



United States Department of Labor Employee Benefits Security Administration Voluntary Fiduciary Correction Program Workshop

Who Can Attend? - Any employee benefit plan sponsors, officials, parties-in-interest or service providers who are interested in how to correct fiduciary violations under the Employee Retirement Income Security Act (ERISA).

Learn How To Apply and Get Approved - You'll receive instructions on how to make corrections and use the Voluntary Fiduciary Correction Program (VFCP).

Eligible ERISA Transactions

- Delinquent Participant Contributions to Retirement Plans, or Insured Welfare Plans, or Welfare Plan Trusts
- Fair Market Interest Rate Loans by Employee Benefit Plans to Parties-in-Interest (PIIs)
- Below Market Interest Rate Loans to Parties-in-Interest, or Nonparties-in-Interest
- Below Market Interest Rate Loans to PIIs or Non PIIs due to delays in perfecting loan collateral security
- Participant Loan Amount Exceeds Plan Limitations
- Participant Loan Duration Exceeds Plan Limitations
- Defaulted Participant Loans
- Purchase of Assets by Plans from Parties-in-Interest
- Sale of Assets by Plans to Parties-in-Interest
- Sale and Leaseback of Property to Sponsoring Employers
- Purchase of Assets from Non PIIs at other than Fair Market Value (FMV)
- Sale of Assets to Non PII at other than FMV
- Holding of Illiquid Assets Previously Purchased by Plan Benefit Payments based on improper Valuation of Plan assets
- Payment from a Plan of duplicate, excessive, or unnecessary compensation
- Expenses Improperly Paid by a Plan including Settlor fees
- Payment from a Plan of dual compensation to Plan fiduciaries

Bonus - Learn if you are eligible for relief from IRS Excise Taxes and how you might pay your plan the amount you would otherwise owe in Excise Tax.

Pre-registration for the workshop is required by February 7, 2014 and space is limited to the first 20 registrants. We ask that you make every effort to attend the workshop once you register or send an alternate. Late registrations will be accepted based upon availability and seats are allocated on a first-come basis. Attendees must bring a valid picture ID and be processed through the federal building's security entrance. As a courtesy we ask that you turn off all cell phones and electronic equipment during the workshop. Please act quickly to reserve your spot!

EBSA benefits advisors will be onsite to address your specific questions. One-on-one assistance will be available after the workshop.

Where: U.S. Department of Labor/EBSA
90-7th Street, Room B-020
San Francisco, CA 94103
*Bring identification to enter a federal building

Cost: It's free!

Registration: Fax this form to (415) 625-2499

For More Information Telephone Audrey Chan at (415) 625-2445

Individuals with disabilities who need special accommodations please check here.

The list of attendees will be available on request. Please indicate if you do not want your name shared by checking here.

Workshop Registration Form

09:00 am – 11:00 am, February 11, 2014

01:00 pm – 03:00 pm, February 11, 2014

Registrants Name:

Title:

Company And/Or Association:

Address:

Telephone/Fax Number

Email Address: