

## Application

<b>Federal Award Identification Number (FAIN)</b>	SC-2022-049-00
<b>Temporary Application Number</b>	6852-2021-1
<b>Application Name</b>	2020/21 Catawba Transit Allocation
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A	
<b>Original Period of Performance End Date</b>	3/30/2030	
<b>Current Period of Performance End Date</b>	N/A	Revision #: N/A

### Part 1: Recipient Information

**Name: CATAWBA INDIAN NATION**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6852	Indian Tribe	CATAWBA INDIAN NATION		831001185

Location Type	Address	City	State	Zip
Headquarters	996 AVENUE OF THE NATIONS	ROCK HILL	SC	29730
Physical Address	996 AVE OF THE NATIONS	ROCK HILL	SC	29730
Mailing Address	996 AVENUE OF THE NATIONS	ROCK HILL	SC	29730

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 2020/21 Catawba Transit Allocation**

FAIN	Application Status	Application	Date	Last Updated	From
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		<b>Type</b>	<b>Created</b>	<b>Date</b>	<b>TEAM?</b>
SC-2022-049-00	Final Concurrence / Reservation	Grant	8/3/2021	8/3/2021	No

**Application Executive Summary**

The Catawba Nation is applying for FY 2020 and 2021 apportionment funding allocated to the tribe under Section 5311 Rural Area Program funds for Tribal Transportation. The funding will be used for continued operations of the Catawba Transit program. The total amount of combined funding is \$860,288.

**Purpose:** The Catawba Indian Nation will utilize this award for operating assistance and to maintain existing transit services on or near the Catawba Nation and surrounding community.

**Activities to be Performed:** Building new transit office including a maintenance and wash bay as well as covered vehicle parking. This facility will help Catawba transit better maintain our vehicles and keep the vehicles clean during the on-going pandemic. The total building cost is \$2,119,857. The CIN is covering the majority of the cost, but is asking FTA for \$400,000 towards the building. Design plans and environmental review will be attached in the project documents. In addition, we will budget for 3 vehicles (2 replacement and 1 expansion) at a total cost of \$175,000. We expect to use vans and/or SUV's for fuel efficiency and are researching the possibility of electric vehicles. The useful life of the vehicles will be The remaining \$285,288 will be budgeted towards yearly operational costs for Catawba Transit, which will cover approximately 1 years cost or less.

**Expected Outcomes:** Funding will permit Catawba Transit to continue to the safe and effective operation of transit services for the Catawba Nation and surrounding community. In addition, we have not been able to spend funding on capital improvements lately due to the operational needs of the department. This will give the program much needed space for the staff, to maintain and upgrade vehicles, and keep the vehicles clean as well as provide some general operational support.

**Intended Beneficiaries:** Transit riders on the Catawba reservation and closely surrounding community.

**Subrecipients:** None - Catawba transit does not have subrecipients.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* CIN current IDC rate for 2020 is 28.18%; a copy will be uploaded

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Julia	Walker	Environmental Protection Specialist	julia.walker@dot.gov	404-865-5645
Thomas	Cabaniss	Transit Manager	thomas.cabaniss@catawba.com	(803) 366-4793

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$860,288
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$860,288</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SC-2022-049-01-00	111-00 (111-A3) BUS - ROLLING STOCK	\$175,000.00	\$0.00	\$175,000.00	3
SC-2022-049-01-00	11.12.15 BUY REPLACEMENT VAN	\$100,000.00	\$0.00	\$100,000.00	2
SC-2022-049-01-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$75,000.00	\$0.00	\$75,000.00	1
SC-2022-049-01-00	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$400,000.00	\$0.00	\$400,000.00	1
SC-2022-049-01-	11.43.03 CONSTRUCT -	\$400,000.00	\$0.00	\$400,000.00	1

00			ADMIN/MAINT FACILITY				
SC-2022-049-01-00	300-00 (300-A2)		OPERATING ASSISTANCE	\$285,288.00	\$0.00	\$285,288.00	1
SC-2022-049-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$285,288.00	\$0.00	\$285,288.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Catawba Transit Improvements

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SC-2022-049-01-00	6852-2021-1-P1	11/16/2021	2/15/2022	9/30/2024

#### Project Description

This project will provide much needed capital improvements for the Catawba Transit program including a new building, new vehicles, and some operational support.

#### Project Benefits

The project will improve the operations of Catawba Transit for the Catawba Nation and surrounding community.

#### Additional Information

*None provided.*

#### Location Description

This project will be performed at the Catawba Nation reservation, which is located in York County, SC.

## Project Location (Urbanized Areas)

UZA Code	Area Name
450000	South Carolina

## Congressional District Information

State	District	Representative
South Carolina	5	Mick Mulvaney

## Program Plan Information

#### STIP/TIP

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 1/1/2019

Description: This is the 7 year strategic plan for the Catawba Nation.

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$860,288
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$860,288</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SC-2022-049-01-00	111-00 (111-A3) BUS - ROLLING STOCK	\$175,000.00	\$0.00	\$175,000.00	3
SC-2022-049-01-00	11.12.15 BUY REPLACEMENT VAN	\$100,000.00	\$0.00	\$100,000.00	2
SC-2022-049-01-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$75,000.00	\$0.00	\$75,000.00	1
SC-2022-049-01-00	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$400,000.00	\$0.00	\$400,000.00	1
SC-2022-049-01-00	11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$400,000.00	\$0.00	\$400,000.00	1
SC-2022-049-01-00	300-00 OPERATING ASSISTANCE	\$285,288.00	\$0.00	\$285,288.00	1

	(300-A2)						
SC-2022-049-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$285,288.00	\$0.00	\$285,288.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	1

#### Extended Budget Description

We would like to add an additional vehicle for expansion of vehicles. We have a growing need in our senior population for a dedicated driver to appointments. Through a partnership with our Family Services department, we hope to expand services to this group with a high need for transportation services.

We are hoping to procure a handicapped enabled van that is electric or hybrid. The useful life for the new vehicles will be 100,000 miles and 4 years for each vehicle according to per FTA Circular 5010.1E (Page IV-25).

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Hybrid Electric	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$75,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$75,000</b>

Milestone Name	Est. Completion Date	Description
Final Delivery Date	2/15/2022	Only one vehicle
RFP/IFB Issue Date	1/1/2023	Begin procuring new vehicle
Contract Award Date	2/1/2023	Award the contract for new vehicle

Initial Delivery Date	2/15/2023	Vehicle delivered to offices
Contract Completion Date	2/15/2023	Everything is completed

**Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	2

**Extended Budget Description**

Replace two existing vehicles that are near the end of their useful life. We will be replacing with similar vehicles- vans and/or SUV's due to gas mileage and ease of use. We are considering electric vehicles. Vehicles to be replaced:

2013 Ford Flex. Mileage: 132000, useful life 5 years

2015 Ford Explorer 128000, useful life 5 years

We have another 2015 vehicle also approaching the end of its useful life, but we are going to wait until a future grant to replace it.

The useful life for the new vehicles will be 100,000 miles and 4 years for each vehicle according to per FTA Circular 5010.1E (Page IV-25).

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Hybrid Electric	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$100,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$100,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	1/1/2023	Procurement started for replacement vehicle.
Contract Award Date	2/1/2023	Vendor selected for vehicle purchase

Initial Delivery Date	2/15/2023	Vehicle delivered to our office
Final Delivery Date	2/15/2023	Vehicle delivered to our office
Contract Completion Date	2/15/2023	Contract will be completed with delivery of vehicles

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

It is projected that the operational funding will cover one year of expenses for the FY of 10/1/23-9/30/24.

Salaries \$120,000  
 Benefits \$32,000  
 Fuel \$28,288  
 Insurance \$15,000  
 IDC \$90,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$285,288
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$285,288</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	Begin spending operational costs including salaries, fuel, vehicle maintenance, this amount will provide approximately 1 year of operational funding.
End Date	9/30/2024	End operational cost spending

**Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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BUS: SUPPORT EQUIP AND FACILITIES (114-00)      11.43.03      CONSTRUCT - ADMIN/MAINT FACILITY      CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL      1

**Extended Budget Description**

The Catawba Nation is building a new transit and maintenance facility. We would like to use FTA funds for a portion of the facility. NEPA has been completed and submitted in the documents section. This building will be located on our reservation in the land use area designated for government buildings. Building plans are also submitted in the documents section showing that the building will include offices, a maintenance bay, and cleaning bay. The size is 2400 sq. ft and the estimated useful life of the building is 40 years.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$400,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$400,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2022	Construction in already in progress but this portion of the building has not begun.
Contract put out to bid	10/31/2022	Bid documents will be released to get competitive quotes for construction
Contract award date	11/30/2022	Construction contract will be awarded to the contractor
End Date	6/30/2023	Construction complete

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and

streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	1	\$400,000.00	\$400,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$285,288.00	\$285,288.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	2	\$100,000.00	\$100,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	1	\$75,000.00	\$75,000.00

## Part 4: Fleet Details

**Fleet Type:** Paratransit

**Fleet Comments**

- Chevy Collins Bus, Peak
- Ford Starcraft Bus, Spare
- Ford Flex 1, Contingency
- Ford Flex 2, Peak
- Ford Explorer 1, Peak
- Ford Explorer 2, Peak
- Ford Transit Connect, Peak
- Dodge Caravan, Peak
- Ford Edge 1, Peak
- Ford Edge 2, Spare
- Ford Escape, Pending Disposal
- Ford Escape 2, Pending disposal

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	7
	B. Spares	2
	C. Total (A+B)	9
	D. Spare Ratio (B/A)	28.57%
II.	<b>Inactive Fleet</b>	
	A. Other	1
	B. Pending Disposal	2
	C. Total (A+B)	2
III.	<b>Total (I.C and II.C)</b>	11

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Julia Walker

Comment Type DOL Review for Information

Date 9/22/2022

Comment Provided for information only, this is a tribal grant application.

### Application Review Comments

**Comment By** Julia Walker

Comment Type Pre-Award Manager Returns Application

Date 8/4/2022

Comment Please update the period of performance end date to 3/30/xx, 5 years after last milestone. Missing Purpose and Subrecipient sections of required 5 OMB elements. Please provide additional information on the vehicle expansion EBD: What kind of vehicles, what is the useful life of the new vehicles, what is the purpose of the expansion. Change to Yes the third party contracting question for vehicle purchases For vehicle replacement must provide the make/model/mileage of the vehicle being replace. What new vehicle is being purchased? What is the useful life of the new vehicle being purchased? Please add to EBD. For operating EBD, please add the recipients FY for these expenses. If more than one, please break them up into separate ALIs. 11.43.03: Has NEPA been completed on this facility, if so please attach confirmation? Need to add the details of this facility to the EBD. Where is it, how large, useful life, etc. Please PIN the C&As for 2022.

**Comment By** Julia Walker

Comment Pre-Award Manager Returns Application

Type

Date 9/21/2022

Comment Please include justification document for C&As.