

Application

Federal Award Identification Number (FAIN)	IA-2023-001-00
Temporary Application Number	1812-2022-9
Award Name	Formula 5311 Operating/RTAP/Planning/Capital
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2027
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, IOWA DEPT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	VAL7N8VS7L99	120527275

Location Type	Address	City	State	Zip
Headquarters	800 LINCOLN WAY	AMES	IA	500106915
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Formula 5311 Operating/RTAP/Planning/Capital

FAIN	Application Status	Award Type	Date Created	Last Updated Date	From TEAM?
IA-2023-001-00	Final Concurrence / Reservation	Grant	7/8/2022	7/8/2022	No

Application Executive Summary

Executive Summary

This is the Iowa DOT FFY22 5311 Non-Urbanized Area Formula Program grant application for \$15,292,378

FFY2022 Section 5311 Funding Project Details

FUNDING SUMMARY:

\$14,543,971 FY22 5311 Operating Amount

\$400,000 FY22 Statewide Planning Project

\$296,202 FY22 RTAP Apportionment

\$15,240,173 Total amount of FTA FY22 5311 application

\$14,643,971 Total Local Match Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that local shares for their projects are available.

\$29,884,144 Total Grant Amount

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

This is the Iowa DOT FFY22 5311 Non-Urbanized Area Formula Program grant application for \$15,292,378. The purpose is to provide operating assistance for eligible 5311 subrecipients, to provide services in all 99 counties in Iowa, provide local transit agencies training fellowships opportunities and funding for short term planning activities.

Activities to be performed:

The application scope of work includes operating assistance for eligible 5311 subrecipients. Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo. Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

Expected outcomes:

The expected outcome is efficient and timely operating of transportation services in the rural areas across Iowa, training and conference opportunities for transit systems, and short term planning activities.

Intended beneficiaries:

The intended beneficiaries are citizens across rural counties in the state of Iowa.

Subrecipient Activities:

The application scope of work includes service operations for eligible 5311 subrecipients to provide services. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	(816) 329-3940
Sree	Mitra	Program Manager	sreeparna.mitra@iowadot.us	515-239-1806
Cathy	Monroe	Supervisory Community Planner	Cathy.Monroe@dot.gov	(816) 329-3929

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,240,173
Local			\$14,643,971
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$29,884,144

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2023-001-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$14,543,971.00	\$14,543,971.00	\$29,087,942.00	0
IA-2023-001-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$14,543,971.00	\$14,543,971.00	\$29,087,942.00	0
IA-2023-001-01-00	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$296,202.00	\$0.00	\$296,202.00	0
IA-2023-001-01-00	43.50.01 TRAINING	\$296,202.00	\$0.00	\$296,202.00	0
IA-2023-001-01-00	441-00 (441-A3) STATE PLANNING & RESEARCH	\$400,000.00	\$100,000.00	\$500,000.00	0
IA-2023-001-01-00	44.24.00 SHORT RANGE TRANSIT PLANNING	\$400,000.00	\$100,000.00	\$500,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY22 Formula 5311 Operating Assistance, Planning and RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2023-001-01-00	1812-2022-9-P1	7/25/2022	7/1/2022	9/30/2026

Project Description

This is the FFY22 5311 Non-Urbanized Area Formula Program grant application is for \$15,292,378

FUNDING SUMMARY:

\$14,543,971 FY22 5311 Operating Amount
\$400,000 FY22 Statewide Planning Project
\$296.202 FY22 RTAP Apportionment
\$15,240,173 Total amount of FTA FY22 5311 application

ACTIVITIES TO BE PERFORMED: The application scope of work includes operating assistance for eligible 5311 subrecipients.

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo.

Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

EXPECTED OUTCOMES: The expected outcome is efficient and timely operating of transportation services in the rural areas across Iowa, training and conference opportunities for transit systems, and

INTENDED BENEFICIARIES: The intended beneficiaries are citizens across rural counties in the state of Iowa.

SUBRECIPIENT ACTIVITIES: The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that local shares for their projects are available.

Project Benefits

The 23 subrecipient agencies will receive the benefit of reliable source of operating funding. All statewide transit systems will receive the benefit of a travel training program under RTAP (and STA funding for larger urban areas), and statewide planning resources.

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of State-wide training seminars, and a Statewide annual driver rodeo,

Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

Additional Information

None provided.

Location Description

This application is for operating assistance for rural transit agencies throughout Iowa as well as statewide regional planning and RTAP

Project Location (Urbanized Areas)

UZA Code	Area Name
190000	Iowa
190001	Iowa

Congressional District Information

State	District	Representative
Iowa	1	Ashley Hinson
Iowa	2	Mariannette Miller-Meeks
Iowa	3	Cynthia Axne
Iowa	4	Randy Feenstra

Program Plan Information**STIP/TIP**

Date: 10/1/2021

Description: 2022-2025 STIP can be found on the online STIP document <https://www.tpms.org/transit/> under FY22 approved 5311 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,240,173
Local			\$14,643,971
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$29,884,144

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2023-001-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$14,543,971.00	\$14,543,971.00	\$29,087,942.00	0
IA-2023-001-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$14,543,971.00	\$14,543,971.00	\$29,087,942.00	0
IA-2023-001-01-00	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$296,202.00	\$0.00	\$296,202.00	0
IA-2023-001-01-00	43.50.01 TRAINING	\$296,202.00	\$0.00	\$296,202.00	0
IA-2023-001-01-00	441-00 (441-A3) STATE PLANNING & RESEARCH	\$400,000.00	\$100,000.00	\$500,000.00	0
IA-2023-001-01-00	44.24.00 SHORT RANGE TRANSIT PLANNING	\$400,000.00	\$100,000.00	\$500,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 44.24.00 - SHORT RANGE TRANSIT PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE PLANNING & RESEARCH (441-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	STATE PLANNING & RESEARCH	0

Extended Budget Description

Rural transit planning assistance. Funds will be used for general planning activities conducted by the RPAs. Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/ transportation planning

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$100,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	9/30/2024	

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Training assistance for rural systems. Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$296,202
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$296,202
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	9/30/2025	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating assistance for rural transit providers --The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area. Operating assistance will be used for fuel, maintenance, salaries, and other general operating expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,543,971
Local			\$14,543,971
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,087,942

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	9/30/2026	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or

natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/26/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$14,543,971.00	\$29,087,942.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/26/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	0	\$296,202.00	\$296,202.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/26/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE PLANNING & RESEARCH (441-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	0	\$400,000.00	\$500,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Cathy Monroe

Comment Type DOL Review for Information

Date 12/27/2022

Comment

Application Review Comments

Comment By Jeremiah Shuler

Comment Type Pre-Award Manager Returns Application

Date 12/13/2022

Comment Executive summary needs to be cut and pasted to the appropriate TrAMS 5 element boxes

Comment By Jeremiah Shuler

Comment Type Pre-Award Manager Returns Application

Date 12/20/2022

Comment 12/16/22 - Please address the following comments: 1) 442-00 Scope Metro Planning is incorrect as this is planning for Rural transit use the State Planning Scope instead; 2)

under the Extended budget description for each activity provide a detailed description as to what the funds will be used for i.e. planning will these funds be used for studies or general planning activities and who will be doing the planning activities the RPAs or the State DOT; operating assistance will be used for fuel, maintenance, salaries, and other operating expenses.....etc. RTAP what will the funds be used for conference attendance, registration fees for training, or a consultant to conduct trainings

Comment By Cathy Monroe

Comment Type	Pre-Award Manager Returns Application
Date	12/26/2022
Comment	Returned the grant so that the RTAP amount can be reduced to the FY22 apportioned amount of \$296,202.

Application Review Comments

Comment By Sree Mitra

Comment Type	Application Details
Date	12/26/2022
Comment	Corrected planning scope code. Added language from executive summary to provide more details for planning and RTAP activities in the extended budget section

Application Review Comments

Comment By Cathy Monroe

Comment Type	Environmental Findings
Date	12/26/2022
Project Title	FY22 Formula 5311 Operating Assistance, Planning and RTAP
Project Number	IA-2023-001-01-00
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Cathy Monroe

Comment Type	Environmental Findings
Date	12/26/2022
Project Title	FY22 Formula 5311 Operating Assistance, Planning and RTAP
Project Number	IA-2023-001-01-00
Comment	The proposed RTAP training activities are found compliant as a Categorical Exclusion

under 23 CFR Part 771.118 (c)(4).

Comment By Cathy Monroe

Comment Type	Environmental Findings
Date	12/26/2022
Project Title	FY22 Formula 5311 Operating Assistance, Planning and RTAP
Project Number	IA-2023-001-01-00
Comment	The proposed planning assistance activities are found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).