

U.S. Department of Labor

Office of Labor-Management Standards
Chicago District Office
Federal Office Building
230 South Dearborn Street, Suite 774
Chicago, IL 60604
(312) 596-7160 Fax: (312) 596-7174



September 26, 2018

Mr. Kiowa Ragland, Secretary Treasurer
Sheet Metal, Air, Rail and Transportation Workers, Local 1299
12629 S. Austin Ave.
Alsip, IL 60803-3526

Case Number: 310-6012801 [REDACTED]
LM Number: 037928

Dear Mr. Ragland:

This office has recently completed an audit of Sheet Metal, Air, Rail and Transportation Workers Local 1299 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you on September 18, 2018, the following problem was disclosed during the CAP. The matter listed below is not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violation

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report (Form LM-3) filed by Local 1299 for the fiscal year ended December 31, 2016, was deficient in that Item 12 (During the reporting period did your organization have a political action committee (PAC) fund?) was incorrectly answered, "Yes." Although Local 1299 members voluntarily contribute to a PAC fund that is administered by the International Union, the audit confirmed that the local did not have its own PAC fund during 2016.

I am not requiring that Local 1299 file an amended LM report for 2016 to correct the deficient item, but Local 1299 has agreed to properly report the deficient item on all future reports it files with OLMS.

I want to extend my personal appreciation to SMART Local 1299 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]
Investigator

cc: Mr. Walter Jones, President