

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AR-2023-003-00
Temporary Application Number	1561-2023-2
Award Name	Arkansas State FFY 2022 5311 State Administration, Training, Maintenance, Operating and Busses
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/1/2028
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, ARKANSAS DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1561	State Agency	ARKANSAS STATE HIGHWAY AND TRANSPORTATION DEPARTMENT	U9GQWG2L9ZQ3	809873235

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL STE 250	LITTLE ROCK	AR	72201
Physical Address	10324 INTERSTATE 30 (EXIT 130)	LITTLE ROCK	AR	72209
Mailing Address	P. O. BOX 2261	LITTLE ROCK	AR	72203

Union Information

Union Name	AMALGAMATED TRANSIT UNION(STATEWIDE)
Address 1	5025 WISCONSIN AVE
Address 2	

City	WASHINGTON
State	District of Columbia
Zipcode	20016
Contact Name	MR. LEO WETZEL
Telephone	2025371645
Fax	2022447824
E-mail	
Website	

Part 2: Application Information

Title: Arkansas State FFY 2022 5311 State Administration, Training, Maintenance, Operating and Busses

FAIN	Application Status	Award Type	Date Created	Last Updated Date	From TEAM?
AR-2023-003-00	Final Concurrence / Reservation	Grant	11/3/2022	11/3/2022	No

Application Executive Summary

Arkansas DOT (ARDOT) is applying for FFY 2022 5311 Funds (RTAP (\$294,340) and rural (\$17,562,658) Federal funding for a total federal amount of \$17,856,998.

All projects are currently listed in category B until agreements and certifications are signed.

The projects selected for inclusion in this award application were derived from a fair and equitable distribution, through maximum feasible coordination with other transportation services provided by other federal sources, and consistent with the State Management Plan for the Section 5311 Program.

The certification letter is not needed for FFY 2022 as the 15% requirement for intercity will be obligated in this award.

Cost and price estimates have been submitted on the project.

Local match will be provided by agencies (cash, rental car tax, and Medicaid funds). Capital match = 80/20. Project Admin = 80/20 and Operating = 50/50 (\$10,827,416).

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

Arkansas DOT (ARDOT) is applying for FFY 2022 5311 Funds (RTAP (\$294,340) and rural (\$17,562,658) Federal funding for a total federal amount of \$17,856,998.

This award will help to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability.

Activities to be performed:

RTAP and 5311 funds will be utilized for State Administration, Training, Maintenance, Operating and Busses.

Expected outcomes:

These funds will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation.

Intended beneficiaries:

Transit agencies and the riders they serve will benefit from this grant.

Subrecipient Activities:

These grant funds will cover administrative and operating transportation expenses for nine (9) rural area agencies (SCAT also provides Intercity service), and operating and capital expenses for two (2) intercity agencies (Greyhound and Jefferson Lines).

The following subrecipients will utilize the funds:

ARDOT (State Administration)

ATA (RTAP)

BRAD Transit

Eureka Spring Transit

Mid-Delta Transit

North AR Transit (NATS)

Northeast AR Transit (NEAT)

Ozark Regional Transit

South Central AR Transit (SCAT)

Southeast AR Transportation (SEAT)

Western Transit System

Greyhound

Jefferson Lines

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ronisha	Hodge	Community Planner	ronisha.hodge@dot.gov	(817) 978-0576

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,856,998
Local			\$10,827,416
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$28,684,414

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2023-003-01-00	635-00 (635-A1) RURAL TRANSIT ASSISTANCE PROGRAM	\$294,340.00	\$0.00	\$294,340.00	0
AR-2023-003-01-00	43.50.01 TRAINING	\$294,340.00	\$0.00	\$294,340.00	0
AR-2023-003-02-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$261,000.00	\$65,250.00	\$326,250.00	0
AR-2023-003-02-00	11.7A.00 PREVENTIVE MAINTENANCE	\$261,000.00	\$65,250.00	\$326,250.00	0
AR-2023-003-02-00	300-00 (300-A4) OPERATING ASSISTANCE	\$8,202,315.00	\$8,202,315.00	\$16,404,630.00	0
AR-2023-003-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$8,202,315.00	\$8,202,315.00	\$16,404,630.00	0
AR-2023-003-02-00	610-00 (610-A6) STATE / PROGRAMS ADMINISTRATION	\$1,747,137.00	\$0.00	\$1,747,137.00	0
AR-2023-	11.80.00 STATE OR	\$1,747,137.00	\$0.00	\$1,747,137.00	0

003-02-00		PROGRAM ADMINISTRATION				
AR-2023-003-02-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$4,717,807.00	\$1,179,452.00	\$5,897,259.00	0
AR-2023-003-02-00	11.79.00	PROJECT ADMINISTRATION	\$4,717,807.00	\$1,179,452.00	\$5,897,259.00	0
AR-2023-003-02-00	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$2,634,399.00	\$1,380,399.00	\$4,014,798.00	3
AR-2023-003-02-00	11.12.08	BUY REPLACEMENT INTERCITY BUS	\$1,120,000.00	\$280,000.00	\$1,400,000.00	2
AR-2023-003-02-00	11.13.08	BUY INTERCITY BUS-EXPANSION	\$552,000.00	\$138,000.00	\$690,000.00	1
AR-2023-003-02-00	30.09.01	UP TO 50% FEDERAL SHARE	\$962,399.00	\$962,399.00	\$1,924,798.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2023-003-01-00	1561-2023-2-P2	11/7/2022	1/2/2023	12/31/2027

Project Description

The RTAP project is utilized for training; the Arkansas Transit Association (ATA) provides the training to the Arkansas' public transit system. Arkansas DOT (ARDOT) is applying for FFY 2022 5311 Funds (RTAP (\$294,340))

Project Benefits

These funds will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation. Transit agencies and the riders they serve will benefit from this grant.

Additional Information

None provided.

Location Description

Statewide Training

Project Location (Urbanized Areas)

UZA Code	Area Name
050000	Arkansas

Congressional District Information

State	District	Representative
Arkansas	1	Eric A "Rick" Crawford
Arkansas	2	J French Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

Program Plan Information

STIP/TIP

Date: 4/29/2021

Description: page 61

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$294,340
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$294,340

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA	Total Eligible	Quantity
----------------	-------------	------------	---------	----------------	----------

			Amount	Amount		
AR-2023-003-01-00	635-00 (635-A1)	RURAL TRANSIT ASSISTANCE PROGRAM	\$294,340.00	\$0.00	\$294,340.00	0
AR-2023-003-01-00		43.50.01 TRAINING	\$294,340.00	\$0.00	\$294,340.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Arkansas Transit Association (ATA) provides training to the Arkansas' public transit system.

Due to residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$294,340
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$294,340

Milestone Name	Est. Completion Date	Description
Start Date	1/2/2023	Estimated date ATA may start utilizing RTAP funds
End Date	12/31/2027	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$294,340.00	\$294,340.00

Project Title: Rural Apportionment

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2023-003-02-00	1561-2023-2-P3	11/7/2022	11/1/2021	12/31/2027

Project Description

Arkansas DOT (ARDOT) is applying for FFY 2022 5311 (\$17,562,658) rural federal funding in this project.

Funds are being requested for State Administration, Project Administration, Preventive Maintenance, Operating and Busses.

Project Benefits

These funds will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation. Transit agencies and the riders they serve will benefit from this grant.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
----------	-----------

050000

Arkansas

Congressional District Information

State	District	Representative
Arkansas	1	Eric A "Rick" Crawford
Arkansas	2	J French Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

Program Plan Information

STIP/TIP

Date: 4/29/2021

Description: page 61

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,562,658
Local			\$10,827,416
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$28,390,074

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2023-	117-00 OTHER CAPITAL ITEMS (RIS)	\$261,000.00	\$65,250.00	\$326,250.00	0

003-02-00	(117-A3)						
AR-2023-003-02-00		11.7A.00	PREVENTIVE MAINTENANCE	\$261,000.00	\$65,250.00	\$326,250.00	0
AR-2023-003-02-00	300-00 (300-A4)		OPERATING ASSISTANCE	\$8,202,315.00	\$8,202,315.00	\$16,404,630.00	0
AR-2023-003-02-00		30.09.01	UP TO 50% FEDERAL SHARE	\$8,202,315.00	\$8,202,315.00	\$16,404,630.00	0
AR-2023-003-02-00	610-00 (610-A6)		STATE / PROGRAMS ADMINISTRATION	\$1,747,137.00	\$0.00	\$1,747,137.00	0
AR-2023-003-02-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,747,137.00	\$0.00	\$1,747,137.00	0
AR-2023-003-02-00	620-00 (620-A2)		PROJECT ADMINISTRATION	\$4,717,807.00	\$1,179,452.00	\$5,897,259.00	0
AR-2023-003-02-00		11.79.00	PROJECT ADMINISTRATION	\$4,717,807.00	\$1,179,452.00	\$5,897,259.00	0
AR-2023-003-02-00	634-00 (634-A5)		INTERCITY BUS TRANSPORTATION	\$2,634,399.00	\$1,380,399.00	\$4,014,798.00	3
AR-2023-003-02-00		11.12.08	BUY REPLACEMENT INTERCITY BUS	\$1,120,000.00	\$280,000.00	\$1,400,000.00	2
AR-2023-003-02-00		11.13.08	BUY INTERCITY BUS-EXPANSION	\$552,000.00	\$138,000.00	\$690,000.00	1
AR-2023-003-02-00		30.09.01	UP TO 50% FEDERAL SHARE	\$962,399.00	\$962,399.00	\$1,924,798.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

State Administrative provides technical assistance for the operation of nine rural transit systems (SCAT also provides IC service), two IC systems (Greyhound and Jefferson Lines), and one transit association (ATA).

Due to the utilization of older funding made available from the use of CARES Act funding, residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,747,137
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,747,137

Milestone Name	Est. Completion Date	Description
Start Date	1/2/2023	Estimated date the state admin funds may start to be utilized.
End Date	12/31/2026	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Budget Activity Line Item: 11.13.08 - BUY INTERCITY BUS-EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.08	BUY INTERCITY BUS-EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

Greyhound is requesting one (1) 45' intercity bus for an expansion buses to assist with increased ridership between Memphis, TN and Ft. Smith, AR en route to Oklahoma City, OK. Useful Life of a new bus is 12 years or 500,000 miles. Vehicle info is in POP attachment.

Due to residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones of the intercity bus.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$552,000
Local			\$138,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$690,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	11/1/2021	Estimated date IFB issued for IC expansion bus.
Contract Award Date	1/3/2022	Estimated date IFB awarded.
Initial Delivery Date	11/30/2023	Estimated date initial IC bus should be delivered.
Final Delivery Date	11/30/2023	One vehicle purchased, estimated initial and final delivery date will be the same.
Contract Completion Date	12/31/2024	Due to continued technical issues with the software program that has been implemented by ARDOT, this is an estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

This funding supports operation of administrative essential duties for nine rural transit systems statewide. See attached POP for recipients.

Due to the utilization of older funding made available from the use of CARES Act funding, residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,717,807
Local			\$1,179,452
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,897,259

Milestone Name	Est. Completion Date	Description
Start Date	1/2/2023	Estimated date funds may be utilized for Project Administration.
End Date	12/31/2027	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Preventive Maintenance funds will be used by each subrecipient for vehicle maintenance or non-vehicle maintenance.

For vehicle maintenance, this includes rebuilding and overhauling repairable components, performing major repairable units on revenue vehicles (e.g. engines, transmissions, air conditioners, etc).

For non-vehicle maintenance, this includes ensuring buildings, grounds and equipment, fare collection equipment, and making sure communication systems are operable.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$261,000
Local			\$65,250
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$326,250

Milestone Name	Est. Completion Date	Description
Start Date	1/2/2023	Estimated date agencies will start utilizing Preventive Maintenance funds.
End Date	12/31/2023	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This funding supports the operation of essential duties for nine rural transit systems statewide. Some of the costs directly related to the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses, etc.

Due to the utilization of older funding made available from the use of CARES Act funding, residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,202,315
Local			\$8,202,315
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$16,404,630

Milestone Name	Est. Completion Date	Description
Start Date	1/2/2023	Estimated date the agencies may start utilizing the Operating funds.

End Date	12/31/2027	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.
----------	------------	---

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This funding supports the operation of Greyhound and SCAT IC transit systems. This allows them to reinstate, preserve and continue existing routes, promote services, and assist with operating expenses such as fuel, oil, drivers' salaries and fringe benefits, and licenses, etc.

Due to the utilization of older funding made available from the use of CARES Act funding, residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$962,399
Local			\$962,399
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,924,798

Milestone Name	Est. Completion Date	Description
Start Date	1/2/2023	Estimated date Intercity agencies may start utilizing the Intercity Operating funds.
End Date	12/31/2027	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Budget Activity Line Item: 11.12.08 - BUY REPLACEMENT INTERCITY BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS	11.12.08	BUY REPLACEMENT	BUY REPLACEMENTS -	2

TRANSPORTATION (634-00)

INTERCITY BUS

CAPITAL BUS

Extended Budget Description

Jefferson Lines is requesting two (2) 45' intercity buses to replace buses that have met their useful life. Useful Life of a new bus is 12 years or 500,000 miles. Jefferson Lines has met the Useful Life as their current buses have 524,581 and 533,694 in mileage, which exceeds the 500,000 miles. Vehicle info is in POP attachment.

Due to residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones of the intercity buses.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,120,000
Local			\$280,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,400,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	11/1/2021	Estimated date IFB issued for IC replacement buses.
Contract Award Date	1/3/2022	Estimated date IFB awarded
Initial Delivery Date	11/30/2023	Estimated date initial IC bus should be delivered.
Final Delivery Date	12/31/2026	Estimated date the final IC bus should be delivered.
Contract Completion Date	12/31/2027	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction,

such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$4,717,807.00	\$5,897,259.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$261,000.00	\$326,250.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$8,202,315.00	\$16,404,630.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$962,399.00	\$1,924,798.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
------	-------------

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.12.08	BUY REPLACEMENT INTERCITY BUS	2	\$1,120,000.00	\$1,400,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
------------------	------

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.13.08	BUY INTERCITY BUS-EXPANSION	1	\$552,000.00	\$690,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
------------------	------

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,747,137.00	\$1,747,137.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ronisha Hodge

Comment Type DOL Review for Information

Date 11/30/2022

DOL Section 5333(b) Certification

Per FTA program requirements, this grant application is being sent to DOL for information purposes only.

Comment Person to notify at FTA:
Ronisha Hodge
Community Planner
Office: 817.978.0576
ronisha.hodge@dot.gov

Application Review Comments

Comment By Troy Thomas

Comment Type Application Details

Date 11/25/2022

Comment

- Recipient must not acquire telecommunications and video surveillance equipment for rolling stock from: Huawei Technologies Company; ZTE Corporation; Hytera Communications Corporation; Hangzhou Hikvision Digital Technology Company; or Dahua Technology Company. This prohibition includes rolling stock telecommunications and video surveillance equipment, commercial items and micro-purchases in accordance with Section 889 of the 2019 National Defense Authorization Act.
- Recipients must not procure rolling stock from certain transit vehicle manufacturers. Refer to 49 U.S.C. Section 5323(u) of federal public transportation law, which limits the use of FTA funds, and in some circumstances local funds, to procure rolling stock from certain transit vehicle manufacturers. Refer to <https://www.transit.dot.gov/funding/procurement/frequently-asked-questions-regarding-section-7613-national-defense> for additional guidance.

- 49 CFR Part 26.49(a) states 'If you are an FTA recipient, you must require in your DBE program that each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, certify that it has complied with the requirements of this section.' FTA's current list of eligible TVMs can be found at <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-transit-vehicle-manufacturers>.
- 49 CFR Part 26.49(a)(4) states 'FTA recipients are required to submit within 30 days of making an award, the name of the successful bidder, and the total dollar value of the contract in the manner prescribed in the grant agreement.' The Transit Vehicle Award Reporting Forms can be found on FTA's website at <https://www.surveymonkey.com/r/vehicleawardreportsurvey>. Please take a screenshot of the completed report before submission and retain locally to demonstrate compliance with this requirement during oversight activities such as Triennial Review and/or State Management Reviews.
- Recipient must comply with the statutory requirements in FTA Circular 4220.1F, 3rd Party Contracting Guidance, as they apply to acquiring rolling stock including 49 CFR Part 661, Buy America Requirements, 49 CFR 663, Pre-Award and Post-Delivery Audits, accessibility, minimum service life, spare ratios, air pollution and fuel economy, bus testing, in-state dealers, basis for contract award and five-year limitation.
- Recipient must comply with the Recipient's procurement policies and procedures as they apply to FTA-funded projects.
- The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to federal compliance and require additional information. This confirmation is not an express or implied promise of project compliance with federal requirements.
- Recipient must comply with the statutory requirements in FTA Circular 4220.1F, Third Party Contracting Guidance, as they apply to A&E procurements and contracts.
- The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to federal compliance and require additional information. This confirmation is not an express or implied promise of project compliance with federal requirements.