



September 10, 2018

Joan Morgan, President  
AFSCME Local 205  
Day Care Centers Employees Union  
420 West 45<sup>th</sup> Street, 6<sup>th</sup> Floor  
New York, NY 10036

Case Number: 130-6012650 [REDACTED]  
LM Number: 507228

Dear Joan Morgan:

This office has recently completed an audit of AFSCME Local 205 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with Vice President Mabel Everette, Treasurer Rosalinda Smith, Board Member Thelma Richards, Board Member Barbara Reeves and you on July 23, 2018, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violations

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annually with the Secretary a financial report signed by its president and treasurer or corresponding principal officers containing information in such detail as may be necessary to accurately disclose its financial condition and operations for its preceding fiscal year. The Labor Organization Annual Report Form LM-3 filed by AFSCME Local 205 for the fiscal year ended May 31, 2017, was delinquent. The Form LM-3 was required to be filed within ninety days after fiscal year ended May 31, 2017. AFSCME Local 205 filed its fiscal year 2017 Form LM-3 on July 2, 2018. No further enforcement action will be taken.

I want to extend my personal appreciation to AFSCME Local 205 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]

Investigator

cc: Kim Medina, AFSCME District Council 1707 Executive Director  
Rosalinda Smith, AFSCME Local 205 Treasurer