

Application

Federal Award Identification Number (FAIN)	NE-2022-019-00
Temporary Application Number	1815-2022-3
Application Name	NDOT - Sect. 5311 FY2020 & FY2021 - Operating, Non-operating Assistance, and Vehicle Maintenance for Nebraska's Rural Areas
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, NEBRASKA DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	NUSELTALU9E7	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K St Rm 2316	Lincoln	NE	685082731
Physical Address	1500 Hwy 2	Lincoln	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

Union Information

Union Name	NONE
Address 1	

Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: NDOT - Sect. 5311 FY2020 & FY2021 - Operating, Non-operating Assistance, and Vehicle Maintenance for Nebraska's Rural Areas

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2022-019-00	Final Concurrence / Reservation	Grant	6/10/2022	6/10/2022	No

Application Executive Summary

This application is to request Section 5311 funds apportioned to the State of Nebraska in the amount of \$4,700,000.

PURPOSE:

The purpose of this award is to provide funds to reimburse Nebraska's rural areas for allowable operating, non-operating, and vehicle maintenance expenses. The rural transit systems provide public transportation services that is available to all persons within the service area in rural Nebraska.

ACTIVITIES TO BE PERFORMED:

Funds will be used to reimburse Nebraska's rural subrecipients for allowable operating assistance which includes salaries/employer costs for drivers and dispatchers, vehicle fuel and oil; allowable non-operating assistance which includes salaries/employer costs for administrative staff, marketing, rent, utilities, office supplies and other administrative costs; and vehicle maintenance.

EXPECTED OUTCOMES:

To enhance the access of people in rural areas to health care, shopping, education, employment, public services and recreation. To assist in the maintenance, development, improvement, and use of public transportation systems in rural areas of Nebraska.

INTENDED BENEFICIARIES:

54 rural subrecipients in Nebraska. See attached POP for details.

SUBRECIPIENT ACTIVITIES:

Submitted an application for FY22-23 operating/non-operating assistance. Will submit monthly invoices for reimbursement of allowable expenses.

FUNDING:

5311 - FY2020: \$3,706,414 -- (This is the remaining FY2020 apportionment)

5311 - FY2021: \$ 993,586 (This will leave \$5,024,423 from the FY2021 apportionment of \$9,070,401)
Total Federal Funds: \$4,700,000.

Local Funds: \$908,209

The Local match comes the subrecipients general fund levies for the city/county government entities and general fund cash balance for the non-profit entities.

State Funds: \$908,209

The States match comes from Nebraska's Roads Operations cash fund.

Total Grant = \$6,516,418

Expenses for Section 5311 operating/non-operating assistance, and vehicle maintenance projects are reimbursed at the following rates:

1. Operating expenses - 50% Federal, 25% State, 25% Local Match
2. Non-operating/Administrative - 80% Federal, 10% State, 10% Local Match
3. Vehicle maintenance - 80% Federal, 10% State, 10% Local Match

This will fund FY2023 of the two-year application approved due to 100% CARES funding used first.

ATTACHMENTS:

STIP-NE Transit FYs 2022-2025 Administrative Amendment
ONEDOT FY22-25 NE STIP Approval Oct 2021
Nebraska's 2040 Long Range Transportation Plan
App 1815-2022-3 Program of Projects

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
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Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
June	Weyers	Federal Aid Administrator III	june.weyers@nebraska.gov	(402) 479-3680

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,700,000
Local			\$908,209
Local/In-Kind			\$0
State			\$908,209
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,516,418

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2022-019-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,255,223.00	\$955,224.00	\$2,210,447.00	0
NE-2022-019-01-00	11.7A.00 VEHICLE MAINTENANCE	\$400,000.00	\$100,000.00	\$500,000.00	0
NE-2022-019-01-00	30.09.01 Operating Assistance - UP TO 50% FEDERAL SHARE	\$855,223.00	\$855,224.00	\$1,710,447.00	0
NE-2022-019-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$3,444,777.00	\$861,194.00	\$4,305,971.00	0
NE-2022-019-01-00	11.79.00 Non-Operating Costs-PROJECT ADMINISTRATION	\$3,444,777.00	\$861,194.00	\$4,305,971.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Section 5311 Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2022-019-01-00	1815-2022-3-P1	6/14/2022	7/1/2022	9/30/2024

Project Description

This project will reimburse eligible 5311 subrecipients for allowable operating, non-operating and vehicle maintenance expenses incurred in the transport of the general public:

Allowable operating expenses includes salaries/employer costs for drivers and dispatchers, vehicle fuel and oil.

Allowable non-operating administrative costs includes salaries/employer costs for administrative staff, marketing, rent, utilities, office supplies, and other administrative costs.

Allowable vehicle maintenance costs includes car washes, repair to include but not limited to repairs on AC, tires, lifts, taillights, etc. to name a few.

Project Benefits

To continue rural public transportation that provides the general public access to and from medical appointments, grocery and other retail outlets, church and other social activities, work and other meaningful opportunities when private transportation is either not available or affordable.

Additional Information

None provided.

Location Description

Nebraska's rural areas.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	3	Adrian Smith
Nebraska	2	Don Bacon

Program Plan Information

STIP/TIP

Date: 1/20/2022

Description: STIP-NE Transit FYs 2022-2025 - Administrative Amendment uploaded on Project 1 and Application Documents

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: 4/1/2021

Description: Nebraska's 2040 Long Range Transportation Plan is uploaded in Project 1 and Application

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,700,000
Local			\$908,209
Local/In-Kind			\$0
State			\$908,209
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,516,418

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2022-019-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,255,223.00	\$955,224.00	\$2,210,447.00	0
NE-2022-019-01-00	11.7A.00 VEHICLE MAINTENANCE	\$400,000.00	\$100,000.00	\$500,000.00	0
NE-2022-019-01-00	30.09.01 Operating Assistance - UP TO 50% FEDERAL SHARE	\$855,223.00	\$855,224.00	\$1,710,447.00	0
NE-2022-019-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$3,444,777.00	\$861,194.00	\$4,305,971.00	0
NE-2022-019-01-00	11.79.00 Non-Operating Costs-PROJECT ADMINISTRATION	\$3,444,777.00	\$861,194.00	\$4,305,971.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - VEHICLE MAINTENANCE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity

OTHER PROGRAM COSTS (600-00) 11.7A.00 PREVENTIVE MAINTENANCE OTHER CAPITAL ITEMS (BUS) 0

Extended Budget Description

This project will reimburse eligible 5311 subrecipients for allowable vehicle maintenance expenses incurred for vehicles used in the transport of the general public. Reimbursement of eligible vehicle maintenance costs includes car washes, repairs to AC, tires, lifts, taillights, etc. Due to using CARES funding first, this will allow reimbursement for subrecipients through FY2023 which is part of the two year funding agreement.

Pre-award authority activity is expected to be incurred from 07/01/2022 to 9/30/2023.

Federal Funding:

FY2020: \$261,638

FY2021: \$138,362

Project Federal Total: \$400,000

Vehicle maintenance costs eligible for 80% Federal, 10% State, 10% Local

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$50,000
Local/In-Kind			\$0
State			\$50,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
Incurring Costs Start Date	7/1/2022	Date expenses can start incurring.
Reimbursement End Date	9/30/2024	This will allow all invoices to be submitted for reimbursement and payment to the subrecipients.

Budget Activity Line Item: 11.79.00 - Non-Operating Costs-PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Reimbursement of eligible non-operating or administrative costs includes salaries/employer costs for administrative staff, marketing, rent, utilities, office supplies, and other administrative costs. Due to using

CARES funding first, this will allow reimbursement for subrecipients through FY2023, which is part of the two year funding agreement.

Pre-award authority activity is expected to be incurred from 07/01/2022 to 9/30/2023.

Federal Funding: FY2020 - \$3,444,777

Non-operating/Administrative costs eligible for 80% Federal, 10% State, 10% Local

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,444,777
Local			\$430,597
Local/In-Kind			\$0
State			\$430,597
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,305,971

Milestone Name	Est. Completion Date	Description
Incurring Start Date	7/1/2022	Date expenses can start incurring.
Reimbursement End Date	9/30/2024	This will allow all invoices to be submitted for reimbursement and payment to the subrecipients.

Budget Activity Line Item: 30.09.01 - Operating Assistance - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Reimbursement of eligible costs such as personnel salaries, wages and employer costs for the drivers, driver substitutes and dispatcher. Eligible costs also includes vehicle fuel and oil. Due to using CARES funding first, this will allow reimbursement for subrecipients through FY2023 which is part of the two year funding agreement.

Pre-award authority activity is expected to be incurred from 07/01/2022 to 9/30/2023.

Federal Funding: FY2021 - \$855,223.

Operating expenses eligible for 50% Federal, 25% State, 25% Local

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$855,223
Local			\$427,612
Local/In-Kind			\$0
State			\$427,612
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,710,447

Milestone Name	Est. Completion Date	Description
Incurring Expenses Start Date	7/1/2022	Date expenses can start incurring.
Reimbursement End Date	9/30/2024	This will allow all invoices to be submitted for reimbursement and payment to the subrecipients.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	8/15/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	Operating Assistance - UP TO 50% FEDERAL SHARE	0	\$855,223.00	\$1,710,447.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	8/15/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	Non-Operating Costs-PROJECT ADMINISTRATION	0	\$3,444,777.00	\$4,305,971.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/15/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	VEHICLE MAINTENANCE	0	\$400,000.00	\$500,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type DOL Review for Information

Date 9/8/2022

ACTIVITIES TO BE PERFORMED:
Funds will be used to reimburse Nebraska's rural subrecipients for allowable operating assistance which includes salaries/employer costs for drivers and dispatchers, vehicle fuel and oil; allowable non-operating assistance which includes salaries/employer costs for administrative staff, marketing, rent, utilities, office supplies and other administrative costs; and vehicle maintenance.

UNDING:
5311 - FY2020: \$3,706,414 -- (This is the remaining FY2020 apportionment)
5311 - FY2021: \$ 993,586 (This will leave \$5,024,423 from the FY2021 apportionment of \$9,070,401)
Total Federal Funds: \$4,700,000.

Local Funds: \$908,209
The Local match comes the subrecipients general fund levies for the city/county government entities and general fund cash balance for the non-profit entities.

State Funds: \$908,209
The States match comes from Nebraska's Roads Operations cash fund.

Total Grant = \$6,516,418

Application Review Comments

Comment By Logan Daniels

Comment Type Pre-Award Manager Returns Application

Date 6/27/2022

Comment Please break the vehicle maintenance project(s) out into its own SCOPE/ALI; even though it technically is "non-operating", it still not project admin. The language may have been mistakenly allowed in the past, but it cannot continue, in this grant.

Comment By Logan Daniels

Comment Type Pre-Award Manager Returns Application

Date 7/29/2022

Comment

Comment By Logan Daniels

Comment Type Pre-Award Manager Returns Application

Date 8/29/2022

Comment kickback on your grant due to the POP not matching the grant budget

Application Review Comments**Comment By Gerri Doyle**

Comment Type Environmental Findings

Date 8/15/2022

Project Title Section 5311 Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients

Project Number NE-2022-019-01-00

Comment The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 8/15/2022

Project Title Section 5311 Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients

Project Number NE-2022-019-01-00

Comment The proposed program administration activities are found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 8/15/2022

Project Title Section 5311 Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients

Project Number NE-2022-019-01-00

Comment The proposed reimbursement for subrecipient's preventative maintenance activities on vehicles is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/31/2022
Project Title	Section 5311 Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients
Project Number	NE-2022-019-01-00
Comment	The proposed reimbursement for subrecipient's preventative maintenance activities on vehicles is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/31/2022
Project Title	Section 5311 Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients
Project Number	NE-2022-019-01-00
Comment	The proposed program administration activities are found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/31/2022
Project Title	Section 5311 Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients
Project Number	NE-2022-019-01-00
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).