

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2018-016-00
<b>Temporary Application Number</b>	1564-2018-2
<b>Application Name</b>	NMDOT FY 18 5311 Award
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Transportation, New Mexico Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	875011149
Physical Address	1350 ALTA VISTA ST	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

### Union Information

<b>Union Name</b>	NONE
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	

Fax	
E-mail	
Website	

## Part 2: Application Information

### Title: NMDOT FY 18 5311 Award

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2018-016-00	Final Concurrence / Reservation	Grant	8/27/2018	8/27/2018	No

#### Application Start Date

The start date will be set to the date of the award

#### Application End Date

9/29/2023

#### Application Executive Summary

8/27/2018 NMDOT is applying for \$10,546,384 of our FFY 2018 5311 apportionment totaling \$11,072,413: 5311 and 5311(b)(3) to the State of New Mexico posted in Federal Register Vol. 83, No. 136 / Monday, July 16, 2018 for our 5311 Public Rural Transportation program for FFY 2019 Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$146,504 full allocation).

NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration (\$22,989) and operating (\$52,906) costs totaling \$75,895 for FFY 2019 in this grant (see uploaded direct allocation letter). The other is capital, \$48,920.80 federal, which will be included in the 5339 grant.

The funding request for the grant projects are as follows:

RTAP Section 5311(b): (435-00) \$146,504 - UZA35000  
 State Administration: (610-00) \$1,092,591 - UZA 35000  
 Sub-grantees: (600-00) \$9,307,289 - UZA 35000

See attached Program of Projects and Budget workbook.

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Rural Category A: \$9,307,289 - NMDOT has awarded \$9,307,289 of FY 2018 5311 apportionment to 20 sub-grantees for Rural projects in the upcoming FY 2019 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program.

State Administration: 1,092,591 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$146,504 - NMDOT has awarded RTAP \$146,504 for FY19. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at [http://dot.state.nm.us/content/nmdot/en/Transit\\_Rail.html](http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html)

NMDOT will apply for the remaining balance in FFY 19 when projects will be programmed for FFY 20, based on our award recommendations which are released in late May of each year.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

Yes, this application requires E.O. 12372 Review

*State Application ID:*

*Date Received by State:*

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Heriberto	Chavarria	Program Management Specialist	Heriberto.Chavarria@dot.gov	(817) 978-0548

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$10,546,384
Local			\$7,215,810
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$17,762,194</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2018-016-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,092,591.00	\$0.00	\$1,092,591.00	0
NM-2018-016-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,092,591.00	\$0.00	\$1,092,591.00	0
NM-2018-016-02-00	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$146,504.00	\$0.00	\$146,504.00	0
NM-2018-016-02-00	43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2018-016-02-00	43.50.04 RELATED SUPPORT SERVICES	\$96,504.00	\$0.00	\$96,504.00	0

NM-2018-016-03-00	600-00 (600-A4)	OTHER PROGRAM COSTS	\$7,667,789.00	\$6,035,762.00	\$13,703,551.00	0
NM-2018-016-03-00	11.79.00	PROJECT ADMINISTRATION	\$2,176,037.00	\$544,010.00	\$2,720,047.00	0
NM-2018-016-03-00	30.09.01	UP TO 50% FEDERAL SHARE	\$5,491,752.00	\$5,491,752.00	\$10,983,504.00	0
NM-2018-016-03-00	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$1,639,500.00	\$1,180,048.00	\$2,819,548.00	0
NM-2018-016-03-00	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$300,000.00	\$75,000.00	\$375,000.00	0
NM-2018-016-03-00	11.79.00	PROJECT ADMINISTRATION	\$312,603.00	\$78,151.00	\$390,754.00	0
NM-2018-016-03-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,026,897.00	\$1,026,897.00	\$2,053,794.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: NMDOT FY18 5311 Rural Transit State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2018-016-01-00	1564-2018-2-P1	8/29/2018	9/30/2018	9/29/2023

#### Project Description

Provide comprehensive program administration to 5311 sub-grantees for Program of Projects in rural areas across the State of New Mexico.

State Administration: (610-00) \$1,092,591 - UZA 35000

See attached Program of Projects and Budget workbook.

#### Project Benefits

State provided program administration to sub grantees is designed to (1) help meet the transportation

needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

**Additional Information**

*None provided.*

**Location Description**

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
350000	New Mexico

**Congressional District Information**

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,092,591
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$1,092,591</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2018-016-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,092,591.00	\$0.00	\$1,092,591.00	0
NM-2018-016-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,092,591.00	\$0.00	\$1,092,591.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

#### Extended Budget Description

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,092,591
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$1,092,591</b>

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2018
End Date	9/30/2023

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,092,591.00	\$1,092,591.00

### Project Title: NMDOT FY18 5311 Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2018-016-02-00	1564-2018-2-P2	8/29/2018	9/30/2018	9/29/2021

#### Project Description

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and to facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

RTAP Section 5311(b): (Scope 435-00): \$146,504

\$50,000 for training related activities

\$96,504 for related support services

See attached Program of Projects and Budget workbook.

#### Project Benefits



Project benefits include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

**Additional Information**

*None provided.*

**Location Description**

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
350000	New Mexico

**Congressional District Information**

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$146,504
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$146,504</b>

**Project Budget**

Project Number	Budget Item	FTA	Non-	Total	Quantity
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			Amount	FTA Amount	Eligible Amount	
NM-2018-016-02-00	435-00 (435-A2)	RURAL TRANSIT ASST PROGRAM	\$146,504.00	\$0.00	\$146,504.00	0
NM-2018-016-02-00		43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2018-016-02-00		43.50.04 RELATED SUPPORT SERVICES	\$96,504.00	\$0.00	\$96,504.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

#### Extended Budget Description

\$96,504 for related support services to include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry (NM Transit conference and road-eo);
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$96,504
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$96,504</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	

End Date	12/31/2020
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**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and to facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

\$50,000 for training related activities

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$50,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$50,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2021	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction,

such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	0	\$50,000.00	\$50,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	0	\$96,504.00	\$96,504.00

**Project Title: NMDOT FY18 5311 Rural Transit Programs Subrecipient**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2018-016-03-00	1564-2018-2-P3	8/29/2018	6/30/2018	9/29/2022

**Project Description**

Rural Category A: \$9,307,289 - NMDOT has awarded \$9,307,289 of FY 2018 5311 apportionment to 20 subrecipients for 25 projects (UZA 35000) in the upcoming FY 2019 program year for Project Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration and operating costs totaling \$75,895 (see uploaded direct allocation letter). To summarize, the breakdown of the awards are as

follows:

Project Administration Rural: \$2,176,037 (Scope 600-00)  
Operating Assistance Rural: \$5,491,752 (Scope 600-00)  
Project Administration Intercity: \$312,603 (Scope 634-00)  
Operating Assistance Intercity: \$1,026,897 (Scope 634-00)  
Capital Cost of Contract Intercity: \$300,000 (Scope 634-00)

See attached Program of Projects and Budget workbook.

### Project Benefits

Project benefits include:

- (1) Help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation;
- (2) assist in the maintenance, development, improvement and use of public transportation systems;
- (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight;
- (4) assist in the development and support of intercity bus transportation;
- (5) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (6) promote the coordination of public and private transportation services;
- (7) build a national database of information about the rural transit industry.

### Additional Information

*None provided.*

### Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

## Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

## Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,307,289
Local			\$7,215,810
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$16,523,099</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2018-016-03-00	600-00 (600-A4) OTHER PROGRAM COSTS	\$7,667,789.00	\$6,035,762.00	\$13,703,551.00	0
NM-2018-016-03-00	11.79.00 PROJECT ADMINISTRATION	\$2,176,037.00	\$544,010.00	\$2,720,047.00	0
NM-2018-016-03-00	30.09.01 UP TO 50% FEDERAL SHARE	\$5,491,752.00	\$5,491,752.00	\$10,983,504.00	0
NM-2018-016-03-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$1,639,500.00	\$1,180,048.00	\$2,819,548.00	0
NM-2018-016-03-00	11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$300,000.00	\$75,000.00	\$375,000.00	0
NM-2018-016-03-00	11.79.00 PROJECT ADMINISTRATION	\$312,603.00	\$78,151.00	\$390,754.00	0
NM-2018-016-03-00	30.09.01 UP TO 50% FEDERAL SHARE	\$1,026,897.00	\$1,026,897.00	\$2,053,794.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

20 subgrantees across the state of New Mexico will receive operating assistance for their transit agencies as follows:

- City of Carlsbad: \$256,432
- City of Clovis: \$323,000
- Gallup Express: \$134,121
- Golden Spread Coalition: \$82,152
- Grant County: \$344,017
- City of Hobbs: \$354,621
- Pueblo of Laguna: \$84,793
- City of Las Vegas: \$85,645
- Los Alamos County: \$830,629
- Village of Milan: \$98,378
- NCRTD: \$639,645
- City of Portales: \$94,475
- Town of Red River: \$49,478
- RMRTD: \$582,094
- City of Roswell: \$568,097
- SCRTD: \$226,444
- City of Socorro: \$82,155
- Zia Therapy: \$547,168
- Zuni Pueblo: \$108,408

Total: \$5,491,752

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,491,752
Local			\$5,491,752
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$10,983,504</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	

End Date	9/30/2022
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**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

The sub-grantees providing Program Administration in rural areas include:

- City of Carlsbad: \$150,975
- City of Clovis: \$209,966
- The Community Pantry: \$93,174
- Golden Spread Coalition: \$63,303
- Grant County: \$228,800
- City of Hobbs: \$66,961
- Pueblo of Laguna: \$24,789
- City of Las Vegas: \$67,434
- Los Alamos: \$56,452
- Village of Milan: \$81,179
- NCRTD: \$337,445
- City of Portales: \$56,943
- Town of Red River: \$43,551
- City of Roswell: \$215,992
- SCRTD: \$148,062
- City of Socorro: \$38,209
- Zia Therapy: \$217,052
- Zuni Pueblo: \$75,750

Total: \$2,176,037

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,176,037
Local			\$544,010
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$2,720,047</b>

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2018
End Date	9/30/2022

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Sub-grantees providing Operating Assistance for Intercity Bus Service include:

Navajo Nation: \$336,957  
 RMRTD: \$250,000  
 NCRTD: \$389,940  
 SCRTD: \$50,000

-----  
 Total: \$1,026,897

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,026,897
Local			\$1,026,897
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$2,053,794</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2022	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Sub-grantees providing Project Administration for Intercity Bus Service include:

Navajo Nation: \$112,603  
 NCRTD: \$200,000  
 Total: \$312,603

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$312,603
Local			\$78,151
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$390,754</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2022	

**Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

**Extended Budget Description**

\$300,000 to New Mexico Park and Ride to provide intercity bus service. Current program, total cost of \$6,224,016, provides service to Sandoval/Santa Fe/Los Alamos/Rio Arriba/San Miguel counties across New Mexico. NMNDOT will use a third party contractor to provide turnkey operations for the program to serve the general public in 5 counties across the state of New Mexico. NMDOT Park and Ride has been serving New Mexico since 2003. The benefits of this service are impressive. Some of the benefits in fiscal year 2015 included the following:

- Reduced traffic congestion by removing 9.2 million vehicle miles of travel from our busiest highways during the busiest commute hours
- Reduced carbon dioxide emissions (a Greenhouse Gas) by 4,464 tons
- Reduced gasoline consumption by 460,262 gallons (assumes 20 mpg average)
- Served an Average Daily Ridership of 1,162.9 passengers
- Provides a safe means of travel: In FY 2015, Park and Ride buses provided over 12 million passenger miles without a fatality or serious injury.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,000
Local			\$75,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$375,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	6/30/2019	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$300,000.00	\$375,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either

individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	0	\$312,603.00	\$390,754.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,026,897.00	\$2,053,794.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,176,037.00	\$2,720,047.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$5,491,752.00	\$10,983,504.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Christopher Macneith

**Comment Type** Application Details

**Date** 9/13/2018

Washington State Department of Transportation must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

Specifically, Washington State Department of Transportation must comply with the ADA Standards for Transportation Facilities in the construction/renovation for the following project:

- This application applies for \$63,145 in 2018 STP transferred to 5311 funds awarded to Clallam Transit System for the purchase and installation of bike lockers at a variety of locations within the Clallam Transit Service area.

The following is a non-exhaustive list of ADA standards that Washington State Department of Transportation must comply with when completing this project:

#### Requirements to Remember for ADA Compliance in Construction Projects

The ADA Standards issued by the Department of Transportation (DOT) apply to facilities used by state and local governments to provide designated public transportation services, including bus stops and stations, and rail stations. Other types of facilities covered by the ADA are subject to similar ADA Standards issued by the Department of Justice. Both the DOT and DOJ standards are based on the United States Access Board ADA Accessibility Guidelines (ADAAG).

DOT's ADA Standards (2006) are consistent with the Access Board's updated ADA (and ADAAG) guidelines but includes a few additional requirements concerning:

- Location of Accessible Routes (206.3)
- Detectable Warnings on Curb Ramps (406.8)
- Bus Boarding and Alighting Areas (810.2.2)
- Rail Station Platforms (810.5.3)

The complete ADA Standards for Transportation Facilities can be found at the US Access Board Website: (ADA Standards for Transportation Facilities)

#### Requirements to Remember for: New Construction of Facilities

Sixty percent of all public entrances to the facility must be accessible. If there are only two entrances, both must be accessible. (DOT ADA Standard 206.4.1.)

For rail projects, no flange way gap can be greater than 2.5" where passenger circulation paths cross tracks at grade (i.e. a street-level pedestrian crossing over streetcar tracks). (DOT ADA Standard 810.10.)

Accessible routes that coincide with, or are located in the same area, as general circulation paths and elements such as ramps, elevators, and fare vending and collection must be placed so as to minimize the distance that wheelchair users and other persons who cannot climb steps must travel in comparison to the general public. (DOT ADA Standard 206.3.)

Curb ramps must have detectable warnings. (DOT ADA Standard 406.8.)

Bus boarding and alighting areas must be in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy), dimensions (96" long x 60" wide); connection to sidewalks, streets and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems. (DOT ADA Standard 810.2.)

Station platforms must be coordinated with the vehicle floor height. (DOT ADA Standard 810.5.3.)

Comment

**Requirements to Remember for: Alterations or Additions to Facilities**

Altered or added portions of the facility must be made accessible. (DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1).)

The path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible. (DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3.)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1).)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

**Comment By Nicholas Sun**

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Comment Type Application Details

Date 9/20/2018

The previous civil rights comment referenced a Washington State DOT award application. The intent was for this comment to apply to New Mexico DOT application 1564-2018-2. See below for corrected comment:

New Mexico Department of Transportation must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

Comment

**Requirements to Remember for ADA Compliance in Construction Projects**

The ADA Standards issued by the Department of Transportation (DOT) apply to facilities used by state and local governments to provide designated public transportation services, including bus stops and stations, and rail stations. Other types of facilities covered by the ADA are subject to similar ADA Standards issued by the Department of Justice. Both the DOT and DOJ standards are based on the United States Access Board ADA Accessibility Guidelines (ADAAG).

DOT's ADA Standards (2006) are consistent with the Access Board's updated ADA (and ADAAG) guidelines but includes a few additional requirements concerning:

- Location of Accessible Routes (206.3)
- Detectable Warnings on Curb Ramps (406.8)
- Bus Boarding and Alighting Areas (810.2.2)
- Rail Station Platforms (810.5.3)

The complete ADA Standards for Transportation Facilities can be found at the US Access

Board Website: (ADA Standards for Transportation Facilities)

**Requirements to Remember for: New Construction of Facilities**

Sixty percent of all public entrances to the facility must be accessible. If there are only two entrances, both must be accessible. (DOT ADA Standard 206.4.1.)

For rail projects, no flange way gap can be greater than 2.5" where passenger circulation paths cross tracks at grade (i.e. a street-level pedestrian crossing over streetcar tracks). (DOT ADA Standard 810.10.)

Accessible routes that coincide with, or are located in the same area, as general circulation paths and elements such as ramps, elevators, and fare vending and collection must be placed so as to minimize the distance that wheelchair users and other persons who cannot climb steps must travel in comparison to the general public. (DOT ADA Standard 206.3.)

Curb ramps must have detectable warnings. (DOT ADA Standard 406.8.)

Bus boarding and alighting areas must be in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy), dimensions (96" long x 60" wide); connection to sidewalks, streets and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems. (DOT ADA Standard 810.2.)

Station platforms must be coordinated with the vehicle floor height. (DOT ADA Standard 810.5.3.)

**Requirements to Remember for: Alterations or Additions to Facilities**

Altered or added portions of the facility must be made accessible. (DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1).)

The path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible. (DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3.)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1).)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

## Application Review Comments

**Comment By** Heriberto Chavarria

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Comment FTA Post Review Comments for Grantee



Type

Date	9/5/2018
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Comment	In grant application 1564-2018-2, in TrAMS today, the stated apportionment of \$11,072,413 is incorrect as the apportionment is \$10,925,909.00. Additionally, the grant is only coming in for \$10,546,384. There are also \$504,342.00 available in FY 2017 funds. I am returning the grant application, would you mind stating why the funds from FY17 is not being applied for and why not all the funds in FY 18 are also not fully being applied for?
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**Comment By Heriberto Chavarria**

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Comment Type	FTA Post Review Comments for Grantee
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Date	9/18/2018
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Comment	The attached letter for Ohkay owingeh does not match the amount entered in the executive summary, reconcile these numbers.
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## Application Review Comments

**Comment By Heriberto Chavarria**

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Comment Type	Line Item Review
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Date	9/17/2018
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Project Title	NMDOT FY18 5311 Rural Transit Programs Subrecipient
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Project Number	NM-2018-016-03-00
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Comment	FTA rounded up scopes that had cents in the 80/20 federal and local match.
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## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:  
(1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017,  
<http://www.transit.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and  
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, New Mexico Department Of

Recipient ID: 1564

DUNS No: 117883178

#### **Application Information**

Federal Award Identification Number: NM-2018-016-00

Application Name: NMDOT FY 18 5311 Award

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2023

Application Executive Summary: 8/27/2018 NMDOT is applying for \$10,546,384 of our FFY 2018 5311 apportionment totaling \$11,072,413: 5311 and 5311(b)(3) to the State of New Mexico posted in Federal Register Vol. 83, No. 136 / Monday, July 16, 2018 for our 5311 Public Rural Transportation program for FFY 2019 Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$146,504 full allocation).

NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration (\$22,989) and operating (\$52,906) costs totaling \$75,895 for FFY 2019 in this grant (see uploaded direct allocation letter). The other is capital, \$48,920.80 federal, which will be included in the 5339 grant.

The funding request for the grant projects are as follows:

RTAP Section 5311(b): (435-00) \$146,504 - UZA35000  
State Administration: (610-00) \$1,092,591 - UZA 35000  
Sub-grantees: (600-00) \$9,307,289 - UZA 35000

See attached Program of Projects and Budget workbook.

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Rural Category A: \$9,307,289 - NMDOT has awarded \$9,307,289 of FY 2018 5311 apportionment to 20 sub-grantees for Rural projects in the upcoming FY 2019 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program.

State Administration: 1,092,591 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$146,504 - NMDOT has awarded RTAP \$146,504 for FY19. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at [http://dot.state.nm.us/content/nmdot/en/Transit\\_Rail.html](http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html)

NMDOT will apply for the remaining balance in FFY 19 when projects will be programmed for FFY 20, based on our award recommendations which are released in late May of each year.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$17,762,194.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$10,546,384.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$7,215,810.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$10,546,384.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$7,215,810.00

### Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$10,546,384
Local			\$7,215,810
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$17,762,194</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

### Special Conditions

There are no special conditions.

### FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, New Mexico Department Of*