	\$2,857,619.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	Project Administration - Intercity (17 5311 90.97:9.03)(00)	2	\$167,013.00	\$183,591.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	Preventive Maintenance - Rural (17 5311 90.97:9.03)(00)	7	\$112,755.00	\$123,947.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7C.00	Non Fixed Route Ada Paratransit Service - Rural (17 5311 90.97:9.03)(00)	1	\$166,503.00	\$183,031.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OPERATING ASSISTANCE (300- 30.09.02 00) Operating (17 531156.86:43.14)(00) 10 \$4,386,304.00 \$7,714,217.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	Operating Assistance - Intercity (17 5311 56.86:46.14)(00)	2	\$122,209.00	\$214,930.00

Project Title: 17 5311 RTAP (00)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2018-020-03-00	1725-2018-4-P3	5/11/2018	6/30/2018	12/30/2021

Project Description

INITIAL APPLICATION (00)
Provide technical assistance and training to current subrecipients.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Project Benefits

The benefits of this project is that subrecipients will receive the training and technical assistance they need in a timely manner and will be able to more effectively manage the federal funds they receive to operate public transit.

Additional Information

None provided.

Location Description

RTAP projects will take place throughout rural Alaska.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$97,738
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$97,738

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2018-020-03-00	635-00 (635-A6) RURAL TRANSIT ASSISTANCE PROGRAM	\$97,738.00	\$0.00	\$97,738.00	3
AK-2018-020-03-00	43.50.01 Training (17 5311 100:00)(00)	\$71,919.00	\$0.00	\$71,919.00	1
AK-2018-020-03-00	43.50.02 Technical Assistance (17 5311 100:00)(00)	\$25,232.00	\$0.00	\$25,232.00	1
AK-2018-020-03-00	43.50.04 Related Support Services (17 5311	\$587.00	\$0.00	\$587.00	1

100:00)(00)

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - Training (17 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 RTAP funds in the amount of \$71,919 for training for 5311 rural public transit.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$71,919
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$71,919

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	12/31/2021	

Budget Activity Line Item: 43.50.02 - Technical Assistance (17 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 RTAP funds in the amount of \$25,232 for technical assistance for 5311 rural public transit.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$25,232
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$25,232

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	12/31/2021	

Budget Activity Line Item: 43.50.04 - Related Support Services (17 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY17 5311 RTAP funds in the amount of \$587 for related support services as allowable in the section 5311 circular for 5311 rural public transit.

The Alaska 2016-2019 STIP was approved by FTA/FHWA on June 28, 2017. The project identifier for the projects is Need ID 19120

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$587

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$587

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	12/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By **Annette McKelvey**

Comment Type Environmental Findings

Date 6/11/2018

Project Title 17 5311 Admin, Ops, PM, Intercity

Project Number AK-2018-020-02-00

Comment Project 2 activities are included under 23 CFR §771.118(c)(04) for project administration, preventive maintenance, ADA paratransit service, and operating assistance.

Comment By Annette McKelvey

Comment Type Environmental Findings

Date 6/11/2018

Project Title 17 5311 Admin, Ops, PM, Intercity

Project Number AK-2018-020-02-00

Comment Project 2 activities are included under 23 CFR §771.118(c)(04)(07) for project administration, ADA paratransit service, operating assistance and preventive maintenance.

Application Review Comments

Comment By Annette McKelvey

Comment Type FTA Post Review Comments for Grantee

Date 6/11/2018

Comment Please review NEPA determinations under Project 2.

Comment By Annette McKelvey

Comment Type FTA Post Review Comments for Grantee

Date 7/21/2018

Comment The Extended Budget Descriptions for the three ALIs in Project 3 all describe "technical assistance"; however, one is for training, another one is for TA, and last one appears to be Indirect cost. Please clarify each. Thank you.

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-24, October 1, 2017)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation And Public Facilities, Alaska Department Of

Recipient ID: 1725

DUNS No: 809387434

Application Information

Federal Award Identification Number: AK-2018-020-00

Application Name: 17 5311 Admin, Ops, PM, RTAP, Intercity

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/30/2021

Application Executive Summary: INITIAL APPLICATION (00)

This grant application is requesting \$8,393,734 in FFY17 Section 5311 funds and \$97,738 in FFY17 Section 5311 RTAP funds.

This grant will provide funding for rural project administration, operating, preventive maintenance, and intercity project administration and operating for subrecipients as well as direct and indirect state administration funding for AKDOT. RTAP funds will be used for training and technical assistance.
END INITIAL APPLICATION (00)

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$12,214,447.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$8,491,472.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,722,975.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$8,491,472.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,722,975.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,491,472
Local			\$3,722,975
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$12,214,447

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation And Public Facilities, Alaska Department Of