



United States Department of Labor Employee Benefits Security Administration

EBSA's Dallas regional office will be offering six free compliance assistance workshops for employers and plan service providers, and asset custodians.

Workshop 1: What to expect from an EBSA investigation

To help plan sponsors and service providers, this workshop will discuss the Employee Benefits Security Administration's (EBSA) enforcement program and how to prepare for a possible investigation by EBSA. Vigorous enforcement of the law is one of the ways that EBSA accomplishes its mission to assure the security of the retirement, health and other workplace related benefits of American workers and their families. Investigations to detect and correct violations of Title I of ERISA and related criminal laws are a key component of EBSA's enforcement program and are conducted by the investigative staff in EBSA's field offices.

Workshop 2: Voluntary Fiduciary Correction Program (VFCP) and Delinquent Filer Voluntary Compliance Program (DFVCP)

VFCP is designed to encourage employers to voluntarily comply with Employee Retirement Income Security Act (ERISA) by self-correcting certain violations of the law. The program also helps plan officials understand the law and gives immediate relief from payment of excise taxes under a class exemption. The DFVCP encourages voluntary compliance with ERISA's annual reporting requirements and gives delinquent plan administrators a way to avoid higher civil penalty assessments by satisfying the program's requirements and voluntarily paying a reduced penalty. An overview of the electronic filing system (EFAST) will also be provided.

Workshop 3: Abandoned Plan Program

This program facilitates the termination of, and distribution of benefits from individual account pension plans that have been abandoned by their sponsoring employers. This workshop helps asset custodians understand how to serve as a Qualified Termination Administrator (QTA). Service providers who may be hired by QTAs under the program will also benefit from this workshop.

Workshop 4: COBRA

This workshop will discuss:

- plans covered by COBRA
- what is a COBRA qualifying event
- duration of COBRA
- COBRA notice requirements
- qualified beneficiary rights

Workshop 5: HIPAA

This workshop will provide information on the rules and regulations regarding HIPAA and other related health laws, including:

- Mental Health Parity and Addiction Equity Act of 2008 (MHPAEA)
- Genetic Information Nondiscrimination Act of 2008 (GINA)
- pre-existing conditions
- special enrollment rights

Workshop 6: Affordable Care Act

- Grandfathered Health Plans
- Federal External Review Process
- Coverage of Preventive Services
- Extension of Coverage for Adult Children

Pre-registration is required and seats are allocated based on a first-come basis. We ask that you make every effort to attend the workshop once you register or send an alternate. Late registrations will be accepted based upon availability. Please act quickly to reserve your spot!

When: September 14, 2011

Where: U.S. Department of Labor
525 S. Griffin Street, Room 910
Dallas, Texas 75202

Cost: The workshop and all materials provided are free!

Registration: Fax this form to (214) 767-1055 or email to workshop-DRO@dol.gov

For More Information: Telephone Nicole Rivera at (972) 850-4573 or Clarissa Lavalais at (972) 850-4588

EBSA benefits advisors will be onsite to address your specific questions. One-on-one assistance will be available after the workshop.

- One-on-one assistance requested.
- Individuals with disabilities who need special accommodations please check here.
- The attendees list will be available on request. Please check here if you do not want your name shared.

Workshop Registration Form

- 8:30-9:45 AM (CST) What to Expect from an EBSA Investigation
- 10:00-11:15 AM (CST) VFCP and DFVCP Workshop
- 11:30-12:15 AM (CST) Abandoned Plan Program Workshop

- 1:00-2:00 PM (CST) COBRA
- 2:15-3:15 PM (CST) HIPAA
- 3:15-4:15 PM (CST) Affordable Care Act

Registrants Name:

Title:

Company And/Or Association:

Address:

Telephone/Fax Number:

Email Address: