

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	WA-2018-083-00
<b>Temporary Application Number</b>	6801-2018-1
<b>Application Name</b>	2018 5311 TTP Formula Operations/Indirect Costs/GPS
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Stillaguamish Tribe Of Indians Of Washington**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6801	Indian Tribe	STILLAGUAMISH TRIBE OF INDIANS	034340406

Location Type	Address	City	State	Zip
Headquarters	3322 236TH ST NE	ARLINGTON	WA	982237233
Physical Address	3322 236TH ST NE	ARLINGTON	WA	98223
Mailing Address	P.O. BOX 277	ARLINGTON	WA	98223

### Union Information

<b>Union Name</b>	NONE
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	--
Telephone	
Fax	
E-mail	

## Part 2: Application Information

### Title: 2018 5311 TTP Formula Operations/Indirect Costs/GPS

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WA-2018-083-00	Final Concurrence / Reservation	Grant	6/22/2018	6/22/2018	No

#### Application Start Date

The start date will be set to the date of the award

#### Application End Date

4/30/2020

#### Application Executive Summary

This grant applies for \$346,157 in FY2018 Section 5311 TTP Formula Funds (out of \$346,157 total available) and will be used for operating assistance, project administration through the use of an Indirect Cost Rate, and maintaining GPS navigation and diagnostics asset tracking monitoring.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

#### Pre-Award Authority

This award is using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

#### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

#### Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved the Stillaguamish Tribe of Indians indirect cost rate on 6/29/2017 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. DOI requires the rate to be approved annually. The currently approved rate of 31.62% or the most current rate will be applied to the applicable activity line descriptions.

#### Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Cynthia	Toop	Grant Writer	ctoop@stillaguamish.com	360-572-3017
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
Kristine	Mcguill	Transportation Program Specialist	kristine.mcguill@dot.gov	206-220-7963

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$346,157
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$346,157</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2018-083-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$346,157.00	\$0.00	\$346,157.00	0
WA-2018-083-01-00	11.66.01 GPS System Subscription (2018 5311 TTP Formula 100:00)(00)	\$8,200.00	\$0.00	\$8,200.00	0
WA-2018-083-01-00	11.79.00 Project Administration Indirect (2018 5311 TTP Formula 100:00)(00)	\$34,616.00	\$0.00	\$34,616.00	0
WA-2018-083-01-00	30.09.01 Operating (2018 5311	\$303,341.00	\$0.00	\$303,341.00	0

TTP Formula 100:00)(00)
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## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

<b>Project Title: 2018 5311 TTP Formula Operating Assistance, Indirect Costs, GPS</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2018-083-01-00	6801-2018-1-P1	6/22/2018	10/31/2018	1/30/2020

### Project Description

This project provides \$346,157 in FY2018 5311 TTP Formula funds for operating assistance, project administration through the use of a Federally approved indirect cost rate, and maintaining GPS navigation and diagnostics asset tracking monitoring.

### Project Benefits

Maintain reliable transit service which includes access to medical, education, shopping, counseling, employment, and recreation, social and cultural activities. Trips are provided for Tribal members and other members of the community (general public) in the Stillaguamish service area.

### Additional Information

*None provided.*

### Location Description

The demand-response service area includes Smokey Point (core area), Arlington (8 miles), Granite Falls (16 miles), Lake Stevens (15 miles), Marysville (8 miles), Stanwood (13 miles), Mount Vernon (21 miles, north in Skagit County), and Darrington (35 miles). Occasionally trips are made into the Everett area where new regional medical facilities are located.

The Rideshare/vanpool serves employees that who reside in Snohomish, Skagit, Whatcom, Island, and King counties.

## Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

## Congressional District Information

State	District	Representative
Washington	1	Suzane DelBene

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$346,157
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$346,157</b>

### Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2018-083-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$346,157.00	\$0.00	\$346,157.00	0
WA-2018-083-01-00	11.66.01 GPS System Subscription (2018 5311 TTP Formula 100:00)(00)	\$8,200.00	\$0.00	\$8,200.00	0
WA-2018-083-01-00	11.79.00 Project Administration Indirect (2018 5311 TTP Formula 100:00)(00)	\$34,616.00	\$0.00	\$34,616.00	0
WA-2018-083-01-00	30.09.01 Operating (2018 5311 TTP Formula 100:00)(00)	\$303,341.00	\$0.00	\$303,341.00	0

### Project Budget Activity Line Items

<b>Budget Activity Line Item: 11.66.01 - GPS System Subscription (2018 5311 TTP Formula 100:00)(00)</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.66.01	LEASE CONTROL/SIGNAL SYSTEM	LEASE	0

**Extended Budget Description**

This ALI funds \$8,200 in FY2018 5311 TTP Formula funds for GPS Subscription services for the Stillaguamish Tribal Transit Fleet that covers the following services:

- The GPS for navigation
- The black box for diagnostics
- The Cloud
- Safety and Diagnostics.

GPS/DX system tracks vehicle locations as well as other convenient functions. One such function tracks speed and/or tracks needed maintenance items for each individual vehicle. The system will notify dispatch immediately, including the cause of any notification. Leased services will be covered for the time period of the award estimated to be 11/1/18 to 1/31/2020.

**MATCH**

The Federal / Non-Federal match ratio is 100% / 0%.

**STIP**

There is no STIP requirement for 5311 TTP formula funds, 9040.1G Page X-3 #10 Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$8,200
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$8,200</b>

Milestone Name	Est. Completion Date	Description
Start GPS Subscription	11/1/2018	
End GPS Subscription	1/31/2020	

Budget Activity Line Item: 30.09.01 - Operating (2018 5311 TTP Formula 100:00)(00)				
Scope Name / Code	Line Item	Line Item Name	Activity	Quantity

		#			
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0	

**Extended Budget Description**

The ALI funds \$303,341 in 2018 5311 TTP Formula funds in operation assistance for the operation of the Stillaguamish Tribe's demand response and Rideshare/Vanpool services. This ALI is limited to direct labor, material, and overhead expenses incurred on an accrual basis that is set to the date of the award estimated to be 11/1/18 to 1/31/2020.

**STIP**

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$303,341
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$303,341</b>

Milestone Name	Est. Completion Date	Description
Start Operations	11/1/2018	
End Operations	1/31/2020	

**Budget Activity Line Item: 11.79.00 - Project Administration Indirect (2018 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This ALI funds in \$34,616 in FY2018 5311 TTP Formula for project administrative costs through the use of a Federally approved indirect cost rate start date will be set to the date of the award estimated to be to be 11/1/18 to 1/31/2020. FTA allows up to 10% of the total grant award to be used for the indirect costs of operating a transit system.

MATCH

The Federal / Non-Federal match ratio is 100% / 0%.

**STIP**

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$34,616
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$34,616</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Project Administration	11/1/2018	
End Project Administration	1/31/2020	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 05: Activities, including repairs, replacements, and rehabilitations, designed to promote transportation safety, security, accessibility and effective communication within or adjacent to existing right-of-way, such as: the deployment of Intelligent Transportation Systems and components; installation and improvement of safety and communications equipment, including hazard elimination and mitigation; installation of passenger amenities and traffic signals; and retrofitting existing transportation vehicles, facilities or structures, or upgrading to current standards.

<b>Date</b>	<b>Description</b>
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Class IIc CE Approved
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.66.01	GPS System Subscription (2018 5311 TTP Formula 100:00)(00)	0	\$8,200.00	\$8,200.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	Operating (2018 5311 TTP Formula 100:00)(00)	0	\$303,341.00	\$303,341.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	Project Administration Indirect (2018 5311 TTP Formula 100:00)(00)	0	\$34,616.00	\$34,616.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Kristine Mcguill

**Comment Type** FTA Post Review Comments for Grantee

**Date** 7/22/2018

**Comment** Please see email sent 7/22/2018

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL

ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Stillaguamish Tribe Of Indians Of Washington

Recipient ID: 6801

DUNS No: 034340406

#### **Application Information**

Federal Award Identification Number: WA-2018-083-00

Application Name: 2018 5311 TTP Formula Operations/Indirect Costs/GPS

Application Start Date: The start date will be set to the date of the award

Application End Date: 4/30/2020

Application Executive Summary: This grant applies for \$346,157 in FY2018 Section 5311 TTP Formula Funds (out of \$346,157 total available) and will be used for operating assistance, project administration through the use of an Indirect Cost Rate, and maintaining GPS navigation and diagnostics asset tracking monitoring.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$346,157.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$346,157.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$346,157.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$346,157
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$346,157</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Stillaguamish Tribe Of Indians Of Washington*