

## Application

|   |                                 |
|---|---------------------------------|
| <b>Federal Award Identification Number (FAIN)</b> | MN-2020-003-00                  |
| <b>Temporary Application Number</b>               | 6935-2020-1                     |
| <b>Application Name</b>                           | 5311 Operations Grant           |
| <b>Application Status</b>                         | Final Concurrence / Reservation |
| <b>Application Budget Number</b>                  | 0                               |

### Part 1: Recipient Information

**Name: Fond Du Lac Reservation**

| Recipient ID | Recipient OST Type | Recipient Alias         | Recipient DUNS |
|--------------|--------------------|-------------------------|----------------|
| 6935         | Indian Tribe       | FOND DU LAC RESERVATION | 039582366      |

| Location Type    | Address          | City    | State | Zip   |
|------------------|------------------|---------|-------|-------|
| Headquarters     | 1785 Highway 210 | Carlton | MN    | 55718 |
| Physical Address | 1785 Highway 210 | Carlton | MN    | 55718 |
| Mailing Address  | 1720 BIG LAKE RD | CLOQUET | MN    | 55720 |

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 5311 Operations Grant**

| FAIN           | Application Status              | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| MN-2020-003-00 | Final Concurrence / Reservation | Grant            | 11/19/2019   | 11/19/2019        | No         |

**Application Start Date**

The start date will be set to the date of the award

**Application End Date**

3/30/2022

**Application Executive Summary**

This grant to the Fond du lac Band of Lake Superior Chippewa is for FY2018 Section 5311 funds in the amount of \$67,500 for operating assistance during FY2020. The source of local match is from the Fond du Lac Development Corporation for 50% of the grant or \$67,500. Please see attached acceptance resolution.

The Award Start Date is the same as the date of award.

This application does NOT include funds for Research and/or Development Activities.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

| First Name | Last Name | Title                             | E-mail Address        | Phone          |
|------------|-----------|-----------------------------------|-----------------------|----------------|
| Matthew    | Lange     | Transportation Program Specialist | matthew.lange@dot.gov | (312) 353-4118 |

## Application Budget Control Totals

| Funding Source                        | Section of Statute | CFDA Number | Amount           |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$67,500         |
| Local                                 |                    |             | \$67,500         |
| Local/In-Kind                         |                    |             | \$0              |
| State                                 |                    |             | \$0              |
| State/In-Kind                         |                    |             | \$0              |
| Other Federal                         |                    |             | \$0              |
| Transportation Development Credit     |                    |             | \$0              |
| Adjustment                            |                    |             | \$0              |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$135,000</b> |

## Application Budget

| Project Number    | Budget Item                          | FTA Amount  | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--------------------------------------|-------------|----------------|-----------------------|----------|
| MN-2020-003-01-00 | 300-00 (300-A1) OPERATING ASSISTANCE | \$67,500.00 | \$67,500.00    | \$135,000.00          | 1        |
| MN-2020-003-01-00 | 30.09.01 UP TO 50% FEDERAL SHARE     | \$67,500.00 | \$67,500.00    | \$135,000.00          | 1        |

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: 2020 5311 Operating Assistance

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date   |
|-------------------|--------------------------|--------------|------------|------------|
| MN-2020-003-01-00 | 6935-2020-1-P1           | 11/19/2019   | 12/31/2019 | 12/30/2020 |

#### Project Description

Operating assistance will be supported by Section 5311 Non-urbanized Public Transportation on Indian Reservations funds in the amount of \$67,500 and Fond du Lac Development Corporation funds in the amount of \$67,500 for a total amount of \$135,000. These funds will be used to pay for staff wages for 2020. These funds will be used to pay for transit drivers, dispatch and administration.

Fond du Lac Transit runs from 6:00AM through 7:30PM on weekdays. Transit has a dispatch system for an on demand system in addition to a fix route system. Fond du Lac Transit serves the Fond du Lac Reservation with on call and fixed route services. The fixed route services reach Duluth, MN. More information can be found at [www.fdlrez.com](http://www.fdlrez.com) under the Transit Tab.

### **Project Benefits**

This grant request is for a operations grant that will allow the Band to better serve the Reservation and assist in funding transit operations . Fond du Lac Transit is a service provided directly by the Fond du Lac Band of Lake Superior Chippewa. Fond du Lac Transit was launched in December 2007 and has been awarded operations grants since 2010. These operations grants as well as Fond du Lac Band funds have been used to support the program over the years.

This project will make a large impact for the community by improving the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment, because we will continue to operate Fond du Lac Transit in calendar year 2020. Our people have come to depend on Fond du Lac Transit for access to employment, educational opportunities, health care, shopping, and community services.

The number of riders who use the transit system has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by showing trends in transit ridership. For example, this indicator was 7,831 in the first six months of 2008 (ahead of estimates). We have steadily increased our ridership over the years and we served 12,483 riders in the first six months of 2011. The benchmark for this indicator will be 47,000 riders in calendar 2020.

The number of riders per hour of operation has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by showing transit ridership compared to hours of operation. The status of this indicator was 2 people per hour of service in the first six months of 2008, and it increased to 2.5 people per hour of service in the first six months of 2011. The benchmark for this indicator will be 2.50 or more people per hour of service in calendar 2020.

The hours of service have been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by increased hours of service and therefore increased transit services offered to the Fond du Lac community. The current status of this indicator was 140 hours per week for the first six months of 2008 and 189 hours per week for the first six months of 2011. The benchmark for this indicator will be 180 hours per week for 2020, because we will have to find ways to do more with less funding.

The number of weekly scheduled trips to Duluth has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by increased runs to Duluth and therefore increased transit services offered to the Fond du Lac community. The current status of this indicator is 10 and we hope to retain the 10 scheduled trips to Duluth with the use of Tribal Transit funds. This indicator will certainly be reduced if we are not awarded Tribal Transit funds.

### **Additional Information**

*None provided.*

### **Location Description**

Fond du Lac Transit serves the Fond du Lac Reservation. The reservation consists of 101,000 acres in Northeastern Minnesota adjacent to the City of Cloquet, approximately 25 miles southwest of Duluth.

The Fond du Lac Reservation is rural and is in both Carlton and St. Louis Counties. Fond du Lac Transit also provides two scheduled trips each weekday from the reservation to Duluth. Fond du Lac Transit is directly operated by the Fond du Lac Band of Lake Superior Chippewa. Coverage for Fond du Lac Transit is the Reservation, Cloquet and two trips to Duluth.

## Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 270000   | Minnesota |

## Congressional District Information

| State     | District | Representative  |
|-----------|----------|-----------------|
| Minnesota | 8        | Richard M Nolan |

## Program Plan Information

### STIP/TIP

Date: 10/29/2018

Description: Not Provided

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: 1/30/2017

Description: This is the 20 year long range transportation plan for the Fond du Lac Band of Lake Superior Chippewa. Fond du Lac Transit is referenced on pages 20, 22, 23, 26 and 30.

## Project Control Totals

| Funding Source                        | Section of Statute | CFDA Number | Amount           |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$67,500         |
| Local                                 |                    |             | \$67,500         |
| Local/In-Kind                         |                    |             | \$0              |
| State                                 |                    |             | \$0              |
| State/In-Kind                         |                    |             | \$0              |
| Other Federal                         |                    |             | \$0              |
| Transportation Development Credit     |                    |             | \$0              |
| Adjustment                            |                    |             | \$0              |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$135,000</b> |

## Project Budget

| Project Number    | Budget Item                          | FTA Amount  | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--------------------------------------|-------------|----------------|-----------------------|----------|
| MN-2020-003-01-00 | 300-00 (300-A1) OPERATING ASSISTANCE | \$67,500.00 | \$67,500.00    | \$135,000.00          | 1        |
| MN-2020-003-01-00 | 30.09.01 UP TO 50% FEDERAL SHARE     | \$67,500.00 | \$67,500.00    | \$135,000.00          | 1        |

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

| Scope Name / Code             | Line Item # | Line Item Name          | Activity             | Quantity |
|-------------------------------|-------------|-------------------------|----------------------|----------|
| OPERATING ASSISTANCE (300-00) | 30.09.01    | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 1        |

### Extended Budget Description

Funds will be used to pay for staff time for the transit drivers, dispatch and administration as outlines in C9030.1E, pages IV-14 to IV-15.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source                        | Section of Statute | CFDA Number | Amount           |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$67,500         |
| Local                                 |                    |             | \$67,500         |
| Local/In-Kind                         |                    |             | \$0              |
| State                                 |                    |             | \$0              |
| State/In-Kind                         |                    |             | \$0              |
| Other Federal                         |                    |             | \$0              |
| Transportation Development Credit     |                    |             | \$0              |
| Adjustment                            |                    |             | \$0              |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$135,000</b> |

| Milestone Name | Est. Completion Date | Description  |
|----------------|----------------------|--|
| Start Date     | 1/1/2020             | Begin the expenditure of the FY 2020 Operating Assistance Funds. Funds will be used to pay for staff |

|          |            |  |
|----------|------------|--|
|          |            | time for the transit drivers, dispatch and administration. |
| End Date | 12/31/2020 | Complete the expenditure of the FY 2017 Operating Funds.   |

## Project Environmental Findings

|   |
|---|
| <b>Finding: Class II(c) - Categorical Exclusions (C-List)</b> |
|---|

### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date       |
|-----------------------|------------|
| Class IIc CE Approved | 11/19/2019 |

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

There are no review comments to display at this time.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this

FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Fond Du Lac Reservation

Recipient ID: 6935

DUNS No: 039582366

#### **Application Information**

Federal Award Identification Number: MN-2020-003-00

Application Name: 5311 Operations Grant

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/30/2022

Application Executive Summary: This grant to the Fond du lac Band of Lake Superior Chippewa is for FY2018 Section 5311 funds in the amount of \$67,500 for operating assistance during FY2020. The source of local match is from the Fond du Lac Development Corporation for 50% of the grant or \$67,500. Please see attached acceptance resolution.

The Award Start Date is the same as the date of award.

This application does NOT include funds for Research and/or Development Activities.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.



Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$135,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$67,500.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$67,500.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$67,500.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$67,500.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| <b>Funding Source</b>                 | <b>Section of Statute</b> | <b>CFDA Number</b> | <b>Amount</b>    |
|---------------------------------------|---------------------------|--------------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B                   | 20509              | \$67,500         |
| Local                                 |                           |                    | \$67,500         |
| Local/In-Kind                         |                           |                    | \$0              |
| State                                 |                           |                    | \$0              |
| State/In-Kind                         |                           |                    | \$0              |
| Other Federal                         |                           |                    | \$0              |
| Transportation Development Credit     |                           |                    | \$0              |
| Adjustment                            |                           |                    | \$0              |
| <b>Total Eligible Cost</b>            |                           |                    | <b>\$135,000</b> |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Fond Du Lac Reservation*