



**United States Department of Labor  
Employee Benefits Security Administration  
Voluntary Fiduciary Correction Program Advanced Workshop**

**Who Can Attend** - Any employee benefit plan sponsors, officials, parties-in-interest or service providers who are interested in how to correct fiduciary violations under the Employee Retirement Income Security Act (ERISA).

**Learn How To Apply and Get Approved** - You'll receive instructions on how to make corrections and use this program.

This advanced workshop will cover three of the nineteen VFCP transactions: (1) Duplicative, Excessive, or Unnecessary Compensation Paid by a Plan; (2) Delinquent Participant Contributions to Insured Welfare Plans; and (3) Delinquent Participant Contributions to a Welfare Plan Trust.

**Other Eligible ERISA Transactions**

- Delinquent Participant Contributions to Retirement Plans, or Insured Welfare Plans, or Welfare Plan Trusts
- Fair Market Interest Rate Loans by Employee Benefit Plans to Parties-in-Interest (PIIs)
- Below Market Interest Rate Loans to Parties-in-Interest, or Nonparties-in-Interest
- Below Market Interest Rate Loans to PIIs or Non PIIs due to delays in perfecting loan collateral security
- Participant Loan Amount Exceeds Plan Limitations
- Participant Loan Duration Exceeds Plan Limitations
- Defaulted Participant Loans
- Purchase of Assets by Plans from Parties-in-Interest
- Sale of Assets by Plans to Parties-in-Interest
- Sale and Leaseback of Property to Sponsoring Employers
- Purchase of Assets from Non PIIs at other than Fair Market Value (FMV)
- Sale of Assets to Non PII at other than FMV
- Holding of Illiquid Assets Previously Purchased by Plan Benefit Payments based on improper Valuation of Plan assets
- Payment from a Plan of duplicate, excessive, or unnecessary compensation
- Expenses Improperly Paid by a Plan including Settlor fees
- Payment from a Plan of dual compensation to Plan fiduciaries

Pre-registration is required and seats are allocated based on a first-come basis. We ask that you make every effort to attend the workshop once you register or send an alternate. Late registrations will be accepted based upon availability. Please act quickly to reserve your spot!

EBSA benefits advisors will be onsite to address your specific questions. One-on-one assistance will be available after the workshop.

One-on-one assistance requested.

Individuals with disabilities who need special accommodations please check here.

The attendees list will be available on request. Please check here if you do not want your name shared.

**When:** March 23, 2012; 9:00 – 12:00 PM

**Where:** Kluczynski Federal Building  
230 S. Dearborn Street, CRO Conference Room, 21<sup>st</sup> Floor  
Chicago, Illinois 60604

**Cost:** The workshop and all materials provided are free!

**Registration:** Fax this form to (312) 353-1023 or email to [EBSA-Chicago@dol.gov](mailto:EBSA-Chicago@dol.gov)

**For More Information:** Telephone Katherine Lacy-Wilson at (312) 886-0585

**Workshop Registration Form**

<b>Registrants Name:</b>	<b>Title:</b>
<b>Company And/Or Association:</b>	<b>Address:</b>
<b>Telephone/Fax Number:</b>	<b>Email Address:</b>