

Application

Federal Award Identification Number (FAIN)	HI-2022-009-00
Temporary Application Number	1623-2022-7
Application Name	FY 2020, 2021, and 2022 Sec 5311 Formula Grants for Rural Areas
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, HAWAII DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office	LVAZQ1G1U391	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S BERETANIA ST FL 5	HONOLULU	HI	968132407
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

Union Information

Union Name	AMERICAN FEDERATION OF STATE, COUNTY & MUNICIPAL EMPLOYEES
Address 1	c/o William Wilkinson

Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
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Union Name	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
<hr/>	
Union Name	UPW LOCAL 646
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	HI
Zipcode	96817
Contact Name	Kalani Werner
Telephone	8088472631
Fax	8088481987
E-mail	statedirector@upwhawaii.org
Website	www.upwhawaii.org

Part 2: Application Information

Title: FY 2020, 2021, and 2022 Sec 5311 Formula Grants for Rural Areas

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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HI-2022-009-00	Final Concurrence / Reservation	Grant	6/16/2022	6/16/2022	No
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Application Executive Summary

This application is to request Section 5311 Rural Formula funds apportioned to the State of Hawaii (UZA 150000) in the amounts of \$1,743,512 (FFY2020), \$1,632,099 (FFY2021) and \$2,002,292 (FFY2022). The total amount of Federal funds requested is \$5,377,903 (a breakdown is provided below).

PURPOSE: This application utilizes Section 5311 Rural formula funds to support the County transit agencies that serve rural areas within the State of Hawaii.

ACTIVITIES TO BE PERFORMED: Operating assistance will be provided to the County transit agencies for them to continue providing service to rural areas of Hawaii. HMTA will also conduct Project Administrative, Mobility Management, and Preventive Maintenance activities. HDOT will administer the grant, provide oversight and technical assistance, and manage funding for RTAP activities.

EXPECTED OUTCOME: Federal assistance will enable the county transit agencies to sustain the services they provide to the local communities.

INTENDED BENEFICIARIES: HMTA serves the island of Hawaii, CKTA serves residents of the island of Kauai, and MDOT serves residents in the rural areas of Maui. RTAP funds will benefit all three agencies in addition to HDOT. Riders in each county that rely on the bus to travel for medical, employment, education and other needs will benefit from the continued service the transit agencies provide.

SUBRECIPIENT ACTIVITIES: The County transit agencies will receive operating assistance to continue providing service to rural areas Hawaii throughout the period of performance. HMTA also plans to use funding on this grant to carry out Project Administrative, Mobility Management, and Preventive Maintenance activities.

Additional Notes:

- 1) Intercity bus service needs are adequately met (refer to paragraph regarding the archipelagic make-up of Hawaii on the letter signed by Gov. David Ige, attached in TrAMS).
- 2) Pre-award authority was requested for HMTA to begin their activities from 07/01/2022.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Sugamoto	Engineer VI	ryan.s.sugamoto@hawaii.gov	(808) 831-7980
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	(202) 748-0677

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,377,903
Local			\$4,886,933
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,264,836

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-009-01-00	600-00 (600-A5) OTHER PROGRAM COSTS	\$3,109,129.00	\$3,087,157.00	\$6,196,286.00	3
HI-2022-009-01-00	30.09.01 UP TO 50% FEDERAL SHARE (CKTA)	\$1,071,873.00	\$1,071,873.00	\$2,143,746.00	1
HI-2022-009-01-00	30.09.01 UP TO 50% FEDERAL SHARE (MDOT)	\$949,190.00	\$949,190.00	\$1,898,380.00	1
HI-2022-009-01-00	30.09.02 SLIDING SCALE (5311), (HMTA)	\$1,088,066.00	\$1,066,094.00	\$2,154,160.00	1
HI-2022-009-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$190,557.00	\$0.00	\$190,557.00	1
HI-2022-009-01-00	11.80.00 STATE OR PROGRAM	\$190,557.00	\$0.00	\$190,557.00	1

ADMINISTRATION (HDOT)						
HI-2022-009-02-00	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$75,925.00	\$0.00	\$75,925.00	1
HI-2022-009-02-00		43.50.01 TRAINING	\$75,925.00	\$0.00	\$75,925.00	1
HI-2022-009-03-00	600-00 (600-A4)	OTHER PROGRAM COSTS	\$1,861,643.00	\$1,767,425.00	\$3,629,068.00	4
HI-2022-009-03-00		11.7A.00 PREVENTIVE MAINTENANCE (HMTA)	\$52,845.00	\$12,155.00	\$65,000.00	1
HI-2022-009-03-00		11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L)), (HMTA)	\$40,650.00	\$9,350.00	\$50,000.00	1
HI-2022-009-03-00		30.09.01 UP TO 50% FEDERAL SHARE (MDOT)	\$667,430.00	\$667,430.00	\$1,334,860.00	1
HI-2022-009-03-00		30.09.02 SLIDING SCALE (5311), (HMTA)	\$1,100,718.00	\$1,078,490.00	\$2,179,208.00	1
HI-2022-009-03-00	620-00 (620-A3)	PROJECT ADMINISTRATION	\$140,649.00	\$32,351.00	\$173,000.00	1
HI-2022-009-03-00		11.79.00 PROJECT ADMINISTRATION (HMTA)	\$140,649.00	\$32,351.00	\$173,000.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Hawaii, Kauai and Maui County Operating Assistance and HDOT State Administration FFY2020\$, FFY2021\$

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-009-01-00	1623-2022-7-P1	6/17/2022	7/1/2022	12/31/2025

Project Description

This project involves funding for the County of Hawaii, County of Kauai, County of Maui, and the State of Hawaii Department of Transportation. Descriptions of the scope of work for each agency is listed below.

Funding breakdown for this project:

FY2020 5311 Rural: Federal Share \$1,667,587 / Local Match \$1,477,030 / Subtotal \$3,144,617
FY2021 5311 Rural: Federal Share \$1,632,099 / Local Match \$1,610,127 / Subtotal \$3,242,226
Total project: Federal Share \$3,299,686 / Local Match \$3,087,157 / Subtotal \$6,386,843
Note: FY2020 funds are LAPSING.

County of Hawaii Mass Transit Agency

This project will use 5311 funding to cover operating expenses for fixed route operations.

FY2021 5311 Rural: Federal Share \$1,088,066 / Local Match (County GET)* \$1,066,094 / Eligible Cost \$2,154,160

The proposed FTA Section 5311 projects are the following:

Operations Assistance to fund the fixed route operations contract with Roberts Hawaii between 07/01/2022 and 06/30/2025. The capital cost of contracting will not be included on this grant.

County of Kauai Transportation Agency (CKTA)

This project will use 5311 funding to cover operating expenses for the County fiscal year 2023 and fiscal year 2024.

FY2020 5311 Rural: Federal Share \$1,071,873 / Local Match (County of Kauai funds) \$1,071,873 / Eligible Cost \$2,143,746

County of Maui Department of Transportation (MDOT)

Funds to be used to support operating assistance for the County of Maui Bus fixed route system. The funds will cover operating assistance contract expenses incurred between 12/01/2022 and 06/30/2025. The capital cost of contracting will not be included on this grant. The Maui bus system consists of 14 routes, in and between various Central, South, West, Haiku, Kula, Upcountry, and Waihee communities. All routes are operated seven days a week.

FY2020 5311 Rural: Federal Share \$405,157 / Local Match (County funds) \$405,157 / Eligible Cost \$810,314

FY2021 5311 Rural: Federal Share \$544,033 / Local Match (County funds) \$544,033 / Eligible Cost \$1,088,066

Total 5311 Rural (MDOT, FY2020 and FY2021): Federal Share \$949,190 / Local Match (County funds) \$949,190 / Eligible Cost \$1,898,380

State of Hawaii Department of Transportation (HDOT)

This project will use FY2020 Section 5311 Rural funding to cover State Administration expenses, which are capped at 10% and do not require a local match. Federal share is 100%.

FY2020 5311 Rural: Federal Share \$190,557 / Local Match \$0 / Eligible Cost \$190,557

*Notes:

1) The sliding scale is being used for this project, as allowable under 23 U.S.C. 120(b)(2), whereby the Federal share for capital costs is 81.30% and the Federal share for operating expenses is 50.51%. See attached document titled "Sliding Scale Federal Share Rates (Hawaii).pdf".

2) Expenses will be tracked to ensure funding on this application will not overlap with other active FTA 5311 grants that include operating assistance (HI-2019-012, HI-2021-006, HI-2022-003).

Project Benefits

The County of Hawai'i Mass Transit Agency (MTA) provides island-wide fixed route, service known as Hele-On, paratransit, bikesharing, vanpool and rideshare taxi services branded Hele-On, seven days a week. The County is vast, over 4,200 square miles, with diverse topography, climate, and development patterns. Resident population according to the 2020 census was 200,629. Half of the island's population is primarily in two urban locations, Hilo and Kailua-Kona. The balance is widely distributed in small villages

separated by wide swaths of either agriculture or underdeveloped or hilly terrain. This project will provide assistance for HMTA to continue providing its service.

The Kauai Bus supports community transportation needs that do not have other transportation options (youth, elderly, low socio-economic range, etc.) It alleviates traffic and reduces the carbon footprint. All routes enhance the community access to healthcare, employment, education, shopping, recreation, etc. This project will provide assistance for CKTA to continue providing its service.

The County of Maui, Department of Transportation operates the Maui Bus service which includes the Fixed Route, ADA Paratransit and Commuter Services. These systems include service to persons living in the rural areas of Makawao, Pukalani, Haliimaile, Haiku, Kula, Kihei, Lahaina, Kaanapali, Napili, Kapalua and Maalaea. These funds will be used to support operating assistance for these services in the rural areas of Maui.

Compensation will be provided to the State of Hawaii to perform the grant management and program oversight duties required by FTA. Program Administration expenses, which are capped at 10%, do not require a local match. Federal share is 100%.

Additional Information

None provided.

Location Description

County of Hawaii, County of Kauai, County of Maui

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Kai Kahele

Program Plan Information

STIP/TIP

Date: 7/28/2022

Description: HDOT: FFY2022-FFY2025 HDOT STIP (Effective 07/28/2022), Page 3, Line Item S26.

Hawaii County: FFY2022-FFY2025 HDOT STIP (Effective 07/28/2022), Page 22, Line Item HC4. Maui

County: FFY2022-FFY2025 HDOT STIP (Effective 07/28/2022), Page 29, Line Item MC22. Kauai County:

FFY2022-FFY2025 HDOT STIP (Effective 07/28/2022), Page 35, Line Item KC18.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,299,686
Local			\$3,087,157
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,386,843

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-009-01-00	600-00 (600-A5) OTHER PROGRAM COSTS	\$3,109,129.00	\$3,087,157.00	\$6,196,286.00	3
HI-2022-009-01-00	30.09.01 UP TO 50% FEDERAL SHARE (CKTA)	\$1,071,873.00	\$1,071,873.00	\$2,143,746.00	1
HI-2022-009-01-00	30.09.01 UP TO 50% FEDERAL SHARE (MDOT)	\$949,190.00	\$949,190.00	\$1,898,380.00	1
HI-2022-009-01-00	30.09.02 SLIDING SCALE (5311), (HMTA)	\$1,088,066.00	\$1,066,094.00	\$2,154,160.00	1
HI-2022-009-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$190,557.00	\$0.00	\$190,557.00	1
HI-2022-009-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION (HDOT)	\$190,557.00	\$0.00	\$190,557.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE (CKTA)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

County of Kauai Transportation Agency (CKTA)
 Federal Share: FY2020 5311 (\$1,071,873) formula funds from UZA 150000
 Local Match: \$1,071,873, County of Kauai funds
 Eligible Cost: \$2,143,746

Transit-related payroll and operational expenses for the County fiscal year 2023 and fiscal year 2024, to include the cost of Collective Bargaining Agreements, Fuel, Vehicle Repair and Maintenance, etc. The estimated number of trips is 408,000.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,071,873
Local			\$1,071,873
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,143,746

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	
End Date	6/30/2025	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE (MDOT)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

County of Maui Department of Transportation (MDOT)
 Federal Share: FY2020 5311 (\$405,157) and FY2021 5311 (\$544,033) Rural formula funds from UZA 150000
 Total Federal Share from 5311 Rural formula funds: \$949,190
 Local Match: \$949,190, County of Maui funds
 Eligible Cost: \$1,898,380

MDOT currently has an operating contract with a vendor. Milestones will be added if another RFP/IFB is issued for a new contract covering the period beyond the current contract end date. Only expenses that are incurred after award will be reimbursed by this grant and expenses will be tracked to ensure funding on this application will not overlap with other active FTA 5311 grants that include operating assistance (HI-2019-012, HI-2021-006, HI-2022-003).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$949,190
Local			\$949,190
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,898,380

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2022	
End Date	6/30/2025	

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311), (HMTA)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

County of Hawaii Mass Transit Agency (HMTA)
 Federal Share: FY2021 5311 (\$1,088,066) formula funds from UZA 150000
 Local Match: \$1,066,094, County of Hawaii GET
 Eligible Cost: \$2,154,160

Operating funds will cover expenses for an existing service contract for fixed route, flex route and microtransit services. The current contract end date for HMTA's vendor is 06/30/2023, however, the contract has options for extension. Milestones will be added if another RFP/IFB is issued for a new contract covering the period beyond the current contract end date. Only expenses that are incurred after award will be reimbursed by this grant. The estimated number of trips is 500,000.

*Note: The sliding scale is being used for this project whereby the Federal share for capital costs is 81.30% and the Federal share for operating expenses is 50.51%. See the attached document titled "Sliding Scale Federal Share Rates (Hawaii).pdf".

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,088,066
Local			\$1,066,094
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,154,160

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	6/30/2025	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION (HDOT)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

State of Hawaii Department of Transportation (HDOT)
 Federal Share: FY2020 5311 Rural (\$190,557) funds from UZA 150000
 Local Match*: \$0
 Eligible Cost: \$190,557

State administrative costs for HDOT. The State intends to enter into a 3rd Party Contract with a consultant to provide assistance with grant management and technical support. The estimated cost for the 3rd Party Contract on this project is \$190,557, however, after the fees are determined, this amount may be reduced and the balance on this ALI will be reserved for other State administrative expenses such as travel or personnel cost.

*Program Administration expenses, which are capped at 10%, do not require a local match. Federal share is 100%

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$190,557
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$190,557

Milestone Name	Est. Completion Date	Description
State Program Administration Start Date	10/3/2022	
3rd Party Contractor RFP Issue Date	10/31/2022	
3rd Party Contractor Contract Award	2/1/2023	
3rd Party Contractor Notice to Proceed	4/3/2023	
3rd Party Contractor Contract Completion	11/29/2024	
State Program Administration and 3rd Party Contractor End Date	12/31/2025	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Project Title: Rural Transit Assistance Program FFY2020\$

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-009-02-00	1623-2022-7-P2	6/20/2022	1/1/2023	12/31/2025

Project Description

This project will use FY2020 Section 5311 RTAP funding to cover training expenses.

FY2020 RTAP expenses

Federal Share \$75,925 / Local Match \$0 / Eligible Cost \$75,925

Project Benefits

RTAP funding provides for continual training for subrecipients and State program managers to effectively perform their duties.

Additional Information

None provided.

Location Description

County of Hawaii, County of Kauai, County of Maui

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Kai Kahele

Program Plan Information

STIP/TIP

Date: 7/28/2022

Description: FFY2022-FFY2025 HDOT STIP (Effective 07/28/2022), Page 3, Line Item S25.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$75,925
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$75,925

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-009-02-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$75,925.00	\$0.00	\$75,925.00	1
HI-2022-009-02-00	43.50.01 TRAINING	\$75,925.00	\$0.00	\$75,925.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

State of Hawaii Department of Transportation (HDOT)
 Federal Share: FY2020 5311 RTAP (\$75,925) funds from UZA 150000
 Local Match: \$0
 Eligible Cost: \$75,925

Training for the State and its Subrecipients. Anticipated activities include transit-related training, seminars and workshops, as well as travel to events (courses, conferences, conventions, seminars and workshops) related to the transportation industry. Funds will be dispersed as reimbursements for training. At the moment, 3rd party contractors are not anticipated to be involved.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$75,925
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$75,925

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2023	
End Date	12/31/2025	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Hawaii and Maui County Operating Assistance and Project Management FFY2022\$

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-009-03-00	1623-2022-7-P3	6/20/2022	7/1/2022	6/30/2025

Project Description

This project involves funding for the County of Hawaii and County of Maui. Descriptions of the scope of work for each agency is listed below.

Funding breakdown for this project:

FY2022 5311 Rural: Federal Share \$2,002,292 / Local Match \$1,799,776 / Subtotal \$3,802,068

County of Hawaii Mass Transit Agency (HMTA)

This project will use 5311 funding to cover operating expenses for fixed route operations, project administration, mobility management, and preventive maintenance.

FY2022 5311 Rural: Federal Share \$1,334,862 / Local Match (County GET)* \$1,132,346 / Eligible Cost: \$2,467,208

The proposed FTA Section 5311 activities include the following:

- Operations Assistance to fund the fixed route operations contract with Roberts Hawaii between 07/01/2022 and 06/30/2025. The capital cost of contracting will not be included on this grant.
- Project Administration to support the Mass Transit Agency by way of an Accountant I, Secretary I and Transit Program Manager
- Mobility Management to support the efforts of the State of Hawaii Coordinated Transportation and Human Services Plan by way of a Mobility Coordinator.
- Preventive Maintenance to support the Mass Transit Agency by way of a Transit Maintenance Manager

County of Maui Department of Transportation (MDOT)

Funds to be used to support operating assistance for the County of Maui Bus fixed route system. The funds will cover operating assistance contract expenses incurred between 12/01/2022 and 06/30/2025. The capital cost of contracting will not be included on this grant. The Maui bus system consists of 14 routes, in and between various Central, South, West, Haiku, Kula, Upcountry, and Waihee communities. All routes are operated seven days a week.

FY2022 5311 Rural: Federal Share \$667,430 / Local Match (County funds) \$667,430 / Eligible Cost: \$1,334,860

*Notes:

- 1) The sliding scale is being used for this project, as allowable under 23 U.S.C. 120(b)(2), whereby the Federal share for capital costs is 81.30% and the Federal share for operating expenses is 50.51%. See attached document titled "Sliding Scale Federal Share Rates (Hawaii).pdf".
- 2) Expenses will be tracked to ensure funding on this application will not overlap with other active FTA 5311 grants that include operating assistance (HI-2019-012, HI-2021-006, HI-2022-003).

Project Benefits

The County of Hawai'i Mass Transit Agency (MTA) provides island-wide fixed route, service known as Hele-On, paratransit, bikesharing, vanpool and rideshare taxi services branded Hele-On, seven days a week. The County is vast, over 4,200 square miles, with diverse topography, climate, and development patterns. Resident population according to the 2020 census was 200,629. Half of the island's population is primarily in two urban locations, Hilo and Kailua-Kona. The balance is widely distributed in small villages separated by wide swaths of either agriculture or underdeveloped or hilly terrain. This project will provide assistance for HMTA to continue providing its service.

The County of Maui, Department of Transportation operates the Maui Bus service which includes the Fixed Route, ADA Paratransit and Commuter Services. These systems include service to persons living in the rural areas of Makawao, Pukalani, Haliimaile, Haiku, Kula, Kihei, Lahaina, Kaanapali, Napili, Kapalua and Maalaea. These funds will be used to support operating assistance for these services in the rural areas of Maui.

Additional Information

None provided.

Location Description

County of Hawaii, County of Maui

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Kai Kahele

Program Plan Information

STIP/TIP

Date: 7/28/2022

Description: Hawaii County: FFY2022-FFY2025 HDOT STIP (Effective 07/28/2022), Page 22, Line Item HC4. Maui County: FFY2022-FFY2025 HDOT STIP (Effective 07/28/2022), Page 29, Line Item MC22.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,002,292
Local			\$1,799,776
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,802,068

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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HI-2022-009-03-00	600-00 (600-A4)	OTHER PROGRAM COSTS	\$1,861,643.00	\$1,767,425.00	\$3,629,068.00	4
HI-2022-009-03-00		11.7A.00 PREVENTIVE MAINTENANCE (HMTA)	\$52,845.00	\$12,155.00	\$65,000.00	1
HI-2022-009-03-00		11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L)), (HMTA)	\$40,650.00	\$9,350.00	\$50,000.00	1
HI-2022-009-03-00		30.09.01 UP TO 50% FEDERAL SHARE (MDOT)	\$667,430.00	\$667,430.00	\$1,334,860.00	1
HI-2022-009-03-00		30.09.02 SLIDING SCALE (5311), (HMTA)	\$1,100,718.00	\$1,078,490.00	\$2,179,208.00	1
HI-2022-009-03-00	620-00 (620-A3)	PROJECT ADMINISTRATION	\$140,649.00	\$32,351.00	\$173,000.00	1
HI-2022-009-03-00		11.79.00 PROJECT ADMINISTRATION (HMTA)	\$140,649.00	\$32,351.00	\$173,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L)), (HMTA)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

County of Hawaii Mass Transit Agency (HMTA)

Federal Share: FY2022 5311 (\$40,650) formula funds from UZA 150000

Local Match: \$9,350, County of Hawaii GET

Eligible Cost: \$50,000

HMTA will use these funds to support administrative activities pertaining to the immediate accomplishment or oversight of activities related to mobility management as explained in the HDOT Coordinated Transportation & Human Services Plan.

*Note: The sliding scale is being used for this project, as allowable under 23 U.S.C. 120(b)(2), whereby the Federal share for capital costs is 81.30% and the Federal share for operating expenses is 50.51%. See attached document titled "Sliding Scale Federal Share Rates (Hawaii).pdf"

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,650
Local			\$9,350
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$50,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	6/30/2025	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE (MDOT)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

County of Maui Department of Transportation (MDOT)
 Federal Share: FY2022 5311 (\$667,430) Rural formula funds from UZA 150000
 Local Match: \$667,430, County of Maui funds
 Eligible Cost: \$1,334,860

MDOT currently has an operating contract with a vendor. Milestones will be added if another RFP/IFB is issued for a new contract covering the period beyond the current contract end date. Only expenses that are incurred after award will be reimbursed by this grant and expenses will be tracked to ensure funding on this application will not overlap with other active FTA 5311 grants that include operating assistance (HI-2019-012, HI-2021-006, HI-2022-003).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$667,430
Local			\$667,430
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$1,334,860

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2022	
End Date	6/30/2025	

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311), (HMTA)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

County of Hawaii Mass Transit Agency (HMTA)
 Federal Share: FY2022 5311 (\$1,100,718) formula funds from UZA 150000
 Local Match: \$1,078,490, County of Hawaii GET
 Eligible Cost: \$2,179,208

Operating funds will cover expenses for an existing service contract for fixed route, flex route and microtransit services. The current contract end date for HMTA's vendor is 06/30/2023, however, the contract has options for extension. Milestones will be added if another RFP/IFB is issued for a new contract covering the period beyond the current contract end date. Only expenses that are incurred after award will be reimbursed by this grant. The estimated number of trips is 500,000.

*Note: The sliding scale is being used for this project, as allowable under 23 U.S.C. 120(b)(2), whereby the Federal share for capital costs is 81.30% and the Federal share for operating expenses is 50.51%. See attached document titled "Sliding Scale Federal Share Rates (Hawaii).pdf"

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,100,718
Local			\$1,078,490
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,179,208

Milestone Name	Est. Completion	Description
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Date	
Start Date	7/1/2022
End Date	6/30/2025

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE (HMTA)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

County of Hawaii Mass Transit Agency (HMTA)
 Federal Share: FY2022 5311 Rural (\$ 52,845) formula funds from UZA 150000
 Local Match: \$12,155, County of Hawaii GET
 Eligible Cost: \$ 65,000

Hawaii County will use these funds to support administrative activities pertaining to the immediate accomplishment or oversight of activities outlined within this grant by the Transit Maintenance Manager. These activities include oversight of all preventive maintenance of vehicles and facilities which is used to preserve or extend the functionality and serviceability of the asset in a cost effective manner, up to and including the current state of the art for maintaining such an asset.

*Note: The sliding scale is being used for this project, as allowable under 23 U.S.C. 120(b)(2), whereby the Federal share for capital costs is 81.30% and the Federal share for operating expenses is 50.51%. See attached document titled "Sliding Scale Federal Share Rates (Hawaii).pdf"

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$52,845
Local			\$12,155
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$65,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	6/30/2025	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION (HMTA)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

County of Hawaii Mass Transit Agency (HMTA)
 Federal Share: FY2022 5311 Rural (\$140,649) formula funds from UZA 150000
 Local Match: \$32,351, County of Hawaii GET
 Eligible Cost: \$173,000

Hawaii County will use these funds to support administrative activities pertaining to the immediate accomplishment or oversight of activities outlined within this grant by the Accountant I, Transit Program Manager and Secretary I.

*Note: The sliding scale is being used for this project, as allowable under 23 U.S.C. 120(b)(2), whereby the Federal share for capital costs is 81.30% and the Federal share for operating expenses is 50.51%. See attached document titled "Sliding Scale Federal Share Rates (Hawaii).pdf"

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$140,649
Local			\$32,351
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$173,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	6/30/2025	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION (HMTA)	1	\$140,649.00	\$173,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE (HMTA)	1	\$52,845.00	\$65,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L)), (HMTA)	1	\$40,650.00	\$50,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE (MDOT)	1	\$667,430.00	\$1,334,860.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311), (HMTA)	1	\$1,100,718.00	\$2,179,208.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Fujii

Comment Type	DOL Review for Information
Date	9/14/2022
Comment	This application is for DOL information. Application is for operating, preventive maintenance, mobility management & project Admin cost

Application Review Comments

Comment By Ryan Fujii

Comment Type	Pre-Award Manager Returns Application
Date	8/2/2022
Comment	FY 2022 funds need to be placed in separate projects. Please see email sent for additional comments

Comment By Ryan Fujii

Comment Type	Pre-Award Manager Returns Application
Date	8/18/2022
Comment	Address capital cost of contracting. verify preventative maintenance will not be used. note period operating funds will cover. See email 8/17/22 for additional comments

Comment By Ryan Fujii

Comment Type	Pre-Award Manager Returns Application
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Date	9/1/2022
Comment	Projects P1, P2 and P3, please check verify and update the Scope and ALI codes in the grant application, as Scope 610-00 / ALI 11.80.00 should be used for State Administration. Scope 620-00 / ALI 11.79.00 should be used for Project Administration. Scope 634-00 / ALI code 30.09.05 should be used for operating. Scope 635-00 / ALIs as programmed (43.XX.XX) should be used for RTAP activities

Application Review Comments

Comment By Ryan Sugamoto

Comment Type	Application Details
Date	8/11/2022
Comment	Application revised on 08/11/2022 per comments provided by FTA on 08/02/2022. See attached Word document (1623-2022-7 HDOT responses to FTA comments 20220811.docx) for responses. STIP, POP and budget attachments have been updated to reflect changes. The overall cost and Federal share has not changed. This application involves LAPSING funds. Please let me know if there are any further comments. Thank you.

Comment By Ryan Sugamoto

Comment Type	Application Details
Date	8/24/2022
Comment	Application revised on 08/23/2022 per comments provided by FTA on 08/17/2022. See attached Word document (1623-2022-7 HDOT responses to FTA comments 20220823.docx) for responses. STIP, POP and budget attachments have been updated to reflect changes. The overall cost and Federal share has not changed. This application involves LAPSING funds. Please let me know if there are any further comments. Thank you.

Comment By Ryan Sugamoto

Comment Type	Application Details
Date	9/2/2022
Comment	ALI scope codes adjusted per comments by FTA on 08/31/2022. No changes to funding amounts.