



UNITED STATES DEPARTMENT OF LABOR EMPLOYEE BENEFITS SECURITY ADMINISTRATION VOLUNTARY FIDUCIARY CORRECTION PROGRAM WORKSHOP

Who Can Attend? - Any employee benefit plan sponsors, officials, parties-in-interest or service providers who are interested in how to correct fiduciary violations under the Employee Retirement Income Security Act (ERISA).

Learn How to apply and Get Approved - You'll receive instructions on how to make corrections and use this program.

This advanced workshop will cover six of the nineteen VFCP transactions:

- Loan at Fair Market Interest Rate to a Party in Interest
- Loan at Below-Market Interest Rate to a Party in Interest
- Loan at Below-Market Interest Rate to a Non-Party in Interest
- Loan at Below-Market Interest Rate Due to Delay in Perfecting Plan's Security Interest
- Loans Failing to Comply with Plan Provisions for Amount, Duration or Level Amortization; and
- Default Loans

Other Eligible ERISA Transactions

- Delinquent Participant Contributions to Retirement Plans, or Insured Welfare Plans, or Welfare Plan Trusts
- Purchase of Assets by Plans from Parties-in-Interest
- Sale of Assets by Plans to Parties-in-Interest
- Sale and Leaseback of Property to Sponsoring Employers
- Purchase of Assets from Non PIIs at other than Fair Market Value (FMV)
- Sale of Assets to Non PII at other than FMV
- Holding of Illiquid Assets Previously Purchased by Plan Benefit Payments based on improper Valuation of Plan assets
- Payment from a Plan of duplicate, excessive, or unnecessary compensation
- Expenses Improperly Paid by a Plan including Settlor fees
- Payment from a Plan of dual compensation to Plan fiduciaries

Pre-registration for the workshop is required by January 18, 2013 and space is limited to the first 60 registrants. We ask that you make every effort to attend the workshop once you register or send an alternate. Late registrations will be accepted based upon availability and seats are allocated on a first-come basis. Attendees must bring a valid picture ID and be processed through the federal building's security entrance. As a courtesy we ask that you turn off all cell phones and electronic equipment during the workshop. Please act quickly to reserve your spot!

EBSA benefits advisors will be onsite to address your specific questions. One-on-one assistance will be available after the workshop.

- One-on-one assistance requested.
- Individuals with disabilities who need special accommodations please check here.
- The attendees list will be available on request. Please check here if you do not want your name shared.

When: January 23, 2013; 10-12:30 PM CST

Where: Kluczynski Federal Building
230 S. Dearborn Street, EBSA CRO Conference Room – 21st Floor
Chicago, Illinois 60604

Cost: The workshop and all materials provided are free!

Registration: Fax this form to (312) 353-1023

For More Information: Telephone [Katherine Lacy-Wilson](mailto:Katherine.Lacy-Wilson@ebsa.dhs.gov) at (312) 886-0585

WORKSHOP REGISTRATION FORM

REGISTRANTS NAME:	TITLE:
COMPANY AND/OR ASSOCIATION:	ADDRESS:
TELEPHONE/FAX NUMBER:	EMAIL ADDRESS: