



**United States Department of Labor  
Employee Benefits Security Administration  
Voluntary Fiduciary Correction Program Workshop and Webinar**

**Who Can Attend** - Any employee benefit plan sponsors, officials, parties-in-interest or service providers who are interested in how to correct fiduciary violations under the Employee Retirement Income Security Act (ERISA).

**Learn How To Apply and Get Approved** - You'll receive instructions on how to make corrections and use this program.

**Eligible ERISA Transactions**

- Delinquent Participant Contributions to Retirement Plans, or Insured Welfare Plans, or Welfare Plan Trusts.
- Fair Market Interest Rate Loans Between Employee Benefit Plans and Parties-in-Interest (PIIs).
- Below Market Interest Rate Loans with Parties-in-Interest, or Nonparties-in-Interest.
- Below Market Interest Rate Loans to PIIs or Non PIIs due to delays in perfecting loan collateral security.
- Participant Loan Amount Exceeds Plan Limitations.
- Participant Loan Duration Exceeds Plan Limitations.
- Default Participant Loans.
- Purchase of Assets by Plans from Parties-in-Interest.
- Sale of Assets by Plans to Parties-in-Interest.
- Sale and Leaseback of Property to Sponsoring Employers.
- Purchase of Assets from Non PIIs at other than Fair Market Value (FMV).
- Sale of Assets to Non PII at other than FMV.
- Holding of Illiquid Assets Previously Purchased by Plan Benefit Payments based on improper Valuation of Plan assets.
- Payment from a Plan of duplicate, excessive, or unnecessary compensation.
- Expenses Improperly Paid by a Plan including Settlor fees.
- Payment from a Plan of dual compensation to Plan fiduciaries.

Pre-registration for the Workshop is required by 11/15/11 and seats are allocated based on a first-come basis. Attendees can also participate in a COBRA presentation immediately following the workshop. Pre-register for the Webinar by 11/22/11 to receive your electronic invitation and URL link prior to the Webinar.

EBSA benefits advisors will be onsite to address your specific questions. One-on-one assistance will be available after the workshop.

- One-on-one assistance requested.
- Individuals with disabilities who need special accommodations please check here.
- The list of attendees will be available on request. Please indicate if you do not want your name shared by checking here.

**When:** November 16, 2011; 10:00-11:15 AM PST (Workshop)  
November 29, 2011; 11:00-12:00 PM PST (Webinar live over the internet)

**Where:** Santa Monica Small Business Development Center  
3171 South Bundy, Room 321  
Los Angeles, California 90066

**Cost:** The workshop and all materials provided are free!

**Registration:** Fax this form to (626) 229-1097  
Or email to [VFCEP-LARO@dol.gov](mailto:VFCEP-LARO@dol.gov)

**For More Information:** Telephone Wendy Morgan at (626) 229-1007

<b>Workshop and Webinar Registration Form</b>	
<input type="checkbox"/> <b>November 16 Workshop</b>	<input type="checkbox"/> <b>November 29 Webinar</b>
<b>Registrants Name:</b>	<b>Title:</b>
<b>Company And/Or Association:</b>	<b>Address:</b>
<b>Telephone/Fax Number:</b>	<b>Email Address:</b>