

Strong fiduciary oversight and protecting workers' benefits is one of the highest priorities of the U.S. Department of Labor. The best way to protect workers' benefits is by preventing problems before they start. Our compliance assistance program – *Getting It Right – Know Your Fiduciary Responsibilities* – will increase awareness and understanding about basic fiduciary responsibilities when operating a retirement plan.

Getting it right, however, can be challenging. This is especially true for small and medium sized employers who have limited time, resources, and access to professional help with benefit programs.

Specifically, getting it right means:

- Understanding your plan and your responsibilities;
- Carefully selecting and monitoring service providers;
- Making contributions on time;
- Avoiding prohibited transactions; and
- Making appropriate disclosures to plan participants and filing annual reports to the government on time.

Our program combines free seminars around the country, educational materials, and a dedicated webpage on EBSA's Web site. With the valuable participation of our partners, *Getting It Right* will offer a helping hand to those who want to do the right thing.

We hope you will join us at this upcoming seminar. Helping fiduciaries to get it right benefits us all.

U.S. Department of Labor  
Employee Benefits Security Administration  
200 Constitution Avenue, NW  
Room N5623  
Washington, DC 20210

Penalty for Private Use, \$300  
3-450



U.S. DEPARTMENT OF LABOR

## GETTING IT RIGHT KNOW YOUR FIDUCIARY RESPONSIBILITIES

## A RETIREMENT PLAN COMPLIANCE ASSISTANCE SEMINAR FOR SMALL BUSINESS

DECEMBER 8, 2009

## SEMINAR PARTNERS

- Society for Human Resource Management
- National Federation of Independent Business
- American Institute of Certified Public Accountants
- U.S. Small Business Administration

This seminar is **FREE** and open to the first 120 registrants. Registration will be on a first come first served basis, so please fill out and return the registration form on the back of this brochure as soon as possible by email or by fax **NO LATER THAN November 30, 2009.**

### SEMINAR LOCATION

Robert A. Young Federal Building  
Second Floor Auditorium  
Room 2-308  
1222 Spruce Street  
St. Louis, MO 63103

Cooperation does not constitute or imply and endorsement by the Government of any product or service of the private sector partners.

## ST. LOUIS, MISSOURI

DECEMBER 8, 2009

### AGENDA

8:30 – 9:00 a.m. – **Registration & Sign in**

9:00 – 9:30 a.m. – **Welcome**  
U.S. Department of Labor and Partners

9:30 – 10:30 a.m. – **Fiduciary Responsibility**  
**Speaker:** U.S. Department of Labor

10:30 – 10:45 a.m. – **BREAK**

10:45 a.m. – 12:30 p.m. – **Fiduciary Responsibility** (continued)  
**Speaker:** U.S. Department of Labor

12:30 – 1:30 p.m. – **LUNCH** on your own

1:30 – 1:45 p.m. – **Fiduciary Responsibility Questions and Answers**

1:45 – 2:45 p.m. – **Reporting and Disclosure**  
**Speaker:** U.S. Department of Labor

2:45 – 3:00 p.m. – **BREAK**

3:00 – 3:55 p.m. – **Common Mistakes & IRS Correction Programs**  
**Speaker:** Internal Revenue Service

3:55 – 4:15 p.m. – **DOL Voluntary Correction Program**  
**Speaker:** U.S. Department of Labor

4:15 – 4:30 p.m. – **Questions and Answers**

To register for this seminar, please fill in the requested information below, then email or fax this form **NO LATER THAN November 30, 2009 to:**

Pat Humphlett  
Tel.: (202)693-8660  
Fax: (202)219-8141  
Email: [humphlett.patricia@dol.gov](mailto:humphlett.patricia@dol.gov)

### REGISTRATION INFORMATION

#### ST. LOUIS, MISSOURI

1. Name and Title

2. Organization/Company

3. Address

4. Telephone/Fax/Email

Individuals with disabilities who need special accommodations please check here. \_\_\_\_

The list of attendees will be available upon request. Please indicate if you do not want your name shared by checking here. \_\_\_\_