

Application

Federal Award Identification Number (FAIN)	PR-2022-033-00
Temporary Application Number	5489-2022-15
Application Name	PRITA-Section 5311-Intercity Bus Program- Capital and Operating Assistance (Ceiba to San Juan); Non Urbanized Area, PR.
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2028
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: HIGHWAY & TRANSPORTATION AUTHORITY, PUERTO RICO

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
5489	State Agency	PUERTO RICO HIGHWAY AND TRANSPORTATION AUTHORITY	LLHHFKQLNAL4	088541391

Location Type	Address	City	State	Zip
Headquarters	SAN JOSE ST CRN SAN FRNCS	SAN JUAN	PR	00901
Physical Address	CALLE DE DIEGO # C	SAN JUAN	PR	00923
Mailing Address	PO BOX 42007	SAN JUAN	PR	00940

Union Information

Union Name	HERMANDAD DE EMPLEADOS DE OFICINA, COMERCIO Y RAMAS ANEXAS
Address 1	PO BOX 8599

Address 2	
City	SANTURCE
State	Puerto Rico
Zipcode	00910
Contact Name	ASTRID ROSARIO
Telephone	
Fax	
E-mail	
Website	
<hr/>	
Union Name	UNION DE TRONQUISTAS DE PUERTO RICO (UTPR)
Address 1	352 Calle del Parque
Address 2	Parada 23
City	San Juan
State	Puerto Rico
Zipcode	00912
Contact Name	Lcdo. Alexis Rodriguez
Telephone	7877218980
Fax	7877242190
E-mail	unitron@onelinkpr.net
Website	
<hr/>	
Union Name	PROSOL- UTIER CAPÍTULO: AUTORIDAD DE CARRETERAS
Address 1	PO Box 9063
Address 2	
City	San Juan
State	PR
Zipcode	00908
Contact Name	Angel Pinto
Telephone	(787) 724-6118
Fax	
E-mail	angelpintoopm@gmail.com
Website	

Part 2: Application Information

Title: PRITA-Section 5311-Intercity Bus Program- Capital and Operating Assistance (Ceiba to San Juan); Non Urbanized Area, PR.

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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PR-2022-033-00	Final Concurrence / Reservation	Grant	8/17/2022	8/17/2022	No
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Application Executive Summary

This is an FFY 2022 Section 5311 application in the amount of \$1,867,843 (\$1,867,843-Federal Share-\$373,569 TDC). The application is for the proposed service that consists of a single route between the transportation hubs in Ceiba, including the Ferry Terminal to provide intercity transportation to passengers arriving from Vieques and Culebra, to the Luis Munoz Marin International Airport and to Tren Urbano, where the travelers will have access to other transportation services and destinations within the capital city and the San Juan Metropolitan Area. The intercity bus service aspires to connect different types of transportation facilities rail, maritime, air and transit by a single fixed route that will serve two urban areas and a non-urbanized area with limited stops. Activities are included in the STIP 2019-2022 Amendment #3 (Page FTA-91) Projects #7, #8 and #9.

Purpose: Provide intercity transportation to passengers arriving from Vieques and Culebra, to the Luis Munoz Marin International Airport and to Tren Urbano, where the travelers will have access to other transportation services and destinations within the capital city and the San Juan Metropolitan Area.

Activities to be performed:

Operating expenditures (direct cost of operation the vehicle including maintenance cost, vehicle operator expenditure, toll roads charges)
Management fees
Capital investments
Marketing
Contract Administration

Expected Outcomes: The intercity bus service aspires to connect different types of transportation facilities rail, maritime, air and transit by a single fixed route that will serve two urban areas and a non-urbanized area with limited stops.

Intended Beneficiaries: All the users from the various transportation facilities under PRHTA/PRITA.

Subrecipient Activities: N/A

*PRHTA is the Designated Recipient of Section 5311 Program Funds.

*Cost estimate was prepared by PRITA and it is included in the Application Documents (Certification of Estimated Cost-PRITA)

*Please refer to the Application Documents for the Operation Cost Evaluation (Ceiba-San Juan Intercity Bus)

*The organization does not have delinquent federal debt.

-PRHTA/PRITA will follow Third Party procurement policies as defined in C4220.1F Third Party Procurement Guidance, in the procurement process.

-PRHTA/PRITA will ensure contractors procured will not be on the FTA Suspension and Debarment list.
-This grant does not request funds for Research and Development and does not apply Indirect Cost activities.

-This grant will cover at least 15% of the annual Section 5311 apportionment.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Vivianett	Arroyo	Planning Coordinator	vmarroyo@dtop.pr.gov	(787) 721-8787
Bayoan	Ortiz-Rios	Community Planner	bayoan.ortiz-rios@dot.gov	(787) 771-8452

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,867,843
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$373,569
Adjustment			\$0
Total Eligible Cost			\$1,867,843

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PR-2022-033-01-00	634-00 INTERCITY BUS TRANSPORTATION (634-A1)	\$1,867,843.00	\$0.00	\$1,867,843.00	5

PR-2022-033-01-00	11.32.10	ACQUIRE - BUS PASSENGER SHELTERS	\$747,843.00	\$0.00	\$747,843.00	5
PR-2022-033-01-00	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	\$1,000,000.00	\$0.00	\$1,000,000.00	0
PR-2022-033-01-00	11.79.00	PROJECT ADMINISTRATION- Marketing	\$120,000.00	\$0.00	\$120,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: PRITA-Section 5311-Intercity Bus Program- Capital and Operating Assistance Ceiba to San Juan; Non Urbanized Area, PR.

Project Number	Temporary Project Number	Date Created	Start Date	End Date
PR-2022-033-01-00	5489-2022-15-P1	8/17/2022	11/30/2022	1/31/2024

Project Description

Scope of Work:

The proposed service consists of a single route between the transportation hubs in Ceiba, including the Ferry Terminal to provide intercity transportation to passengers arriving from Vieques and Culebra, to the Luis Muñoz Marín International Airport and to Tren Urbano, where the travelers will have access to other transportation services and destinations within the capital city and the San Juan Metropolitan Area. The intercity bus service aspires to connect different types of transportation facilities – rail, maritime, air and transit – by a single fixed route that will serve two urban areas and a non-urbanized area with limited stops.

Tasks to be performed:

***Acquire-Bus passenger shelters-\$747,843**

Acquisition of covered bus shelters (shall include equipment, site work, installation, electrical work (if applicable), assessment, safety certifications (including personnel), permits, contingencies, within other requirements established by the Authority in the RFP documents. Five (5) shelters are being estimated for this purpose.

***Other Third-Party Contractual Service-\$1,000,000**

This activity will include the following for a minimum of three (3) buses per day: 1. Fuel Cost 2. Vehicle Other 3rd Party Lease 3. Insurance 4. Maintenance expenditures 5. Driver costs 6. Toll roads expenditures 7. Management costs 8. Startup and administrative costs 10. Contingencies 9. Contractual Service identified during the preparation of the final SOW. Included cost estimates from the Operations Cost Evaluation Report are for one bus service. The Authority is considering a minimum of 3 bus services.

***Project Administration-\$120,000**

Marketing activities and educational materials include but are not limited to the following: 1. Television 2. Radio 3. Newspaper 4. Social Media 5. Printed Materials - brochures, posters, etc. 6. Educational activities 7. Costs of maintaining the schedule brochure 8. Seasonal promotional events.

Additional funding for special event promotion or the occasional cooperative marketing campaign. A more robust campaign could increase the estimate.

*Schedule for completion or implementation is one (1) year.

*Cost estimate was prepared by PRITA and it is included in the Application Documents (Certification of Estimated Cost-PRITA)

Project Benefits

The intercity bus service aspires to connect different types of transportation facilities – rail, maritime, air and transit – by a single fixed route that will serve two urban areas and a non-urbanized area with limited stops.

Additional Information

None provided.

Location Description

Terminal de Lanchas de Ceiba (Ceiba Ferry Terminal)
Marina Dr, Roosevelt Roads, Ceiba 00735

Tren Urbano- Piñero (PI) Station
Calle Penueles
San Juan, PR
18.410727°N 66.055169°W

Project Location (Urbanized Areas)

UZA Code	Area Name
720000	Puerto Rico

Congressional District Information

State	District	Representative
Puerto Rico	1	Jennifer González

Program Plan Information

STIP/TIP

Date: 4/6/2022

Description: STIP 2019-2022 Amendment #3. Page (FTA-91) Projects #7, #8 and #9.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,867,843
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$373,569
Adjustment			\$0
Total Eligible Cost			\$1,867,843

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PR-2022-033-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$1,867,843.00	\$0.00	\$1,867,843.00	5
PR-2022-033-01-00	11.32.10 ACQUIRE - BUS PASSENGER SHELTERS	\$747,843.00	\$0.00	\$747,843.00	5
PR-2022-033-01-00	11.71.11 OTHER 3RD PARTY CONTRACTUAL SERVICES	\$1,000,000.00	\$0.00	\$1,000,000.00	0
PR-2022-033-01-00	11.79.00 PROJECT ADMINISTRATION-Marketing	\$120,000.00	\$0.00	\$120,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION-Marketing

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Marketing activities and educational materials include but are not limited to the following: 1. Television 2. Radio 3. Newspaper 4. Social Media 5. Printed Materials - brochures, posters, etc. 6. Educational activities 7. Costs of maintaining the schedule brochure 8. Seasonal promotional events. Additional funding for special event promotion or the occasional cooperative marketing campaign could be

requested. A more robust campaign could increase the estimate.

*Marketing activities will be performed by PRITA.

*The PRHTA/PRITA will follow Sec. 200.421 of the OMB 's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$120,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$24,000
Adjustment			\$0
Total Eligible Cost			\$120,000

Milestone Name	Est. Completion Date	Description
Start Date	11/30/2022	Activities related for the marketing process is started.
End Date	1/31/2024	Activities related for the marketing process are completed.

Budget Activity Line Item: 11.32.10 - ACQUIRE - BUS PASSENGER SHELTERS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.32.10	ACQUIRE - BUS PASSENGER SHELTERS	ACQUISITION - BUS STATIONS/TERMINALS	5

Extended Budget Description

Extended Budget Description

The intercity bus route between Ceiba and San Juan connects different transportation ports and public transit stations located across two urbanized areas and one non-urbanized area. It is estimated that a total of 5 shelters will be acquired as to comply with the proposed stops. The following stops are proposed along the route:

- Ceiba Ferry Terminal at Roosevelt Roads
- Jose Aponte de La Torre Airport at Ceiba
- Fajardo Public Transportation Terminal
- Luis Munoz Marin International Airport at Carolina
- Tren Urbano: Pintero Station (connecting services to Tren Urbano and Metropolitan Bus Authority routes)

Acquisition of covered bus shelters (shall include equipment, site work, installation, electrical work (if applicable), assessment, safety certifications (including personnel), permits, contingencies, within other requirements established by the Authority in the RFP documents. Five (5) shelters are being estimated for

this purpose.

*This activity does not involve excavating the existing site, nor the area surrounding the bus shelters. The shelters to be acquired will be pre-fabricated and held on-place.

*The estimated useful life for the shelters is 10 years.

*This activity does not affect any private property. The shelters will be located within the PRHTA Right of Way.

-PRITA will follow Third Party procurement policies as defined in C4220.1F Third Party Procurement Guidance, in the procurement process.

-PRITA will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$747,843
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$149,569
Adjustment			\$0
Total Eligible Cost			\$747,843

Milestone Name	Est. Completion Date	Description
Procurement Process Start Date	11/30/2022	The procurement process for the acquisition of the bus shelters is started.
Contract Award	1/31/2023	Contract is awarded for the acquisition of the bus shelters.
Contract Complete	1/31/2024	Contract is completed for the acquisition of the bus shelters.

Budget Activity Line Item: 11.71.11 - OTHER 3RD PARTY CONTRACTUAL SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	0

Extended Budget Description

The transportation service is expected to be provided by a private bus carrier as a purchased transportation agreement. In purchased transportation contracts, every element of the operation that is provided by the private part is considered an operational expense attributed to the purchased transportation contract. For example, vehicle depreciation is not a passive cost; it is an operating

expenditure attributed to the provision of the service. Two bus type were considered: a Cutaway medium duty diesel bus and a Motorcoach alternative using a 40-foot heavy duty diesel bus.

This activity will include the following for a minimum of three (3) buses per day: 1. Fuel Cost 2. Vehicle Other 3rd Party Lease 3. Insurance 4. Maintenance expenditures 5. Driver costs 6. Toll roads expenditures 7. Management costs 8. Startup and administrative costs 10. Contingencies 9. Contractual Service identified during the preparation of the final SOW. Included cost estimates from the Operations Cost Evaluation Report are for one bus service. The Authority is considering a minimum of 3 bus services.

A total of \$1,000,000 is being requested to fund all the operating expenses for the provision of the services. This activity will be ongoing and additional funding will be included in an Amendment.

- PRITA will follow Third Party procurement policies as defined in C4220.1F Third Party Procurement Guidance, in the procurement process.
- PRITA will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$200,000
Adjustment			\$0
Total Eligible Cost			\$1,000,000

Milestone Name	Est. Completion Date	Description
Procurement Process Start Date	11/30/2022	Procurement process is started for the transportation services for the intercity route.
Contract Award	1/31/2023	Contract is awarded for the transportation services for the intercity route.
Contract Complete	1/31/2024	Contract is completed for the first year of the transportation services for the intercity route.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	0	\$1,000,000.00	\$1,000,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.32.10	ACQUIRE - BUS PASSENGER SHELTERS	5	\$747,843.00	\$747,843.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION-Marketing	0	\$120,000.00	\$120,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Bayoan Ortiz-Rios

Comment Type DOL Review for Information

Date 9/15/2022

Comment Sent to DOL on 09/15/2022

Application Review Comments

Comment By Bayoan Ortiz-Rios

Comment Type Pre-Award Manager Returns Application

Date 9/8/2022

Comment Please include cost estimates.

Comment By Bayoan Ortiz-Rios

Comment Type Pre-Award Manager Returns Application

Date 9/8/2022

Comment Please include the Program of Projects (POP):

- a. The subrecipients and whether those subrecipients are private non-profit agencies, public bodies, or private providers of transportation service, designates the areas served (including Congressional Districts), and identifies any tribal entities.
- b. Identify the intercity bus and RTAP projects.
- c. Include a brief description of the projects, total project cost and Federal share for each project, and the amount of funds used for program administration from the 15 percent allowed.

The project details must include a description of the States intercity bus program and the 15% allocation.

Use Scope 634-00 for all Intercity Bus ALLs.

State Administration: up to 15% of the apportionment is eligible at 100% federal share. In the extended budget description, provide a detailed scope on the State Administration activities. Use Scope 610-00 and ALI 11.80.00.

Please add a statement All subrecipients will follow useful life standards as defined in the XXXX State Management Plan.

Comment By Bayoan Ortiz-Rios

Comment Type Pre-Award Manager Returns Application

Date 9/12/2022

Comment Change UZA code to 720000 PR UZA.

Comment By Bayoan Ortiz-Rios

Comment Type Pre-Award Manager Returns Application

Date 9/12/2022

Rural Transportation Assistance Program (RTAP) is funded at 100% federal. Use Scope 635-00.

Comment State Administration: up to 15% of the apportionment is eligible at 100% federal share. In the extended budget description, provide a detailed scope on the State Administration activities. Use Scope 610-00 and ALI 11.80.00.

Comment By Bayoan Ortiz-Rios

Comment Type Pre-Award Manager Returns Application

Date 9/14/2022

ALI 11.79.00 please include a sentence similar to this:

Comment The PRHTA will follow Sec. 200.421 of the OMB 's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

ALI 11.32.10 you have to put a sentence similar to this (if it is the case):

This activity does not affect any private property. The shelters will be located within the PRHTA Right of Way.

If not applicable, identify the impacted areas on a map to send to the environmental specialist.

Don't forget to upload the TDC letter.

Comment By Bayoan Ortiz-Rios

Comment Type Pre-Award Manager Returns Application

Date 9/14/2022

ALI 11.79.00 please include a sentence similar to this:

Comment The PRHTA will follow Sec. 200.421 of the OMB 's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Comment By Bayoan Ortiz-Rios

Comment Type Pre-Award Manager Returns Application

Date 9/14/2022

Comment