

## Application

<b>Federal Award Identification Number (FAIN)</b>	CO-2023-002-00
<b>Temporary Application Number</b>	6965-2022-2
<b>Award Name</b>	FY 2022 5311 Tribal Formula - Operating, Project Admin, and Preventive Maintenance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: SOUTHERN UTE INDIAN TRIBE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6965	Indian Tribe	SOUTHERN UTE INDIAN TRIBE	H3VJM313MK56	078353919

Location Type	Address	City	State	Zip
Headquarters	356 OURAY DR	IGNACIO	CO	81137
Physical Address	356 OURAY DR	IGNACIO	CO	81137
Mailing Address	P.O. BOX 737	IGNACIO	CO	81137

### Union Information

There are no union contacts for this application

### Part 2: Application Information

## Title: FY 2022 5311 Tribal Formula - Operating, Project Admin, and Preventive Maintenance

FAIN	Application Status	Award Type	Date Created	Last Updated Date	From TEAM?
CO-2023-002-00	Final Concurrence / Reservation	Grant	5/16/2022	5/16/2022	No

### Application Executive Summary

The Southern Ute Tribe uses FY22 5311 Tribal Formula funds in the amount of \$97,913 (full apportionment) to cover expenses for the contracted Tribal Transit services in Federal Fiscal Year 2023. The federal share is 100%. This grant will fund the Tribes transit service (known locally as DAR) from January 1, 2023, to September 30, 2023. The DAR service provides over 85 hours per week of demand response transit service within an area encompassing the Southern Ute Tribal Campus, tribal enterprise locations, tribal neighborhoods, senior housing, tribal services including medical, education and recreation, airport shuttle, plus shopping, social and family connections.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is not using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

### Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Southern Ute Indian Tribe subcontracts transit operations to Southern Colorado Community Action Agency dba Road Runner Transit which operates using their federally negotiated and approved indirect cost rate of 10.9%.

### Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

### Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

## Award Description

### Purpose

The Southern Ute Tribe uses FY22 5311 Tribal Formula funds in the amount of \$97,913 (full apportionment) to cover expenses for the contracted Tribal Transit services in the calendar year 2023.

### Activities to be performed:

The grant scope of work includes the following activities in support of the Tribal Transit Program: 1. Preventive Maintenance; 2. Project Administration; and 3. Operations.

**Expected outcomes:**

Continued safe and effective operation of Tribal Transit services for rural areas in Southeast La Plata county and within the Southern Ute reservation and exterior boundaries.

**Intended beneficiaries:**

Tribal members, other Native Americans, and the residents in the areas served.

**Subrecipient Activities:**

SoCoCAA will carry out the activities listed in this award.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Mikki	Naranjo	Contracts & Grants Manager	minaranjo@southernute-nsn.gov	(970) 563-2441 2409
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$97,913
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$97,913</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2023-002-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$97,913.00	\$0.00	\$97,913.00	3
CO-2023-002-01-00	11.79.00 PROJECT ADMINISTRATION	\$21,019.00	\$0.00	\$21,019.00	1
CO-2023-002-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$7,665.00	\$0.00	\$7,665.00	1
CO-2023-002-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$69,229.00	\$0.00	\$69,229.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Ignacio Area Dial a Ride Operations, Project Admin and Preventative Maintenance</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2023-002-01-00	6965-2022-2-P1	7/1/2022	1/1/2023	9/30/2023

### Project Description

FFY2022 5311 Tribal formula funds will be used for the following activities:

- Operations
- Project Admin
- Preventive Maintenance

Activities are covered by separate CE C-List Types.

### Project Benefits

The DAR service continues essential tribal transportation for calendar year 2023. DAR is a demand-response service with a fixed route frequency that provides full access to all community services and destinations within the hours of operation.

### Additional Information

Ignacio Area DAR also links up with Road Runner's fixed route service to Durango, 24 miles away.

### Location Description

This project serves the Southern Ute Indian Tribal community and other residents living near and around tribal headquarters and the Towns of Ignacio and Bayfield, CO.

## Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

## Congressional District Information

State	District	Representative
Colorado	3	Lauren Boebert

## Program Plan Information

### STIP/TIP

Date: 2/1/2015

Description: Date: 2/1/2015 Description: Rural regional plan, part of the STIP. on pages 5, 42, 46

### UPWP

Date: Not Provided  
 Description: N/A

**Long Range Plan**

Date: Not Provided  
 Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$97,913
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$97,913</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2023-002-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$97,913.00	\$0.00	\$97,913.00	3
CO-2023-002-01-00	11.79.00 PROJECT ADMINISTRATION	\$21,019.00	\$0.00	\$21,019.00	1
CO-2023-002-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$7,665.00	\$0.00	\$7,665.00	1
CO-2023-002-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$69,229.00	\$0.00	\$69,229.00	1

**Project Budget Activity Line Items**

**Budget Activity Line Item: 30.09.01 - UP TO 100% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

FY22 5311 TTP formula will fund operating assistance for the timeframe of January 1, 2023, to September 30, 2023. Southern Ute Tribe passes through funding to Southern Colorado Community Action Agency (SoCoCAA) to operate transit services. Operating expenses include driver and dispatch salaries and fringe benefits, fuel, oil, and other direct operating costs. 100% Federal share.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$69,229
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$69,229</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2023	Begin operations
End Date	9/30/2023	End operations

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

FY22 5311 TTP Formula funds will be used for preventive maintenance expenses for the Tribal Transit Program, including small capital purchases and supplies for preventive maintenance and repairs to vehicles and equipment. Timeframe for expenses is from January 1, 2023 to September 30, 2023. 100% Federal share.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$7,665
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$7,665</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2023	Start of preventive maintenance expenses
End Date	9/30/2023	End of preventive maintenance expenses

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

FFY22 5311 TTP Formula funds will be used for Project Administration for the Tribal Transit Program to provide transportation services throughout the Reservation. Federal Share is 100%.

Project Admin expenses are from January 1, 2023 to September 30, 2023 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing.

No supplies will be purchased costing more than \$5,000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$21,019
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$21,019</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2023	Begin Project Administration for DAR
End Date	9/30/2023	End of project administration

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	11/30/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$21,019.00	\$21,019.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	11/30/2022



Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$7,665.00	\$7,665.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	11/30/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 100% FEDERAL SHARE	1	\$69,229.00	\$69,229.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Eboni Younger-Riehl

**Comment Type** DOL Review for Information

**Date** 12/21/2022

This award is using FY22 5311 TTP formula funds.

**Comment** Contact Information:  
Mikki Naranjo  
Southern Ute Indian Tribe  
Contracts & Grants Manager  
970-563-2441  
Minaranjo@southernute-nsn.gov

Lori Niewold  
Executive/Development Director  
Southern Colorado Community Action Agency (SoCoCAA)  
Phone: (970) 563-4517  
Cell: (970) 442-0456

## Application Review Comments

**Comment By Eboni Younger-Riehl**

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Comment Type	Pre-Award Manager Returns Application
Date	9/19/2022
Comment	Please include the five elements in the executive summary: Purpose, Activities to be Performed, Expected Outcomes, Intended Beneficiaries, and Subrecipient Activities.

**Comment By Eboni Younger-Riehl**

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Comment Type	Pre-Award Manager Returns Application
Date	11/17/2022
Comment	Please complete the estimated indirect cost section of this application.

## Application Review Comments

**Comment By Robyn Kullas**

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Comment Type	Environmental Findings
Date	11/7/2022
Project Title	Ignacio Area Dial a Ride Operations, Project Admin and Preventative Maintenance
Project Number	CO-2023-002-01-00
Comment	The preventative maintenance task (11.7A.00) needs a different categorical exclusion (CE) for NEPA approval. The CE for vehicle and equipment maintenance should be List C type 7.

**Comment By Robyn Kullas**

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Comment Type	Environmental Findings
Date	11/30/2022
Project Title	Ignacio Area Dial a Ride Operations, Project Admin and Preventative Maintenance
Project Number	CO-2023-002-01-00
Comment	vehicle and equipment maintenance has been separated out as it's own CE. Concur that the projects meet requirements of CE List C types 4 and 7.

