

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	HI-2018-011-00
<b>Temporary Application Number</b>	1623-2018-1
<b>Application Name</b>	5311 - Kauai, Maui & Hawaii Counties - 2016\$ & 2017\$
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Transportation, Hawaii Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1623	State Agency	STATE OF HAWAII, DEPARTMENT OF TRANSPORTATION	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S BERETANIA ST 5TH FL	HONOLULU	HI	968132407
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

### Union Information

<b>Union Name</b>	<b>AMERICAN FEDERATION OF STATE, COUNTY &amp; MUNICIPAL EMPLOYEES</b>
Address 1	c/o William Wilkinson
Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders

Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
<b>Union Name</b>	<b>HAWAII GOVERNMENT EMPLOYEES ASSOCIATION</b>
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
<b>Union Name</b>	<b>UPW LOCAL 646</b>
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96817
Contact Name	Dayton Nakanelua
Telephone	8088472631
Fax	8088481987
E-mail	martti@upwhawaii.org
Website	www.upwhawaii.org

## Part 2: Application Information

### Title: 5311 - Kauai, Maui & Hawaii Counties - 2016\$ & 2017\$

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
HI-2018-011-00	Final Concurrence / Reservation	Grant	8/14/2018	8/14/2018	No

#### Application Start Date

The start date will be set to the date of the award

#### Application End Date

12/30/2020

#### Application Executive Summary

In this application:

FFY 2016 funds = \$946,136

FFY 2017 funds = \$2,779,419

Federal TOTAL = \$3,725,555

Detailed Project Description:

A. County of Kauai

30.09.01 Operating Assistance

Federal \$1,068,000 (FFY16 \$26,000+FFY17 \$1,042,000)/ Local \$1,068,000 / Total \$2,136,000 .

Source of Local Funds: Kauai County Budget

B. County of Maui

30.09.01 Operating Assistance

Federal \$534,000 (FFY16 \$13,000+FFY17 \$521,000)/ Local \$7,967,565 / Total \$8,501,565.

Source of Local Funds: Maui County Budget (Maui Bus Fixed Route Service Contract)

C. County of Hawaii

30.09.01 Operating Assistance

Federal \$1,948,000 (FFY16 \$906,000 +FFY17 \$1,042,000)/ Local \$1,948,000/ Total \$3,896,000.

Source of Local Funds: Hawaii County Budget

43.50.01 RTAP:

Federal \$98,190 /Local \$0 / Total \$98,190.

A. FFY 2016 (Federal) \$971 (Kauai, Maui, and Hawaii).

B. FFY 2017 (Federal) \$97,219 (Kauai, Maui, and Hawaii).

Note: RTAP Funds are pooled and distributed to any eligible recipient and purpose through the use of a scholarship application available online. HDOT uses part of these funds for staff training and support of common training sessions for its sub-recipients. The sub-recipient shall notify and obtain a second-approval from the State before the start of any RTAP Program activity. The sub-recipient shall provide detailed information of the specific RTAP Program activity and its consistency with the RTAP Program.

11.80.00 State Administration - State of Hawaii - Funds to be used for staff and office costs to provide program oversight to its sub-recipients.

Federal \$77,365 / State \$0/ Total \$77,365

A. FFY16 \$165

B. FFY17 \$77,200

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Julene	Paul	Transportation Program Specialist (PMF)	julene.paul@dot.gov	415-734-9481
Darin	Allan	Community Planner	Darin.Allan@dot.gov	(415) 734-9470
Ryan	Fujii	Programming Section Head	ryan.fujii@hawaii.gov	8088317984

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,725,555
Local			\$10,983,565
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$14,709,120</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2018-011-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$3,550,000.00	\$10,983,565.00	\$14,533,565.00	1
HI-2018-011-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,550,000.00	\$10,983,565.00	\$14,533,565.00	1

HI-2018-011-01-00	435-00 (435-A3)	RURAL TRANSIT ASST PROGRAM	\$98,190.00	\$0.00	\$98,190.00	1
HI-2018-011-01-00		43.50.01 TRAINING	\$98,190.00	\$0.00	\$98,190.00	1
HI-2018-011-01-00	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$77,365.00	\$0.00	\$77,365.00	1
HI-2018-011-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$77,365.00	\$0.00	\$77,365.00	1

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 Kauai, Maui and Hawaii 2016\$ & 2017\$

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2018-011-01-00	1623-2018-1-P1	8/15/2018	9/27/2018	12/30/2020

#### Project Description

Detailed Project Description

##### A. County of Kauai

Funds to be used to operate the County of Kauai transit system. Estimated number of trips 812,820.

These funds will be utilized in the operation of the Kauai Bus public transit service, which consists of 6 bus routes and comparable ADA paratransit service. All routes operate 7 days a week including all holidays.

##### B. County of Maui

Funds to be used to operate the County of Maui transit system. Estimated number of trips is 2,000,000.

These funds will be utilized in the operation of the Maui Bus public transit service, which consists of 14 bus routes funded by the County of Maui. All routes operate 7 days a week including holidays.

##### C. County of Hawaii

Funds to be used to operate the County of Hawaii transit system. Estimated number of trips 900,000.

These funds will be utilized for the operation of the County of Hawai'i Mass Transit public transit service, which comprises of 23 bus routes. Most routes operate 6 days a week; while all of our commuter South Kohala Resorts routes operate daily including holidays.

#### Project Benefits

Detailed Project Benefits:

##### A. County of Kauai

This project also supports the transportation needs of community members that do not have access to other transportation options (youth, elderly, low socioeconomic range, etc.) This project supports our community by helping to alleviate traffic. All routes enhances the communities access to health care, employment, education, shopping and recreation, etc.

**B. County of Maui**

This project supports our community by providing transportation options while helping to alleviate traffic by giving an alternative to additional vehicles on the road. All routes enhances the communities access to health care, employment, education, shopping and recreation, etc.

**C. County of Hawaii**

This project supports our community by providing transportation options while helping to reduce carbon footprint and traffic. Our ridership includes seniors, disabled, students, and workers who requires access to health care, shopping, education, employment, and recreation, etc.

**Additional Information**

*None provided.*

**Location Description**

**A. County of Kauai**

These routes provide service in and between various Central, North, South, East and West communities of the island of Kauai.

**B. County of Maui**

These routes provide service in and between various Central, North, South, East and West communities of the island of Maui.

**C. County of Hawaii**

These routes provide service in and between various rural and small urban communities of the island of Hawaii.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Tulsi Gabbard

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,725,555
Local			\$10,983,565
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
<b>Total Eligible Cost</b>	<b>\$14,709,120</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2018-011-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$3,550,000.00	\$10,983,565.00	\$14,533,565.00	1
HI-2018-011-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,550,000.00	\$10,983,565.00	\$14,533,565.00	1
HI-2018-011-01-00	435-00 (435-A3) RURAL TRANSIT ASST PROGRAM	\$98,190.00	\$0.00	\$98,190.00	1
HI-2018-011-01-00	43.50.01 TRAINING	\$98,190.00	\$0.00	\$98,190.00	1
HI-2018-011-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$77,365.00	\$0.00	\$77,365.00	1
HI-2018-011-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$77,365.00	\$0.00	\$77,365.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

Extended Budget Description  
Total Combined RTAP (Counties of Kauai, Maui, and Hawaii)  
Federal \$98,190

A. FFY2016: \$971 (Kauai, Maui, and Hawaii).

B. FFY2017: \$97,219 (Kauai, Maui, and Hawaii).

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$98,190
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$98,190</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/28/2018	Approx Start Date
End Date	12/31/2020	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

**Extended Budget Description**

Extended Budget Description  
 11.80.00 State Admin FFY16 & FFY17: \$77,365  
 FFY16: \$165  
 FFY17: \$77,200

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$77,365
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0



Transportation Development Credit	\$0
<b>Total Eligible Cost</b>	<b>\$77,365</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2018	
End Date	12/31/2020	

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**  
 Extended Budget Description  
 30.09.01 Operating Assistance  
 Total Funding Combined (all counties):  
 Federal: \$3,550,000/ Local \$10,983,565 / Total \$14,533,565

A. County of Kauai  
 Federal \$1,068,000 (FFY16 \$26,000+FFY17 \$1,042,000)/ Local \$1,068,000 / Total \$2,136,000.

B. County of Maui  
 Federal \$534,000 (FFY16 \$13,000+FFY17 \$521,000)/ Local \$7,967,565 / Total \$8,501,565.

C.  
 Federal \$1,948,000 (FFY16 \$906,000 +FFY17 \$1,042,000)/ Local \$1,948,000/ Total \$3,896,000

**Will 3rd Party contractors be used to fulfill this activity line item?**  
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,550,000
Local			\$10,983,565
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$14,533,565</b>

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2018	Start dates by County: Kauai: October 1, 2018 Maui: July 1, 2018 Hawaii: October 1, 2018
End Date	12/31/2020	End dates by County: Kauai: December 31, 2020 Maui: June 30, 2020 Hawaii: December 31, 2020

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	8/20/2018

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

There are no review comments to display at this time.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this

## Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

## FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### Recipient Information

Recipient Name: Transportation, Hawaii Department Of

Recipient ID: 1623

DUNS No: 824671465

### Application Information

Federal Award Identification Number: HI-2018-011-00

Application Name: 5311 - Kauai, Maui & Hawaii Counties - 2016\$ & 2017\$

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/30/2020

Application Executive Summary: In this application:

FFY 2016 funds = \$946,136

FFY 2017 funds = \$2,779,419

Federal TOTAL = \$3,725,555

Detailed Project Description:

A. County of Kauai

30.09.01 Operating Assistance

Federal \$1,068,000 (FFY16 \$26,000+FFY17 \$1,042,000)/ Local \$1,068,000 / Total \$2,136,000 .

Source of Local Funds: Kauai County Budget

B. County of Maui

30.09.01 Operating Assistance

Federal \$534,000 (FFY16 \$13,000+FFY17 \$521,000)/ Local \$7,967,565 / Total \$8,501,565.  
Source of Local Funds: Maui County Budget (Maui Bus Fixed Route Service Contract)

C. County of Hawaii

30.09.01 Operating Assistance

Federal \$1,948,000 (FFY16 \$906,000 +FFY17 \$1,042,000)/ Local \$1,948,000/ Total \$3,896,000.

Source of Local Funds: Hawaii County Budget

43.50.01 RTAP:

Federal \$98,190 /Local \$0 / Total \$98,190.

A. FFY 2016 (Federal) \$971 (Kauai, Maui, and Hawaii).

B. FFY 2017 (Federal) \$97,219 (Kauai, Maui, and Hawaii).

Note: RTAP Funds are pooled and distributed to any eligible recipient and purpose through the use of a scholarship application available online. HDOT uses part of these funds for staff training and support of common training sessions for its sub-recipients. The sub-recipient shall notify and obtain a second-approval from the State before the start of any RTAP Program activity. The sub-recipient shall provide detailed information of the specific RTAP Program activity and its consistency with the RTAP Program.

11.80.00 State Administration - State of Hawaii - Funds to be used for staff and office costs to provide program oversight to its sub-recipients.

Federal \$77,365 / State \$0/ Total \$77,365

A. FFY16 \$165

B. FFY17 \$77,200

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$14,709,120.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$3,725,555.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$10,983,565.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$3,725,555.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$10,983,565.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,725,555

Local		\$10,983,565
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
<b>Total Eligible Cost</b>		<b>\$14,709,120</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,

- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Hawaii Department Of*