

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	ME-2019-002-00
<b>Temporary Application Number</b>	1346-2019-1
<b>Application Name</b>	New Commuter Service Western Maine - CMAQ Funds
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Transportation, Maine Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1346	State Agency	MAINE DEPARTMENT OF TRANSPORTATION	809045966

Location Type	Address	City	State	Zip
Headquarters	1 STATEHOUSE STATION 2ND FL 236	AUGUSTA	ME	04333
Physical Address	24 Child St	Augusta	ME	04330
Mailing Address	16 STATE HOUSE STATION	AUGUSTA	ME	04333

### Union Information

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	5025 Wisconsin Avenue, N.W.
Address 2	
City	Washington
State	District of Columbia
Zipcode	20016
Contact Name	Jim La Sala
Telephone	2022447824
Fax	
E-mail	

Website	
<b>Union Name</b>	<b>LOCAL 481, COUNCIL 93, AMERICAN FEDERATION OF STATE, COUNTY AND MUNICI</b>
Address 1	20 Winter Street
Address 2	
City	Augusta
State	ME
Zipcode	04330
Contact Name	James Mackie
Telephone	(207) 622-6191
Fax	(207) 622-6550
E-mail	jmackey@afscmecouncil93.org
Website	afscmecouncil93.org/maine
<b>Union Name</b>	<b>SEIU LOCAL 1989</b>
Address 1	65 State Street
Address 2	
City	Augusta
State	Maine
Zipcode	04330
Contact Name	Tim Belcher
Telephone	207-622-31
Fax	
E-mail	tim.belcher@mseaseiu.org
Website	http://www.mseaseiu.org/

## Part 2: Application Information

### Title: New Commuter Service Western Maine - CMAQ Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ME-2019-002-00	Final Concurrence / Reservation	Grant	11/16/2018	11/16/2018	No

#### Application Start Date

The start date will be set to the date of the award

#### Application End Date

2/27/2022

#### Application Executive Summary

Project Details: This is a Maine Department of Transportation (MaineDOT) grant application for \$352,000 of FY19 FHWA CMAQ funds which have been transferred to FTA. The transfer requests were processed in FMIS on 11/15/18.

This grant is for Year 1 of 3. Year 1 will be 3/1/19 through 2/29/20, with amendments to this grant requested over the next two years for Year 2 and Year 3 funding. The project is located in rural Maine, so therefore this is a Section 5311 application. Federal funds will be matched with local funds for a total project cost of \$440,000.

These funds will be used for a new commuter route(s) between Farmington/Wilton with connecting service to Rumford/Mexico to Lewiston/Auburn as outlined in the Western Maine Transportation Services Transit Feasibility Study last revised July 2018.

Projects to include:

- Operating assistance
- Capital procurement

Source of Local Match – Western Maine Transportation Services will provide the local match for all activities from eligible sources.

Attached - Program of Projects

Attached - STIP

Ridership - It is anticipated that this new service will increase ridership by approximately 30,000 after the first three years.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. All administrative and statutory requirements have been met.

Transit Provider Description:

Western Maine Transportation Services, Inc. (WMTS) – Region 7

WMTS is a non-profit corporation serving as the designated transit provider in Maine's Transit Region 7, serving low income, seniors, and people with disabilities as well as the general public in Androscoggin, Franklin, and Oxford Counties with demand response and flex route services. The three-county area includes all of MaineDOT Region 7 and, under agreement with York County Community Action Corporation, that portion of Region 8 that includes nine Oxford County towns. WMTS also operates the Brunswick Explorer a flex route service in Brunswick which is in Cumberland County, part of Region 5.

WMTS provides public, wheelchair-accessible, "green" paratransit bus service around the more populated regions of its service area. Flex routes services are offered in Lisbon, Farmington, Wilton, Jay, Livermore Falls, Rumford, Mexico, Dixfield, Norway, South Paris, and Oxford. Seasonal services are provided to the Bethel/Sunday River and Sugarloaf regions. In addition, WMTS operates the Lewiston/Auburn citylink bus service (the purple bus) for the Lewiston-Auburn Transit Committee.

FFY2017 Ridership: 214,158

Service Area: Androscoggin, Franklin, and Oxford Counties along with part of Cumberland County

Website: [www.wmtsbus.org](http://www.wmtsbus.org)

MaineDOT's 2013-2017 Locally Coordinated Transit Plans:  
<http://www.maine.gov/mdot/transit/publications/lcp/>

MaineDOT has requested and received approval to extend the 2013-2017 LCP through CY2018. Approval from FTA is attached. The 2019-2023 Locally Coordinated Transit Plan is currently being developed.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Lori	Brann	Transit Program Coordinator	lori.brann@maine.gov	207-624-3299
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	617-494-2484

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$352,000
Local			\$88,000
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
<b>Total Eligible Cost</b>		<b>\$440,000</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2019-002-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$192,000.00	\$48,000.00	\$240,000.00	2
ME-2019-002-01-00	11.13.03 BUY 30-FT BUS FOR EXPANSION	\$192,000.00	\$48,000.00	\$240,000.00	2
ME-2019-002-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$160,000.00	\$40,000.00	\$200,000.00	0
ME-2019-002-01-00	30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL	\$160,000.00	\$40,000.00	\$200,000.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: New Service in Western Maine

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ME-2019-002-01-00	1346-2019-1-P1	11/16/2018	6/8/2018	2/28/2020

#### Project Description

Scope of Work: The commuter route between Farmington and Lewiston~Auburn with a connection in Livermore to the Rumford/Mexico area is expected to run four (4) round trips daily on weekdays (M-F) with the exception of major holidays during peak times beginning in March 2019 with trial runs to begin prior to full service. WMTS expects to use two (2) 24+2 passenger vehicles to support this run. During the trial period WMTS will use accessible buses available in the existing fleet. This will be a fare based service with different fares by zones with multi-ride discounts passes available for purchase.

Other Information: Annual revenue hours for the Farmington to Lewiston~Auburn service is expected to be 3,379 hours and annual revenue miles is expected to be 92,664 miles. The connecting service to Rumford/Mexico is expected to be 1,365 revenue hours and 11,492 revenue miles. After three (3) years of service the annual ridership is expected to be 20,375 persons on the Farmington to Lewiston~Auburn route with an additional 8,925 persons on the connector route to Rumford/Mexico from Livermore. The

approximate cost per passenger trip is expected to be \$10.81 for the Farmington to Lewiston~Auburn run and \$9.97 per passenger trip for the route connecting Rumford/Mexico to Livermore.

Vehicle purchases information: Vehicles to support the commuter route would be an expansion to the existing WMTS fleet. The service would rely on two 24+2 accessible buses with digital signage, video/audio recording ability and the ability to support electronic data collection and annunciator programming and passenger WIFI capabilities.

**Project Benefits**

This expansion will create opportunities for expanded service to employment, higher education, and medical services in an area where general public transportation is very limited. Services that do exist are demand-responsive, so the need for commuter service was a high priority in the WMTS feasibility study. This allows persons living in the rural area access to services in the urban center that are unavailable in the smaller communities on a dependable regular schedule.

**Additional Information**

*None provided.*

**Location Description**

MaineDOT Transit Region 7. Western Maine, to include Androscoggin County, Franklin County, and northern Oxford County.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
230000	Maine

**Congressional District Information**

State	District	Representative
Maine	2	Bruce Poliquin

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$352,000
Local			\$88,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$440,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2019-002-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$192,000.00	\$48,000.00	\$240,000.00	2
ME-2019-002-01-00	11.13.03 BUY 30-FT BUS FOR EXPANSION	\$192,000.00	\$48,000.00	\$240,000.00	2
ME-2019-002-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$160,000.00	\$40,000.00	\$200,000.00	0
ME-2019-002-01-00	30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL	\$160,000.00	\$40,000.00	\$200,000.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.13.03 - BUY 30-FT BUS FOR EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.03	BUY 30-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	2

### Extended Budget Description

Project involves the purchase of two 30' 2019 or 2020 transit buses that have a useful life of 5 years or 150,000 miles. Our independent cost estimate indicates the cost for these two buses to be approximately \$280,000, which is over the value of this line item.

New vehicles will be cutaways with curbside wheelchair lifts, diesel powered with a useful life of 5 years or 150,000 miles. These buses will support expanding the commuter route and WMTS fleet. The service would rely on two (2) 30' accessible buses with digital signage, video/audio recording features, the ability to support electronic data collection, annunciator programming, and passenger WiFi capabilities. Vehicles are being procured for WMTS – Region 7 lead by MaineDOT.

WTMS will provide 20%, plus any overmatch, match through eligible sources, including revenue contracts, private donations, and municipal/county funds.

MaineDOT will contract with a third party to procure the vehicles.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	24+2

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$192,000
Local			\$48,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$240,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	6/9/2018	
Contract Award Date	2/15/2019	
Initial Delivery Date	8/1/2019	
Final Delivery Date	8/1/2019	
Contract Completion Date	12/31/2019	

**Budget Activity Line Item: 30.80.01 - OPERATING ASSISTANCE - 80% CMAQ CAPITAL**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL	OPERATING ASSISTANCE	0

**Extended Budget Description**

Operating assistance to support new route. The commuter route between Farmington and Lewiston~Auburn with a connection in Livermore to the Rumford/Mexico area is expected to run four (4) round trips daily on weekdays (M-F) with the exception of major holidays during peak times beginning in January 2019 with trial runs to begin prior to full service.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$160,000
Local			\$40,000
Local/In-Kind			\$0
State			\$0



State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
<b>Total Eligible Cost</b>	<b>\$200,000</b>

Milestone Name	Est. Completion Date	Description
Service Begin Year 1	3/1/2019	Service for year 1 to begin 3/1/19. CMAQ funds are eligible for 3 years of startup, so year 2 will begin 3/1/20, and year 3 will begin 3/1/21.
End of Year 1 Operating	2/29/2020	End of year 1 service.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.03	BUY 30-FT BUS FOR EXPANSION	2	\$192,000.00	\$240,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

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#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL	0	\$160,000.00	\$200,000.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

There are no review comments to display at this time.

## Part 6: Agreement

### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: Transportation, Maine Department Of

Recipient ID: 1346

DUNS No: 809045966

#### Application Information

Federal Award Identification Number: ME-2019-002-00

Application Name: New Commuter Service Western Maine - CMAQ Funds

Application Start Date: The start date will be set to the date of the award

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FTA. The transfer requests were processed in FMIS on 11/15/18.

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Projects to include:

- Operating assistance
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Source of Local Match – Western Maine Transportation Services will provide the local match for all activities from eligible sources.

Attached - Program of Projects

Attached - STIP

Ridership - It is anticipated that this new service will increase ridership by approximately 30,000 after the first three years.

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MaineDOT has requested and received approval to extend the 2013-2017 LCP through CY2018. Approval from FTA is attached. The 2019-2023 Locally Coordinated Transit Plan is currently being developed.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$440,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$352,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$88,000.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$352,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$88,000.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$352,000
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Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$440,000</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

Executed By:

*Transportation, Maine Department Of*