

**U.S. Department of Labor**

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November 5, 2013

Mr. Oscar Goings, President  
Steelworkers Local 9-572  
2709 Cumberland Drive  
Macon, GA 31211-2109

Case Number: 410-15366 [REDACTED]  
LM Number: 021303

Dear Mr. Goings:

This office has recently completed an audit of Steelworkers Local 9-572 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you on September 30, 2013, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

#### Record Keeping Violations

Title II of the LMRDA establishes certain reporting and record keeping requirements. Section 206 requires, among other things, that adequate records be maintained for at least five years by which each receipt and disbursement of funds, as well as all account balances can be verified, explained, and clarified. As a general rule, all records used or received in the course of union business must be retained. This includes, in the case of disbursements, not only the retention of original bills, invoices, receipts, and vouchers, but also adequate additional documentation, if necessary, showing the nature of the union business requiring the disbursement, the goods or services received, and the identity of the recipients of the goods or services.

The following record keeping deficiencies were revealed during the audit of Local 572's 2011 records:

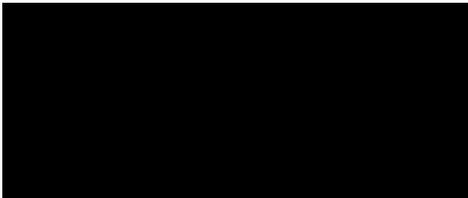
#### No union records of BB&T account activities.

As outlined above, adequate records must be maintained to account for receipt and disbursement of funds, as well as account balances must be able to be verified, explained, and clarified. During the audit, Local 572 did not have any union documentation journal, electronic records, etc.) to record financial transactions from two BB&T savings accounts. The audit revealed approximately \$24,000 in withdrawals were transacted from two BB&T savings accounts based on bank records. Even though no funds are missing, the union is required to record and maintain adequate records as outlined in Section 206 of the LMRDA.

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As agreed, provided that Local 572 maintains adequate documentation for its disbursements in the future, no additional enforcement action will be taken regarding this violation.

I want to extend my personal appreciation to Steelworkers Local 572 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.



Investigator

cc: Mr. Steve Billue, Treasurer