DOT FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	IA-2023-002-00
Temporary Application Number	1812-2022-11
Award Name	SFY 2023 STBG Flex Funding Grant for MPO/RPA Planning Activities
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	11/30/2027	
Current Period of Performance End Date	N/A Revision #: N/A	

Part 1: Recipient Information

Name: TRANSPORTATION, IOWA DEPT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	VAL7N8VS7L99	120527275

Location Type	Address	City	State	Zip
Headquarters	800 LINCOLN WAY	AMES	IA	500106915
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: SFY 2023 STBG Flex Funding Grant for MPO/RPA Planning Activities

FAIN	Application Status	Award Type	Date Created	Last Updated Date	From TEAM?
IA-2023-002-	Final Concurrence / Reservation	Grant	8/30/2022	8/30/2022	No

Application Executive Summary

The purpose of this award is to provide additional funding support for transportation planning activities for lowa's metropolitan planning organizations (MPOs) and regional planning affiliations (RPAs) as outlined in their respective planning work programs. Planning tasks outlined in the work programs will include activities related to the transportation planning process, such as long range transportation planning, short range transportation planning, transportation improvement program monitoring and development, general and comprehensive planning, program support and administrative items, and other various transportation study and plan activities. An original consolidated planning grant (IA-2022-034-00) provided support for transportation planning activities for all of lowa's nine MPOs and 18 RPAs and included funding from FHWA (PL and SPR) and FTA (5305d and 5305e). This grant contains \$800,010 in FY 2022 FHWA STBG funding (\$143,300 transfer to 5307, \$656,710 to 5311) that was transferred to FTA (TR2301) to help supplement funding support for the transportation planning activities of two MPOs and 10 RPAs in lowa. Agency member dues/assessments are the funding source of local share/match.

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FHWA STBG for two MPOs ($143,300 FA + $35,825 LM = $179,125 Total)
DMAMPO ($95,000 FA + $23,750 LM = $118,750 Total)
DMATS ($48,300 FA + $12,075 LM = $60,375)
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FHWA STBG for ten RPAs ($656,710 FA + $164,178 LM = $820,888)
RPA 1 ($57,000 FA + $14,250 LM = $71,250 Total)
RPA 3 ($38,000 FA + $9,500 LM = $47,500 Total)
RPA 7 ($40,000 FA + $10,000 LM = $50,000 Total)
RPA 8 ($99,575 FA + $24,894 LM = $124,469 Total)
RPA 10 ($89,000 FA + $22,250 LM = $111,250 Total)
RPA 12 ($70,000 FA + $17,500 LM = $87,500 Total)
RPA 12 ($70,000 FA + $2,015 LM = $10,073 Total)
RPA 15 ($10,000 FA + $2,500 LM = $12,500 Total)
RPA 16 ($23,208 FA + $55,802 LM = $279,010 Total)
RPA 17 ($21,869 FA + $5,467 LM = $27,336 Total)
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Total FHWA STBG (\$800,010 FA + \$200,003 LM = \$1,000,013 Total)

A detailed summary of all federal planning funds by source and planning agency is attached to the application.

Purpose: The goal of this award is to provide additional funding support to help metropolitan and regional planning agencies complete their responsibilities of a metropolitan or regional transportation planning process (while considering planning factors and planning emphasis areas to advance national goals) as they work on the agency's transportation planning priorities and activities identified in their respective work programs over the next one-year period.

Activities to be Performed: The FHWA STBG transferred funds will be used to cover eligible transportation planning activity expenses as outlined in the MPO and RPA work programs. Activities among the metropolitan and regional planning agencies will generally be attributed addressing priorities identified throughout the transportation planning process, such as short-range and long-range transportation planning through data collection, studies, plans, general support of program and administrative operations

at the agencies, and carrying out similar transportation planning activities as part of their transportation planning process found in United States Code.

Expected Outcomes: The funding will allow these metropolitan and regional transportation planning agencies to carry out the requirements of a continuing, cooperative, and comprehensive transportation planning process for their regional planning area while performing transportation-related planning and programming activities for federally funded highway and transit projects.

Intended Beneficiaries: Populace (residents of metropolitan and non-metropolitan areas, such large and small cities, counties) of two MPO and 10 RPA planning areas (state of lowa).

Subrecipient Activities: The 12 subrecipients have chosen to utilize FHWA STBG funding to carry out the transportation planning process in accordance with United States Code, which are identified as transportation planning activities described within their individual MPO and RPA planning work programs. Their activities will generally include work related to long range transportation planning, short range transportation planning, transportation improvement program development and monitoring, general and comprehensive planning, program support and administrative items, and various other studies and plan activities.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The goal of this award is to provide additional funding support to help metropolitan and regional planning agencies complete their responsibilities of a metropolitan or regional transportation planning process (while considering planning factors and planning emphasis areas to advance national goals) as they work on the agency's transportation planning priorities and activities identified in their respective work programs over the next one-year period.

Activities to be performed:

The FHWA STBG transferred funds will be used to cover eligible transportation planning activity expenses as outlined in the MPO and RPA work programs. Activities among the metropolitan and regional planning

agencies will generally be attributed addressing priorities identified throughout the transportation planning process, such as short-range and long-range transportation planning through data collection, studies, plans, general support of program and administrative operations at the agencies, and carrying out similar transportation planning activities as part of their transportation planning process found in United States Code.

Expected outcomes:

The funding will allow these metropolitan and regional transportation planning agencies to carry out the requirements of a continuing, cooperative, and comprehensive transportation planning process for their regional planning area while performing transportation-related planning and programming activities for federally funded highway and transit projects.

Intended beneficiaries:

Populace (residents of metropolitan and non-metropolitan areas, such large and small cities, counties) of two MPO and 10 RPA planning areas (state of lowa).

Subrecipient Activities:

The 12 subrecipients have chosen to utilize FHWA STBG funding to carry out the transportation planning process in accordance with United States Code, which are identified as transportation planning activities described within their individual MPO and RPA planning work programs. Their activities will generally include work related to long range transportation planning, short range transportation planning, transportation improvement program development and monitoring, general and comprehensive planning, program support and administrative items, and various other studies and plan activities.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	(816) 329-3940
Zachary	Bitting	Transportation Planner	zachary.bitting@iowadot.us	(515) 239-1197

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5307 Urbanized Area Formula Grants	5307-3	20507	\$143,300
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$656,710
Local			\$200,003
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,000,013

Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2023-002- 01-00	442- 00 (442- A1)	METROPOLITAN PLANNING	\$143,300.00	\$35,825.00	\$179,125.00	0
IA-2023-002- 01-00		GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$143,300.00	\$35,825.00	\$179,125.00	0
IA-2023-002- 02-00		STATE PLANNING & RESEARCH	\$656,710.00	\$164,178.00	\$820,888.00	0
IA-2023-002- 02-00		GENERAL 44.22.00 DEVELOPMENT/ COMPREHENSIVE PLANNING	\$656,710.00	\$164,178.00	\$820,888.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Metropolitan Planning - STBG Funding for Planning

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2023-002-01-00	1812-2022-11-P1	8/30/2022	7/1/2022	8/31/2027

Project Description

lowa's metropolitan planning organizations (MPOs) provide transportation planning activities at the metropolitan level. The MPOs, through agreement with the lowa DOT, have made a commitment to provide the 20 percent local share of the total project cost. This project reflects FY 2022 FHWA STBG funds in the amount of \$143,300 to support MPO transportation planning activities. The corresponding transfer request TR2301 from FHWA to FTA is attached to the application.

The MPOs will utilize these STBG funds to complete transportation planning priorities and work activities that are to be carried out within their respective metropolitan planning areas during the next one-year period, as identified in their respective planning work programs. Priorities and activities in the work programs will result in a balanced and comprehensive intermodal transportation process for the movement of people and goods in the metropolitan areas and are not limited to planning studies related to transit, highway, bicycle and pedestrian, freight, port, railways activities and other transportation support activities. Activities will vary among the MPOs, but will generally be attributed to short-range and long-range transportation planning through data collection, studies, plans, general support of program and administrative operations at the agencies, and carrying out other similar transportation planning activities as part of the transportation planning process.

Project Benefits

These funds support a program of intermodal transportation planning and programming across the state's urbanized areas. The lowa DOT contracts with these agencies for long-range transportation planning,

programming, and public participation activities required by law. The agencies also typically provide technical assistance to other local transportation departments or agencies, including public transit operators. The contracts are based on transportation planning work programs prepared by the local officials involved in the planning affiliation and approved by the lowa DOT. The funding is expected to allow these agencies to carry out a continuing, cooperative, and comprehensive transportation planning process for their regional planning area while performing transportation planning and programming activities for federally funded highway and transit projects. It is the populace (cities, counties, residents) of these two MPOs that will ultimately benefit from the work these funds will support.

Additional Information

Iowa DOT's Central Contracting Registration is current through February 9, 2023.

Location Description

Funding in this project will be utilized for transportation planning activities in two MPOs (Des Moines, and Dubuque).

Project Location (Urbanized Areas)

UZA Code	Area Name	
190000	lowa	
190001	lowa	

Congressional District Information

State	District	Representative
Iowa	1	Ashley Hinson
Iowa	3	Cynthia Axne

Program Plan Information

STIP/TIP

Date: 10/1/2022

Description: FHWA/FTA approval of the FFY 2023-2026 STIP is attached as part of the application documents. The current STIP can be found at https://iowadot.gov/program_management/Statewide-Transportation-Improvement-Program-STIP.

UPWP

Date: 7/1/2022

Description: FHWA/FTA approval of the SFY 2023 Iowa Planning work programs is attached as part of

the application documents.

Long Range Plan

Date: N/A
Description: N/A

Project Control Totals

Funding Source	Section of	CFDA	Amount
Funding Source	Statute	Number	Amount

Total Eligible Cost	·	<u> </u>	\$179,125
Adjustment			\$0
Transportation Development Credit			\$0
Other Federal			\$0
State/In-Kind			\$0
State			\$0
Local/In-Kind			\$0
Local			\$35,825
FHWA Transfer to 5307 Urbanized Area Formula Grants	5307-3	20507	\$143,300

Project Budget

Project Number	Budget Item		FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2023-002- 01-00	442- 00 (442- A1)	METROPOLITAN PLANNING	\$143,300.00	\$35,825.00	\$179,125.00	0
IA-2023-002- 01-00		44.22.00 GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$143,300.00	\$35,825.00	\$179,125.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	METROPOLITAN PLANNING	0

Extended Budget Description

Below is a breakdown of FHWA STBG funding for the two MPOs. The total amount of FHWA STBG funding is \$143,300. A summary of all MPO funding by source is attached to the application, as part of the application documents.

DMAMPO (Des Moines): \$95,000 DMATS (Dubuque): \$48,300

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of	CFDA	Amount
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	Statute	Number	
FHWA Transfer to 5307 Urbanized Area Formula Grants	5307-3	20507	\$143,300
Local			\$35,825
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$179,125

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	8/31/2027	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date	
Class IIc CE Approved	12/19/2022	

Project Title: Regional Planning – STBG Funding for Planning

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2023-002-02-00	1812-2022-11-P2	8/30/2022	7/1/2022	8/31/2027

Project Description

Iowa's regional planning affiliations (RPAs) provide transportation planning activities at the regional level.

The RPAs, through agreement with the lowa DOT, have made a commitment to provide the 20 percent local share of the total project cost. This project reflects FY2022 FHWA STBG funds in the amount of \$656,710 to support RPA transportation planning activities. The corresponding transfer request TR2301 from FHWA to FTA is attached to the application.

The RPAs will utilize these STBG funds to complete transportation planning priorities and work activities that are to be carried out within their regional non-metropolitan planning areas during the next one-year period, as identified in their respective planning work programs. Priorities and activities in the work programs will result in a balanced and comprehensive intermodal transportation process for the movement of people and goods in the regional non-metropolitan areas and are not limited to planning studies related to transit, highway, bicycle and pedestrian, freight, port, railways activities and other transportation support activities. Activities will vary among the RPAs, but will generally be attributed to short-range and long-range transportation planning through data collection, studies, plans, general support of program and administrative operations at the agencies, and carrying out other similar transportation planning activities as part of the transportation planning process.

Project Benefits

These funds support a program of intermodal transportation planning and programming across the state's non-urbanized areas. The lowa DOT contracts with these agencies for long-range transportation planning, programming, and public participation activities required by law. The agencies also typically provide technical assistance to other local transportation departments or agencies, including public transit operators. The contracts are based on transportation planning work programs prepared by the local officials involved in the planning affiliation and approved by the lowa DOT. The funding is expected to allow these agencies to carry out a continuing, cooperative, and comprehensive transportation planning process for their regional planning area while performing transportation planning and programming activities for federally funded highway and transit projects. It is the populace (cities, counties, residents) of these 10 RPAs that will ultimately benefit from the work these funds will support.

Additional Information

Iowa DOT's Central Contracting Registration is current through February 9, 2023.

Location Description

Funding in this project will be utilized for transportation planning activities in 10 RPAs (RPAs 1, 3, 7, 8, 10, 12, 14, 15, 16, and 17).

Project Location (Urbanized Areas)

UZA Code	Area Name
190000	lowa
190001	Iowa

Congressional District Information

State	District	Representative
Iowa	1	Ashley Hinson
Iowa	2	Mariannette Miller-Meeks
Iowa	3	Cynthia Axne
Iowa	4	Randy Feenstra

Program Plan Information

STIP/TIP

Date: 10/1/2022

Description: FHWA/FTA approval of the FFY 2023-2026 STIP is attached as part of the application documents. The current STIP can be found at https://iowadot.gov/program_management/Statewide-Target and Paragraph CTIP.

Transportation-Improvement-Program-STIP.

UPWP

Date: 7/1/2022

Description: FHWA/FTA approval of the SFY 2023 lowa Planning work programs is attached as part of

the application documents.

Long Range Plan

Date: N/A
Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$656,710
Local			\$164,178
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$820,888

Project Budget

Project Number	Budget Item		FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity	
IA-2023-002- 02-00	441- 00 (441- A2)	STATE P RESEAR	LANNING & CH	\$656,710.00	\$164,178.00	\$820,888.00	0
IA-2023-002- 02-00		44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$656,710.00	\$164,178.00	\$820,888.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE PLANNING & RESEARCH (441-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	STATE PLANNING & RESEARCH	0

Extended Budget Description

Below is a breakdown of FHWA STBG funding for the 10 RPAs. The total amount of FHWA STBG funding is \$656,710. A summary of all RPA funding by source is attached to the application, as part of the application documents.

RPA 1: \$57,000 RPA 3: \$38,000 RPA 7: \$40,000 RPA 8: \$99,575 RPA 10: \$89,000 RPA 12: \$70,000 RPA 14: \$8,058 RPA 15: \$10,000 RPA 16: \$223,208 RPA 17: \$21,869

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$656,710
Local			\$164,178
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$820,888

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	8/31/2027	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/19/2022

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By	Jeremiah Shuler
Comment Type	DOL Review for Certification
Date	1/18/2023
Comment	The purpose of this award is to provide additional funding support for transportation planning activities for lowa's metropolitan planning organizations (MPOs) and regional planning affiliations (RPAs) as outlined in their respective planning work programs. Planning tasks outlined in the work programs will include activities related to the transportation planning process, such as long range transportation planning, short range transportation planning, transportation improvement program monitoring and development, general and comprehensive planning, program support and administrative items, and other various transportation study and plan activities. An original consolidated planning grant (IA-2022-034-00) provided support for transportation planning activities for all of lowa's nine MPOs and 18 RPAs and included funding from FHWA (PL and SPR) and FTA (5305d and 5305e). This grant contains \$800,010 in FY 2022 FHWA STBG funding (\$143,300 transfer to 5307, \$656,710 to 5311) that was transferred to FTA (TR2301) to help supplement funding support for the transportation planning activities of two MPOs and 10 RPAs in lowa. Agency member dues/assessments are the funding source of local share/match

Application Review Comments

Comment by	Jerennan Shuler		
Comment Type	Pre-Award Manager Returns Application		
Date	11/2/2022		
Comment	Please reformat the ex summary like you did in the award description. Please list the federal funds in the grant in a list format, including totals of federal funds and local match.		

Application Review Comments

Comment By Gerri Doyle

	-
Comment Type	Environmental Findings
Date	12/19/2022
Project Title	Metropolitan Planning – STBG Funding for Planning
Project Number	IA-2023-002-01-00
Comment	The proposed MPO planning and program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	12/19/2022
Project Title	Regional Planning – STBG Funding for Planning
Project Number	IA-2023-002-02-00
Comment	The proposed RPA planning and program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).