

## Application

<b>Federal Award Identification Number (FAIN)</b>	MP-2022-001-00
<b>Temporary Application Number</b>	7169-2022-1
<b>Application Name</b>	FY2020 Section 5310 and Section 5311 Operating Assistance, Preventive Maintenance & RTAP
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A	
<b>Original Period of Performance End Date</b>	12/31/2023	
<b>Current Period of Performance End Date</b>	N/A	Revision #: N/A

### Part 1: Recipient Information

**Name: COMMONWEALTH OFFICE OF TRANSIT AUTHORITY**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7169	State Agency	Commonwealth Office Of Transit Authority	ZNJDHGSGA147	854858263

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	2ND FLOOR RM. 216, NAURU BUILDING MARIANAS BUSINESS PLAZA SUSUPE	SAIPAN	MP	96950
Mailing Address	CALLER BOX 10007	SAIPAN	MP	96950

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<p><b>Title: FY2020 Section 5310 and Section 5311 Operating Assistance, Preventive Maintenance &amp; RTAP</b></p>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MP-2022-001-00	Final Concurrence / Reservation	Grant	8/15/2022	8/15/2022	No

**Application Executive Summary**

This application is to request FY2020 Section 5310 and 5311 funds apportioned to the [UZA #690000] in the amount of \$ 371,422.00.

**Application Budget:**

FY2020 Section 5310 (UZA#690000): \$9,552;  
 FY2020 Section 5311 (UZA#690000): \$346,564;  
 FY2020 Section 5311 RTAP (UZA#690000): \$15,306.

**Purpose:** The purpose of this grant is to continue to provide operating assistance, preventative maintenance, and training for the Commonwealth Office of Transit Authority (COTA) from October 1, 2022 to December 31, 2023 in order to continue and expand its existing public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI). The grant application applies for a total of \$371,422.00.

**Activities to be performed:** Activities to be performed with this grant are to continue to execute and support the demand-responsive shared-ride service while also resurrecting the fixed-flex route on the island of Saipan, CNMI.

**Expected Outcomes:** The expected outcomes are to provide alternate forms of transportation and provide accessibility into our community - most especially for people with disabilities, the elderly, and medical conditions.

**Intended beneficiaries:** local residents, the private and public sector, visitors, tourists, and the whole entire community.

**Subrecipient Activities:** COTA does not have any sub-recipients.

FTA Circular 9040. 1F, Chapter III-5, Section 1e, and 48 U.S.C. Section 14 U.S.C 1469(a) allows insular areas such as the Northern Mariana Islands to consolidate all the above-mentioned grants to a single grant namely, Section 5311 grant program.

1) Federal agencies to consolidate any or all grants to each of the insular areas and waive requirements for matching funds, applications, and reports with respect to the consolidated grants, and

2) Each insular area to use the consolidated grant funds for any purposes or program authorized for any of the consolidated grants.

\*\*\*Please note that the CNMI's TTIP is currently going through the amendment process to reflect this the project application \*\*\*

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Indirect costs will be applied to this application and apply to one or more of its activities contained within the awarded scope of work. The cognizant agency, the U.S. Department of the Interior approved this rate. A copy of our organization's approved rate is on file in TRAMS Recipient Documents. The approved rate will be charged to the applicable activity line description to cover the cost of the Finance office to process payments.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	(202) 748-0677
Alfreda	Camacho	Special Assistant for Public Trans.	alfreda.camacho@gov.mp	670-664-2682

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$361,870
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$9,552
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$371,422</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2022-001-01-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$9,552.00	\$0.00	\$9,552.00	1
MP-2022-001-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$9,552.00	\$0.00	\$9,552.00	1
MP-2022-001-02-00	300-00 (300-A1) OPERATING ASSISTANCE	\$346,564.00	\$0.00	\$346,564.00	1
MP-2022-001-02-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$346,564.00	\$0.00	\$346,564.00	1
MP-2022-001-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$15,306.00	\$0.00	\$15,306.00	1
MP-2022-001-03-00	43.50.01 TRAINING	\$15,306.00	\$0.00	\$15,306.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Section 5310 (UZA#690000) \$9,552

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2022-001-01-00	7169-2022-1-P1	8/16/2022	10/1/2022	12/31/2023

#### Project Description

The Commonwealth Office of Transit Authority (COTA) will utilize these funds for preventative maintenance for all its fleet to support its current public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI) which includes indirect cost at a rate of 9.23% for the period from October 1, 2022 to December 31, 2023.

#### Project Benefits

The project will allow COTA to continue achieving its goal in providing a safe, efficient, effective, flexible, and responsive transit service that meets the public demand, and provides a more reliable form of transportation for the CNMI community- most especially people with no other option for transportation such as the elderly, people with disabilities, and people with medical conditions.

#### Additional Information

*None provided.*

#### Location Description

The Operating Assistance project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

## Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

## Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

## Program Plan Information

### STIP/TIP

Date: 5/20/2022

Description: The CNMI TTIP is currently being updated to reflect this project application.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$9,552
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,552</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2022-001-01-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$9,552.00	\$0.00	\$9,552.00	1

MP-2022-001-01-00	11.7A.00	PREVENTIVE MAINTENANCE	\$9,552.00	\$0.00	\$9,552.00	1
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## Project Budget Activity Line Items

### Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

#### Extended Budget Description

These funds will be utilized for preventative maintenance costs for all of the Commonwealth Office of Transit Authority's fleet in the Commonwealth of the Northern Mariana Islands.

\$882 will be used for indirect cost to cover the cost of the finance office to processes payments

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$9,552
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,552</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	
End Date	12/31/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$9,552.00	\$9,552.00

### Project Title: Section 5311 (UZA#690000) \$346,564

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2022-001-02-00	7169-2022-1-P2	8/26/2022	10/1/2022	12/31/2023

#### Project Description

The Commonwealth Office of Transit Authority (COTA) will utilize these funds to operate its current public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI) which includes the indirect cost rate at 9.23% for the period from October 1, 2022 to December 31, 2023.

#### Project Benefits

The project will allow the COTA to continue achieving its goal in providing a safe, efficient, flexible, and responsive transit service that meets the public demand, and provides a more reliable form of transportation for the CNMI community- most especially people with no other option for transportation such as the elderly, people with disabilities, and people with medical conditions.

#### Additional Information

*None provided.*

#### Location Description

The Operating Assistance project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

### Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

### Congressional District Information

State	District	Representative
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## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: The CNMI TTIP is currently being updated to reflect this project application.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$346,564
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$346,564</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2022-001-02-00	300-00 (300-A1) OPERATING ASSISTANCE	\$346,564.00	\$0.00	\$346,564.00	1
MP-2022-001-02-00	30.09.02 (5311 OR 5310 PILOT ONLY) SLIDING SCALE	\$346,564.00	\$0.00	\$346,564.00	1

## Project Budget Activity Line Items

<b>Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

### Extended Budget Description

The Commonwealth Office of Transit Authority (COTA) plans to utilize these funds for day to day operations of the program (i.e. wages and salaries, fuel and lubrication, communications, utilities, etc.)

\$33,401 will be used for indirect cost to cover the cost of the finance office to processes payments

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$346,564
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$346,564</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	
End Date	12/31/2023	

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit

authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$346,564.00	\$346,564.00

**Project Title: Section 5311 (UZA# 690000): \$15,306**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2022-001-03-00	7169-2022-1-P3	8/26/2022	10/1/2022	12/31/2023

**Project Description**

The Commonwealth Office of Transit Authority (COTA) will utilize these funds training costs for personnel under the Commonwealth Office of Transit Authority which includes the indirect cost rate of 9.23% for a period from October 1, 2022 to December 31, 2023.

**Project Benefits**

This project will allow COTA to continue achieving its goal in providing a safe, efficient, flexible, and responsive transit service that meets the public demand, and provides a more reliable form of transportation for the CNMI community - most especially people with no other option for transportation such as the elderly, people with disabilities, and people with medical conditions.

**Additional Information**

*None provided.*

**Location Description**

The Operating Assistance project will be located on the island of Saipan, which is an insular area known as the Commonwealth of the Northern Mariana Islands.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
690000	North Mariana Islands

**Congressional District Information**

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

**Program Plan Information**

STIP/TIP

Date: Not Provided

Description: The CNMI TTIP is currently being updated to reflect this project application.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,306
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$15,306</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2022-001-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$15,306.00	\$0.00	\$15,306.00	1
MP-2022-001-03-00	43.50.01 TRAINING	\$15,306.00	\$0.00	\$15,306.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

### Extended Budget Description

These funds will be utilized to fund all related training costs for all personnel at the Commonwealth Office of Transit Authority.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,306
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$15,306</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	
End Date	12/31/2023	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	1	\$15,306.00	\$15,306.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Ryan Fujii

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Comment Type DOL Review for Information

Date 9/12/2022

Comment For DOL information. This application applies for training, preventive maintenance, and operating funds

### Application Review Comments

**Comment By** Ryan Fujii

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Comment Type Pre-Award Manager Returns Application

Date 8/23/2022

Comment Each funding source needs to be broken out into its own project. TIP information needs to be included. Insert required executive summary sentence and budget. See email sent 082222

**Comment By** Ryan Fujii

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Comment Type Pre-Award Manager Returns Application

Date 8/26/2022

Comment Adjust to match program funds

### Application Review Comments

**Comment By** Karin Vosgueritchian

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Comment Type Application Details

Date 8/29/2022

Comment COTA must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

Specifically, COTA must comply with the ADA Standards for Transportation Facilities

when conducting preventative on vehicles. The following is a non-exhaustive list of ADA standards that COTA must comply with:

- As required by DOT ADA Regulation 49 C.F.R. 37.75, DOT ADA Regulation 49 C.F.R. 37.83, and DOT ADA Regulation 49 C.F.R. 37.89, COTA must ensure remanufactured or rebuilt vehicles are accessible.
- As required by DOT ADA Regulation 49 C.F.R. 37.75 (c), DOT ADA Regulation 49 C.F.R. 37.83(c), and DOT ADA Regulation 49 C.F.R. 37.89(c), if a remanufactured or rebuilt vehicle will not be accessible, COTA must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis.

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.