



# United States Department of Labor Employee Benefits Security Administration Compliance Assistance Seminar

**Why should you attend this seminar?** Strong fiduciary oversight and protecting workers' benefits is one of the highest priorities of the U.S. Department of Labor. The best way to protect workers' benefits is by preventing problems before they start. Our compliance assistance program, *How to Avoid a DOL Investigation*, will increase awareness and understanding about basic fiduciary responsibilities when operating a retirement plan. Being in compliance can be challenging. This is especially true for small and medium sized employers who have limited time, resources, and access to professional help with benefit programs.

The **Voluntary Fiduciary Correction Program (VFCP)** encourages voluntary compliance by self-correcting violations of the law. The program also helps plan officials understand the law and gives immediate relief from payment of excise taxes under a class exemption.

The **Terminating Abandoned Plan** portion of the seminar discusses the process for financial institutions holding the assets of an abandoned individual account plan to terminate the plan and distribute benefits to the plan's participants and beneficiaries with limited liability

## Agenda

### Part I: Getting It Right-Understanding Fiduciary Responsibilities

- 8:15 – 8:30 am Registration and sign in
- 8:30 – 8:45 am Welcome and opening remarks
- 8:45 – 10:15 am Understanding Your Fiduciary Responsibilities
- 10:15 – 10:30 am Break

### Part II: Voluntary Fiduciary Correction Program

- 10:30 – 11:30 am Voluntary Fiduciary Correction Program Overview, Eligibility & Calculator

### Part III: Terminating an Abandoned Plan

- 11:30 – 12:30 pm Terminating an Abandoned Plan

**When:** March 13, 2009  
**Where:** Center for Community Cooperation  
 2900 Live Oak Street  
 Dallas, Texas 75204

**Cost:** It's free!

**Registration:** Fax this form to 214.767.1055  
 Registrations should be submitted no later than March 2, 2009  
 Or email to [Reyes.Lisa@dol.gov](mailto:Reyes.Lisa@dol.gov)  
 Registration is limited to first 100 Registrants

**For more information:** Telephone Lisa Reyes at 972.850.4583

## Workshop Registration Form

<b>Registrants Name:</b>	<b>Title:</b>
1)	1)
2)	2)
3)	3)
<b>Company And/Or Association:</b>	<b>Address:</b>
<b>Telephone/Fax Number:</b>	<b>Email Address:</b>
1)	1)
2)	2)
3)	3)