

Application

Federal Award Identification Number (FAIN)	NM-2020-001-00
Temporary Application Number	6932-2019-2
Application Name	Ohkay Owingeh FY19 5311c, 5311 and 5339
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Ohkay Owingeh Tribal Council

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6932	Indian Tribe	OHKAY OWINGEH PUEBLO	929442408

Location Type	Address	City	State	Zip
Headquarters	State Road 74	San Juan Pueblo	NM	87566
Physical Address	State Road 74	San Juan Pueblo	NM	87566
Mailing Address	P. O. BOX 1119	OHKAY OWINGEH	NM	87566

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Ohkay Owingeh FY19 5311c, 5311 and 5339

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2020-001-00	Final Concurrence / Reservation	Grant	9/17/2019	9/17/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

6/29/2021

Application Executive Summary

FY19 NMDOT awarded 5311 Administrative, Operating, and Capital expenses. \$77,437 (5311 operating and admin) and \$6,214 (5339 radios). Source of local match FHWA TTP.

FY19 TTP \$84,673 (operating)

Total application amount \$168,324

STIP Attached; projects included on pages 39- 41.

Ohkay Owingeh, located in Rio Arriba County, New Mexico, operates a transit system (the PoPay Messenger). The Tribe provides a Demand Response transit system to its membership consisting of more than 3,000 enrolled Tribal Members and 11,500 non-Indian Community Members living within the confines of Ohkay Owingeh. The four counties served in the program covers 10,079 miles; the primary service area is 4000 square miles.

During the past year, 12,760 trips were provided to 7,041 riders.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: See attached

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Christy	Van Buren	Planning Manager	christy.vanburen@ohkay.org	505-852-4400 111
Sharon	Coats	Transportation Program Specialist	sharon.coats@dot.gov	817-978-0552

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$6,214
5311 - Rural Area Formula	5311-1A	20509	\$77,437
5311 - Tribal Transit Program Formula	5311-1B	20509	\$84,673
Local			\$65,107
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$233,431

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-001-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,214.00	\$1,554.00	\$7,768.00	0
NM-2020-001-01-00	11.62.03 PURCHASE RADIOS	\$6,214.00	\$1,554.00	\$7,768.00	0
NM-2020-001-02-00	600-00 (600-A4) OTHER PROGRAM COSTS	\$58,925.00	\$58,925.00	\$117,850.00	0
NM-2020-001-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$58,925.00	\$58,925.00	\$117,850.00	0
NM-2020-001-02-00	620-00 PROJECT ADMINISTRATION	\$18,512.00	\$4,628.00	\$23,140.00	0

	(620-A2)						
NM-2020-001-02-00		11.79.00	PROJECT ADMINISTRATION	\$18,512.00	\$4,628.00	\$23,140.00	0
NM-2020-001-03-00	600-00 (600-A3)		OTHER PROGRAM COSTS	\$84,673.00	\$0.00	\$84,673.00	0
NM-2020-001-03-00		30.09.01	UP TO 50% FEDERAL SHARE	\$84,673.00	\$0.00	\$84,673.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Ohkay Owingeh PoPay Messenger Transit Services-Capital Purchase - Radios 5339 NMDOT

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-001-01-00	6932-2019-2-P1	9/17/2019	9/30/2019	9/29/2020

Project Description

Capital purchases for FY20 will be the replacement of radios for active vehicles

Project Benefits

PoPay Messenger provides services to the Ohkay Owingeh service area. Ohkay Owingeh provides Demand Service to more than 6,000 riders a year and provides more than 12,000 trips per year.

Additional Information

The Ohkay Owingeh PoPay Messenger Program employs four full-time drivers and one dispatcher Radios will be replaced in six vehicles

Location Description

30 miles north of Santa Fe, New Mexico; 2 miles north of Espanola, New Mexico

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
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Program Plan Information

STIP/TIP

Date: 9/17/2019

Description: NMDOT STIP FY20

UPWP

Date: 9/17/2019

Description: N/A

Long Range Plan

Date: 9/17/2019

Description: LRTP - Revised in 2017

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$6,214
Local			\$1,554
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,768

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-001-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,214.00	\$1,554.00	\$7,768.00	0
NM-2020-001-01-00	11.62.03 PURCHASE RADIOS	\$6,214.00	\$1,554.00	\$7,768.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.62.03 - PURCHASE RADIOS
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.62.03	PURCHASE RADIOS	AQUISITION	0

Extended Budget Description

Purchase and install NX-3420K3 radios with batteries, charger and antenna. The Six new radios will be swapped out and installations completed at Speciality Communications. The State of NM Price Agreement was utilized (Price agreement #80-000-18-00027; which expires March 31, 2020. NASPO #06913/60-000-15-00019AH)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$6,214
Local			\$1,554
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,768

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2020	Radios will have been purchased and installed in all transit vehicles.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and

people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Administrative and Operating Support - 5311 NMDOT

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-001-02-00	6932-2019-2-P2	12/20/2019	9/30/2019	9/29/2020

Project Description

Administrative Support in the amount of \$18,512 federal share and \$4,628 Local Share. Operating Support in the amount of \$58,925 federal share and \$58,925 local share

Project Benefits

Ohkay Owingeh operates an on-demand transit service for the Ohkay Owingeh service area. Our service area is just over 12,000 square miles and serves the 3,000 Tribal and 11,500+ non-Tribal Members. The Transit Service (PoPay Messenger) serves more than 7000 clients per year with access to work, schooling, and social services related appointments

Additional Information

None provided.

Location Description

Ohkay Owingeh is located 30 miles north of Santa Fe, New Mexico; and 5 miles north of Espanola, New Mexico

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: 12/19/2019

Description: Version 5 - STIP Year 2020-2025

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: 6/29/2017

Description: Pages 21-24 of the LRTP addresses the PoPay Messenger Transit Program

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$77,437
Local			\$63,553
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$140,990

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-001-02-00	600-00 (600-A4) OTHER PROGRAM COSTS	\$58,925.00	\$58,925.00	\$117,850.00	0
NM-2020-001-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$58,925.00	\$58,925.00	\$117,850.00	0
NM-2020-001-02-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$18,512.00	\$4,628.00	\$23,140.00	0
NM-2020-001-02-00	11.79.00 PROJECT ADMINISTRATION	\$18,512.00	\$4,628.00	\$23,140.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating Support of \$58,925 federal share and \$58,925 local share - Salaries for dispatcher, driver and fuel.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$58,925
Local			\$58,925
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$117,850

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Provide administrative and operating support to the PoPay Transit Program
End Date	9/30/2020	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Program supervision and oversight, D&A program compliance.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,512

Local		\$4,628
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$23,140

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	9/30/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$18,512.00	\$23,140.00

Finding: Class II(c) - Categorical Exclusions (C-List)

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Categorical Exclusion Description

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Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$58,925.00	\$117,850.00

Project Title: Tribal Transit TTP Funds FY19

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-001-03-00	6932-2019-2-P3	12/20/2019	9/30/2019	9/29/2020

Project Description

The Planning Manager and the Planning Technician spend 20% of their time managing the PoPay Messenger Transit Services. Administrative Services include supervision of the program, reporting on the TrAMS and NTD databases; and ensuring planning and mandatory training for Transit Staff. The Planning Manager also serves as the Drug and Alcohol Program Manager. Operating expenses include the operations for Ohkay Owingeh's on Demand Service.

Project Benefits

The PoPay Messenger Transit Service provides more than 7000 clients with services during a one year period

Additional Information

None provided.

Location Description

Ohkay Owingeh is located 30 miles north of Santa Fe NM; and 5 miles north of Espanola, NM

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: 12/19/2019

Description: Version 5 STIP Year 2020-2025

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: 6/29/2017

Description: Pages 21-24 covers the PoPay Messenger Transit Program

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$84,673
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$84,673

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-001-03-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$84,673.00	\$0.00	\$84,673.00	0
NM-2020-001-03-00	30.09.01 UP TO 50% FEDERAL SHARE	\$84,673.00	\$0.00	\$84,673.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

TTP Funds to provide operating support for the PoPay Messenger Transit Program including salaries for four drivers and one dispatcher and fuel.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$84,673
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$84,673

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Ohkay Owingeh will begin utilizing funds on October 1, 2019
End Date	9/30/2020	Ohkay Owingeh will have provided on-demand service for FY2020

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

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Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Ohkay Owingeh Tribal Council

Recipient ID: 6932

DUNS No: 929442408

Application Information

Federal Award Identification Number: NM-2020-001-00

Application Name: Ohkay Owingeh FY19 5311c, 5311 and 5339

Application Start Date: The start date will be set to the date of the award

Application End Date: 6/29/2021

Application Executive Summary: FY19 NMDOT awarded 5311 Administrative, Operating, and Capital expenses. \$77,437 (5311 operating and admin) and \$6,214 (5339 radios). Source of local match FHWA TTP.

FY19 TTP \$84,673 (operating)

Total application amount \$168,324

STIP Attached; projects included on pages 39- 41.

Ohkay Owingeh, located in Rio Arriba County, New Mexico, operates a transit system (the PoPay Messenger). The Tribe provides a Demand Response transit system to its membership consisting of more than 3,000 enrolled Tribal Members and 11,500 non-Indian Community Members living within the confines of Ohkay Owingeh. The four counties served in the program covers 10,079 miles; the primary service area is 4000 square miles.

During the past year, 12,760 trips were provided to 7,041 riders.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$233,431.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$168,324.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$65,107.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$168,324.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$65,107.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$6,214
5311 - Rural Area Formula	5311-1A	20509	\$77,437
5311 - Tribal Transit Program Formula	5311-1B	20509	\$84,673
Local			\$65,107
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$233,431

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Ohkay Owingeh Tribal Council