

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	ND-2018-007-00
Temporary Application Number	1153-2018-3
Application Name	FY2018 - 5311 Grant
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, North Dakota Dept Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1153	State Agency	North Dakota Department of Transportation	802742742

Location Type	Address	City	State	Zip
Headquarters	600 E BOULEVARD AVE	BISMARCK	ND	585050601
Physical Address	608 E BOULEVARD AVE	BISMARCK	ND	58505
Mailing Address	608 E BOULEVARD AVE	BISMARCK	ND	58505

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	

Part 2: Application Information

Title: FY2018 - 5311 Grant

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ND-2018-007-00	Final Concurrence / Reservation	Grant	7/11/2018	7/11/2018	No

Application Start Date

The start date will be set to the date of the award

Application End Date

6/29/2021

Application Executive Summary

FY2018 funding apportionment for 5311 of \$5,419,703, and FY16 lapsing funds of \$80,945, totaling \$5,500,648 to fund rural and intercity transit operations and state administration. Of these funds, \$5,300,648 will be requested to use to subsidize operating, administration and capital expenses for transit agencies to provide services in ND. Funding of \$200,000, will also be requested for State Administration funds for state salaries, training, fund a Drug & Alcohol Consultant, and BlackCat grants management software renewal/support costs.

This grant will request the FY2018 lapsing 5311 funds in the amount of \$80,945 that was indicated on a FTA letter sent to the NDDOT in December 20, 2017. This letter has been uploaded in the application documents.

FY2018 RTAP funds will be requested for training and technical supports costs in the full amount of \$99,532.

This grant is requesting \$200,000 in State Administration funds which is below the 10% maximum requirement.

This grant is requesting \$812,955 in Intercity Bus Funds which meets the 15% requirement.

A breakdown of these funds and the agencies is included in the program of projects in the application documents.

The State of ND no longer requires E.O. 12372 review for any grant applications. I have attached the email correspondence in the application documents from the Reviewer stating ND no longer requires this.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jennifer	Stewart	Community Planner	Jennifer.Stewart@dot.gov	(303) 362-2395
Becky	Hanson	Transit Program Manager	bhanson@nd.gov	701-328-2542

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,600,180
Local			\$3,722,193
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$9,322,373

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2018-007-01-00	117-00 (117- OTHER CAPITAL ITEMS (BUS))	\$1,443,031.00	\$360,762.00	\$1,803,793.00	2

	A2)						
ND-2018-007-01-00	11.79.00	PROJECT ADMINISTRATION	\$1,387,251.00	\$346,817.00	\$1,734,068.00		1
ND-2018-007-01-00	11.7A.00	PREVENTIVE MAINTENANCE	\$55,780.00	\$13,945.00	\$69,725.00		1
ND-2018-007-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$3,044,662.00	\$3,044,662.00	\$6,089,324.00		1
ND-2018-007-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$3,044,662.00	\$3,044,662.00	\$6,089,324.00		1
ND-2018-007-02-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00		1
ND-2018-007-02-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00		1
ND-2018-007-03-00	635-00 (635-A6)	RURAL TRANSIT ASSISTANCE PROGRAM	\$99,532.00	\$0.00	\$99,532.00		2
ND-2018-007-03-00	43.50.01	TRAINING	\$79,532.00	\$0.00	\$79,532.00		1
ND-2018-007-03-00	43.50.02	TECHNICAL ASSISTANCE	\$20,000.00	\$0.00	\$20,000.00		1
ND-2018-007-04-00	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$812,955.00	\$316,769.00	\$1,129,724.00		6
ND-2018-007-04-00	11.13.15	BUY VAN FOR SVC EXPANSION	\$46,240.00	\$11,560.00	\$57,800.00		1
ND-2018-007-04-00	11.14.01	REHAB/REBUILD 40-FT BUS	\$200,000.00	\$50,000.00	\$250,000.00		2
ND-2018-007-04-00	11.79.00	PROJECT ADMINISTRATION	\$407,342.00	\$101,836.00	\$509,178.00		1
ND-2018-007-04-00	11.7A.00	PREVENTIVE MAINTENANCE	\$8,000.00	\$2,000.00	\$10,000.00		1
ND-2018-007-04-00	30.09.01	UP TO 50% FEDERAL SHARE	\$151,373.00	\$151,373.00	\$302,746.00		1

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Funding for Rural Transit Agencies

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2018-007-01-00	1153-2018-3-P1	7/12/2018	7/1/2018	9/1/2019

Project Description

Requesting funding to subsidize operating, administration and capital expenses for rural transit agencies providing service throughout rural ND. A list of projects and agencies is attached in the document section of this application.

Project Benefits

This funding for operations is vital to transit agencies working in rural communities to allow the general public, seniors and individuals with disabilities to remain in their homes and communities while still being able to safely get to surrounding communities/cities for shopping, and medical and social appointments.

ND transit agencies provide complementary and/or feeder services to other agencies in surrounding communities.

Additional Information

None provided.

Location Description

Statewide - North Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,487,693
Local			\$3,405,424
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Total Eligible Cost	\$7,893,117
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Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2018-007-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$1,443,031.00	\$360,762.00	\$1,803,793.00	2
ND-2018-007-01-00	11.79.00 PROJECT ADMINISTRATION	\$1,387,251.00	\$346,817.00	\$1,734,068.00	1
ND-2018-007-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$55,780.00	\$13,945.00	\$69,725.00	1
ND-2018-007-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$3,044,662.00	\$3,044,662.00	\$6,089,324.00	1
ND-2018-007-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,044,662.00	\$3,044,662.00	\$6,089,324.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Funding to provide operating assistance to rural transit agencies who provide transportation in their communities and coordinate with neighboring transit agencies to provide transportation in their communities.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$3,044,662
Local			\$3,044,662
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$6,089,324

Milestone Name	Est. Completion Date	Description
Start Date	7/2/2018	
End Date	9/2/2019	Contracts completed and final payments made.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FY2018 funding for Project Administration for rural transit agencies who provide transportation services in their communities and coordinate with other transit agencies in surrounding communities for public transportation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,387,251
Local			\$346,817
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,734,068

Milestone Name	Est. Completion Date	Description
Start Date	7/2/2018	
End Date	9/2/2019	Contract completion and final closeout payments.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Funding for rural transit agencies to use on small capital purchases for preventative maintenance and repair items.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$55,780
Local			\$13,945
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$69,725

Milestone Name	Est. Completion Date	Description
Start Date	7/2/2018	
End Date	9/2/2019	Contracts completed and final payments made.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
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Class IIc CE Approved

Project Title: State Administration Funds
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2018-007-02-00	1153-2018-3-P2	7/12/2018	7/1/2018	6/29/2021

Project Description

Funds will be used to pay for Drug & Alcohol Consultant services, partially fund grants management software renewal & supports costs, NDDOT for salaries and approved training, other transit agencies training and other miscellaneous allowable costs.

Project Benefits

These funds will allow the NDDOT to continue to pay for a Drug & Alcohol Consultant to do onsite compliance reviews, training, MIS report, and monitor updated to transit agencies Drug & Alcohol plans. In addition, the NDDOT will use these funds to pay for employee salaries and travel if needed due to State funding cutbacks, also fund other miscellaneous training/speaker fees for transit meetings on FTA rules/regulations, and pay for the BlackCat software renewal and support costs.

Additional Information

None provided.

Location Description

Statewide - North Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$200,000
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$200,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2018-007-02-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00	1
ND-2018-007-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

Funds will be used to pay for Drug & Alcohol Consultant services, partially fund grants management software renewal & supports costs, NDDOT for salaries and approved training, other transit agencies training and other miscellaneous allowable costs.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Total Eligible Cost	\$200,000

Milestone Name	Est. Completion Date	Description
Start Date	7/2/2018	
End Date	6/30/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Project Title: RTAP Funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2018-007-03-00	1153-2018-3-P3	7/12/2018	7/1/2018	6/29/2021

Project Description

RTAP funds for rural transit agency training and for technical services provided by Dakota Transit Association for annual conference and planning activities.

Project Benefits

These funds allow ND rural transit agency employees to attend transit related training and conferences. Most of the transit agencies would not be able to afford to attend conferences and training in and out of state without these funds. The training they attend helps agencies learn new rules and regulations and be in compliance with FTA.

Additional Information

None provided.

Location Description
 Statewide - North Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$99,532
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$99,532

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2018-007-03-00	635-00 (635-A6) RURAL TRANSIT ASSISTANCE PROGRAM	\$99,532.00	\$0.00	\$99,532.00	2
ND-2018-007-03-00	43.50.01 TRAINING	\$79,532.00	\$0.00	\$79,532.00	1
ND-2018-007-03-00	43.50.02 TECHNICAL ASSISTANCE	\$20,000.00	\$0.00	\$20,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

FY2018 RTAP funds will be requested for training and technical supports costs in the amount of \$79,532

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$79,532
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$79,532

Milestone Name	Est. Completion Date	Description
Start Date	7/2/2018	
End Date	6/30/2021	

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

Funds to provide Dakota Transit Association planning and hosting for the annual conference, website development and technical assistance to transit agencies. Funds assist with speakers, training, board members travel, and administrative costs.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$20,000

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$20,000

Milestone Name	Est. Completion Date	Description
Start Date	7/2/2018	
End Date	6/30/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Intercity Bus Transportation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2018-007-04-00	1153-2018-3-P4	7/12/2018	1/23/2017	6/29/2021

Project Description

Funds to provide operating, administration and capital assistance to ND intercity operators. The capital assistance will be for miscellaneous capital items, a Van for expansion, and bus rehab. The expansion van will be to continue the Bismarck to Minot route that was set-up in January. Souris Basin Transportation was testing this route from January - June and using a van from their current fleet. The route had steady ridership and is increasing, so a permanent van will be purchased and assigned to this route. This van will have the useful life of 4 years and 100,000 miles and be purchase of the ND state

van bid.

The bus rehabilitation for Jefferson Lines (a MN based company) will be handled through their rehabilitation bus contract that has been approved by MN & ND. The process is monitored by the Transit Office and contact at Jefferson Lines. The useful life of the bus will be extended with this rehab.

Project Benefits

Transportation is provided through the state, with general public able to coordinate rides with the rural transit agencies to get to the major cities that the intercity bus stops at. This project allows for people to move safely throughout the state and continue onto their destinations across the upper US. ND continues to work with the Intercity Providers and coordinate with the rural transit agencies to facilitate this program.

Additional Information

None provided.

Location Description

Statewide - North Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$812,955
Local			\$316,769
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,129,724

Project Budget

Project	Budget Item	FTA	Non-FTA	Total Eligible	Quantity
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Number		Amount	Amount	Amount		
ND-2018-007-04-00	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$812,955.00	\$316,769.00	\$1,129,724.00	6
ND-2018-007-04-00	11.13.15	BUY VAN FOR SVC EXPANSION	\$46,240.00	\$11,560.00	\$57,800.00	1
ND-2018-007-04-00	11.14.01	REHAB/REBUILD 40-FT BUS	\$200,000.00	\$50,000.00	\$250,000.00	2
ND-2018-007-04-00	11.79.00	PROJECT ADMINISTRATION	\$407,342.00	\$101,836.00	\$509,178.00	1
ND-2018-007-04-00	11.7A.00	PREVENTIVE MAINTENANCE	\$8,000.00	\$2,000.00	\$10,000.00	1
ND-2018-007-04-00	30.09.01	UP TO 50% FEDERAL SHARE	\$151,373.00	\$151,373.00	\$302,746.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Funding for administration and marketing costs association with intercity bus services of Jefferson Lines, Standing Rock Public Transit and Souris Basin Transportation. These funds offset the financial challenges of providing intercity services in the mostly rural state of ND.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$407,342
Local			\$101,836
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$509,178

Milestone Name	Est. Completion	Description
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Date	
Start Date	7/2/2018
End Date	6/30/2021

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Funding for operating costs for intercity bus services of Jefferson Lines, Standing Rock Public Transit and Souris Basin Transportation. These funds will offset the financial challenges of proving intercity service in the mostly rural state of ND.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$151,373
Local			\$151,373
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$302,746

Milestone Name	Est. Completion Date	Description
Start Date	7/2/2018	
End Date	6/30/2021	

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

Funds for the purchase of 1 expansion van for service provided by Souris Basin Transportation between Minot and Bismarck. The expansion van will be to continue the Bismarck to Minot route that was set-up in January. Souris Basin Transportation was testing this route from January - June and using a van from

there current fleet. The route had steady ridership and is increasing, so a permanent van will be purchased and assigned to this route.

This vehicle will have a useful life of 4 years or 100,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$46,240
Local			\$11,560
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$57,800

Milestone Name	Est. Completion Date	Description
IFB Issue Date	1/24/2017	IFB issued for a State Bid for Vans
Contract Completion Date	9/1/2020	Final paperwork submitted to NDDOT and NDDOT has the title and is listed as lien holder.
Contract Award Date	3/28/2017	Contract award to low bidder that met the specifications
Delivery Date	6/1/2020	Delivery of vehicle

Budget Activity Line Item: 11.14.01 - REHAB/REBUILD 40-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.14.01	REHAB/REBUILD 40-FT BUS	REHAB/REBUILD - CAPITAL BUS	2

Extended Budget Description

Funding for Jefferson Lines to rehab 2 - 40 ft buses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$200,000
Local			\$50,000
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$250,000

Milestone Name	Est. Completion Date	Description
Contract start date	7/2/2018	Jefferson lines does rehab services in-house and provides documentation on all associated costs.
End Date	6/30/2020	Completion of rehab and all required documents submitted to NDDOT for reimbursement.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Funding for capital maintenance and repairs items for intercity bus service provider Standing Rock Transportation to maintain their vehicles. These funds offset the costs association with maintaining safe and reliable vehicle for intercity operations.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,000
Local			\$2,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$10,000

Milestone Name	Est. Completion Date	Description
Start Date	7/2/2018	
End Date	6/30/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	1	\$407,342.00	\$509,178.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$151,373.00	\$302,746.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15	BUY VAN FOR SVC EXPANSION	1	\$46,240.00	\$57,800.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.14.01	REHAB/REBUILD 40-FT BUS	2	\$200,000.00	\$250,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$8,000.00	\$10,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

DOL Certification

Comment By Karen Torre

Comment Type DOL Review for Information

Date 8/16/2018

Comment

FTA Comments for DOL

Comment By Jennifer Stewart

Comment Type DOL Review for Information

Date 7/31/2018

Comment grantee contact is:
Becky Hanson
bhanson@nd.gov

Application Review Comments

Comment By Becky Hanson

Comment Application Details

Type

Date	8/14/2018
Comment	I have updated the POP to include recipient type information and counties/service area. The updated POP has been uploaded in the application documents.

Application Review Comments

Comment By Becky Hanson

Comment Type	Application Details
Date	8/13/2018
Comment	Wording has been added to the Application Executive Summary - to show that this grant application meets the 15% ICB requirement and request for State Admin funds is less than the 10% maximum.

Comment By Becky Hanson

Comment Type	Application Details
Date	8/27/2018
Comment	I changed the details to reflect the FY16 lapsing funds to be from 5311 Operating/Admin/Capital versus RTAP.

Application Review Comments

Comment By Jennifer Stewart

Comment Type	FTA Post Review Comments for Grantee
Date	8/8/2018
Comment	Hi Becky. Please address the following comments: POP missing details per 9040.1G beginning on pg IV-2, including recipient type, counties of operation, etc. Milestones for technical signoff - it is not clear why project admin, operating and state admin will take 3 years to draw down 1 yr of operating rural formula. Please review. Use scope 635 instead of 435 for RTAP funds, per TrAMS ALI tree. Ensure grant narratives call out program requirements having been met, i.e. minimum 15% ICB and 10% max State Admin. Rehab of vehicles in this grant should be described in more detail to determine if Civil Rights concurrence is needed.

Application Review Comments

Comment By Becky Hanson

Comment Type	Line Item Review
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Date	8/13/2018
Project Title	RTAP Funds
Project Number	ND-2018-007-03-00
Comment	Scope code has been changed to reflect the correct ALI tree, from 435 to 635.

Comment By Becky Hanson

Comment Type	Line Item Review
Date	8/13/2018
Project Title	Funding for Rural Transit Agencies
Project Number	ND-2018-007-01-00
Comment	All operating, admin and preventative maintenance projects milestones updated to reflect a new completion date of September 2, 2019. This date will have all contracts closed and final payments made.

Comment By Becky Hanson

Comment Type	Line Item Review
Date	8/27/2018
Project Title	RTAP Funds
Project Number	ND-2018-007-03-00
Comment	Adjusted amounts for training to the correct apportionment.

Application Review Comments

Comment By Becky Hanson

Comment Type	Project Overview
Date	8/14/2018
Project Title	Intercity Bus Transportation
Project Number	ND-2018-007-04-00
Comment	Addition information on the capital items on this grant for intercity providers has been added to the project description.

Part 6: Agreement

**DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, North Dakota Dept Of

Recipient ID: 1153

DUNS No: 802742742

Application Information

Federal Award Identification Number: ND-2018-007-00

Application Name: FY2018 - 5311 Grant

Application Start Date: The start date will be set to the date of the award

Application End Date: 6/29/2021

Application Executive Summary: FY2018 funding apportionment for 5311 of \$5,419,703, and FY16 lapsing funds of \$80,945, totaling \$5,500,648 to fund rural and intercity transit operations and state administration. Of these funds, \$5,300,648 will is requested to use to subsidize operating, administration

and capital expenses for transit agencies to provide services in ND. Funding of \$200,000, will also be requested for State Administration funds for state salaries, training, fund a Drug & Alcohol Consultant, and BlackCat grants management software renewal/support costs.

This grant will request the FY2018 lapsing 5311 funds in the amount of \$80,945 that was indicated on a FTA letter sent to the NDDOT in December 20, 2017. This letter has been uploaded in the application documents.

FY2018 RTAP funds will be requested for training and technical supports costs in the full amount of \$99,532.

This grant is requesting \$200,000 in State Administration funds which is below the 10% maximum requirement.

This grant is requesting \$812,955 in Intercity Bus Funds which meets the 15% requirement.

A breakdown of these funds and the agencies is included in the program of projects in the application documents.

The State of ND no longer requires E.O. 12372 review for any grant applications. I have attached the email correspondence in the application documents from the Reviewer stating ND no longer requires this.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$9,322,373.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$5,600,180.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,722,193.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$5,600,180.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,722,193.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,600,180
Local			\$3,722,193
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$9,322,373

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, North Dakota Dept Of