



United States Department of Labor Employee Benefits Security Administration Voluntary Fiduciary Correction Program and Abandoned Plan Workshop

Who Can Attend - Any employee benefit Plan sponsors, financial institutions, trust companies, Plan officials or parties-in-interest.

Learn How To Apply and Get Approved - You'll receive instructions on how to make corrections and use this program.

Eligible ERISA Transactions

- Delinquent Participant Contributions to Retirement Plans, or Insured Welfare Plans, or Welfare Plan Trusts.
- Fair Market Interest Rate Loans Between Employee Benefit Plans and Parties-in-Interest (PIIs).
- Below Market Interest Rate Loans with Parties-in-Interest, or Nonparties-in-Interest.
- Below Market Interest Rate Loans to PIIs or Non PIIs due to delays in perfecting loan collateral security.
- Participant Loan Amount Exceeds Plan Limitations.
- Participant Loan Duration Exceeds Plan Limitations.
- Default Participant Loans.
- Purchase of Assets by Plans from Parties-in-Interest.
- Sale of Assets by Plans to Parties-in-Interest.
- Sale and Leaseback of Property to Sponsoring Employers.
- Purchase of Assets from Non PIIs at other than Fair Market Value (FMV).
- Sale of Assets to Non PII at other than FMV.
- Holding of Illiquid Assets Previously Purchased by Plan Benefit Payments based on improper Valuation of Plan assets.
- Payment from a Plan of duplicate, excessive, or unnecessary compensation.
- Expenses Improperly Paid by a Plan including Settlor fees.
- Payment from a Plan of dual compensation to Plan fiduciaries.

Also learn about the Department's Abandoned Plan Program and who may qualify as a Qualified Termination Administrator (QTA). You can receive one-on-one assistance with respect to either the Voluntary Fiduciary Correction or Abandoned Plan Programs. EBSA benefits advisors will be onsite to address your specific questions.

Bonus - Learn if you are eligible for relief of IRS Excise Taxes and how you might pay your plan the amount you would otherwise owe in Excise Tax. Also learn about how a QTA can pay itself with Plan Assets.

Pre-registration is required and seats are limited. We ask that you make every effort to attend the workshop once you register or send an alternate. Late registrations will be accepted based upon availability. Please act quickly to reserve your spot!

- One-on-one assistance requested.
- Individuals with disabilities who need special accommodations please check here.
- The attendees list will be available on request. Please check here if you do not want your name shared.

When: April 23, 2012; 1:30 – 4:00 PM
Where: Fort Wright Executive Building I
 First Floor Conference Room
 1885 Dixie Highway
 Fort Wright, Kentucky 41011

Cost: The workshop and all materials provided are free!

Registration: Fax this form to (859) 578-4693

For More Information: Telephone Marvin Lindsey at (859) 578-4680

Workshop Registration Form

Registrants Name:	Title:
Company And/Or Association:	Address:
Telephone/Fax Number:	Email Address: