

Application

Federal Award Identification Number (FAIN)	CT-2021-016-01
Temporary Application Number	7191-2021-1
Application Name	FY22 and FY23 Operating and Facility Repairs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	9/21/2021
Original Period of Performance End Date	9/30/2024
Current Period of Performance End Date	9/30/2024 Revision #: 0

Part 1: Recipient Information

Name: Mashantucket Pequot Tribal Nation

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7191	Indian Tribe	MASHANTUCKET PEQUOT TRIBAL NATION DBA FOXWOODS RESORT & CASINO	VKELG69WZM38	791793318

Location Type	Address	City	State	Zip
Headquarters	2 MATTS PATH	MASHANTUCKET	CT	06338
Physical Address	2 MATTS PATH	MASHANTUCKET	CT	06338
Mailing Address	2 MATT'S PATH	MASHANTUCKET	CT	06338

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY22 and FY23 Operating and Facility Repairs					
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CT-2021-016-01	Final Concurrence / Reservation	Grant	9/7/2022	9/7/2022	No

Application Executive Summary

Amendment 1

The Mashantucket Pequot Tribal Nation (MPTN) is requesting an additional \$94,628 of FY20 Tribal Transit Formula funds from the Connecticut Statewide Urbanized Area. The FY20 Public Transportation on Indian Reservations apportionment table is attached.

Purpose: The MPTN Tribe will utilize this award for operating assistance for transit services throughout the reservation.

Activities to be Performed: Funds will be used to cover additional operating expenses for MPTN's fiscal year 2023. Operating assistance will support salaries for a Mobility Manager, driver salaries, fringe benefits and fuel.

Expected Outcomes: Funding will permit the MPTN to continue with operating transit services on the Mashantucket Pequot Tribal Reservation.

Intended Beneficiaries: Include staff, residents and visitors at the Mashantucket Pequot Tribal reservation. The service is especially beneficial for the elderly Tribal members who need to reach medical appointments at the Tribal Health Services clinic or need to reach their jobs at the Grand Pequot Tower.

Subrecipient Activities: None

- Mashantucket Pequot Tribal Nation executed it's FFY22 Certifications and Assurances in TrAMS on 6/1/2022.
- Tribes are not subject to federal planning requirements, and the FTA will not require tribes to attach tribal transportation plans to a STIP (Statewide Transportation Improvement Program).
- The FTA does not require a non-federal matching share for Tribal Transit Program grants.
- Since direct grants from the FTA to tribes do not involve a state-recipient relationship, the labor protective provisions of 49 U.S.C. 5333 (b) do not apply to this program.

Original Grant

MPTN is requesting \$267,290 in FY19 5311 formula funds for it's Community Busing Department for

1. Operating Service - \$181,652 for FY22 and \$80,765 for FY23

a. These funds will allow the MPTN to continue providing vital transit service throughout the reservation. This service provides transportation for the elderly Tribal members who need to reach medical appointments at the Tribal Health Services clinic or need to reach their jobs at the Grand Pequot Tower, this is especially important for residents without privately owned vehicles. The breakdown of federal funding goes towards salaries for a Mobility Manager, Driver Salaries, fringe benefits and fuel. There is a gap in funding for FY23 but this will be covered in a future grant.

2. Repairs to the Mashantucket Pequot Maintenance Facility - \$4,873

a. There are continued repairs that are required to maintain the Tribe's primary maintenance facility in a state of good repair. The facility is vital to providing uninterrupted transit service because it serves as the location for transit vehicle maintenance. Expenses will include parts and labor for concrete repairs around the garage doors.

b. These repairs were started in FTA CT-2020-001 which focused on the purchase and installation of lighting and general repairs to the garage doors for a total of \$19,212. Total federal investment at this facility is \$24,085.

Indirect Costs will be applied at approved rate of 41.34% per the attached documentation.
 Period of Performance: Date of Award to September 30, 2024 Mashantucket Pequot Tribal Nation executed it's FFY21 Certifications and Assurances in TrAMS on 8/12/2021.
 FTA planning requirements tribes are not subject to federal planning requirements, and the FTA will not require tribes to attach tribal transportation plans to a STIP (Statewide Transportation Improvement Program).
 Local share requirement the FTA does not require a non-federal matching share for Tribal Transit Program grants.
 Federal labor protections because direct grants from the FTA to tribes do not involve a state-recipient relationship, the labor protective provisions of 49 U.S.C. 5333 (b) do not apply to this program.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Indirect cost will be covered at 41.34% as per agreement of BIA, please see attached documentation.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Christopher	Gardner	Transportation Mobility Manager	cagardner@mptn-nsn.gov	860-396-7541
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	(617) 494-2484

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$361,918
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$361,918

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2021-016-01-01	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$4,873.00	\$0.00	\$4,873.00	0
CT-2021-016-01-01	11.44.02 REHAB/ RENOVATE - MAINTENANCE FACILITY	\$4,873.00	\$0.00	\$4,873.00	0
CT-2021-016-01-01	300-00 (300-A2) OPERATING ASSISTANCE	\$357,045.00	\$0.00	\$357,045.00	0
CT-2021-016-01-01	30.09.02 Tribal Transit Operating	\$357,045.00	\$0.00	\$357,045.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Costs, Misc Capital and Facility Repairs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CT-2021-016-01-01	7191-2021-1-P1	9/7/2022	10/1/2021	9/30/2023

Project Description

Amendment 1

MPTN is requesting to amend this award by \$94,628 to assist with FY23 operating expenses.

Original Description

This project will include

Payroll for MPTN for Community Busing Department

- Transportation Mobility Manager

- (1) Full-time Shuttle Driver

Misc

- Vehicle License & Registration
- General Equipment Rental
- Repairs & Maintenance
- Operating Supplies
- Telephone
- Training & Meeting Expense
- Dues & Subscriptions
- Miscellaneous Expense
- Fuel & Oil
- Minor Equipment - Under \$1,000
- Indirect Costs

Facility Repairs

- Additional repairs to overhead doors

Project Benefits

This would provide tribal members with transportation options that presently are not available. Local transit company does not come on the reservation. As a rural community, roads leading to the reservation are not constructed to add bus stops that are safe for both rider and equipment. No shoulders or sidewalks are available for patrons to stand and wait. This project will improve the mobility of our community and offer access to important services within our community. Additionally, repairs to our facility are necessary in order to provide the much needed preventative maintenance to our fleet and provide uninterrupted transit service.

Additional Information

The Community Busing Department is committed to providing safe, efficient, courteous and convenient transportation for the community by enhancing quality of life, livability, and self-sufficiency through mobility.

Location Description

The Mashantucket Pequot Reservation. Facility repairs will take place at 103 Pequot Trail Mashantucket, CT. 06338.

Project Location (Urbanized Areas)

UZA Code	Area Name
090000	Connecticut
091450	Norwich--New London, CT--RI

Congressional District Information

State	District	Representative
Connecticut	2	Joe Courtney

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$361,918
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$361,918

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2021-016-01-01	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$4,873.00	\$0.00	\$4,873.00	0
CT-2021-016-01-01	11.44.02 REHAB/RENOVATE - MAINTENANCE FACILITY	\$4,873.00	\$0.00	\$4,873.00	0
CT-2021-016-01-01	300-00 (300-A2) OPERATING ASSISTANCE	\$357,045.00	\$0.00	\$357,045.00	0
CT-2021-016-01-01	30.09.02 Tribal Transit Operating	\$357,045.00	\$0.00	\$357,045.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.44.02 - REHAB/RENOVATE - MAINTENANCE FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.02	REHAB/RENOVATE - MAINTENANCE FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	0

Extended Budget Description

This ALI would fund the materials and labor to replace the concrete in two overhead door openings of the Mashantucket Pequot's facility located at 103 Pequot Trail, Mashantucket CT 06338 continuing work started in CT-2020-001. Breakdown of total federal investment:

-\$19,212 for Lighting and Maintenance Lift repairs

-\$4,873 for concrete work around garage doors

Total: \$24,085

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$4,873
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,873

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Quotes for materials and labor completed prior to the award of the grant in accordance with Small Purchases procedures.
Repairs Begin	11/1/2021	Contractor begins the repairs on the concrete surrounding the garage doors. Materials are all procured.
End Date	9/30/2022	Concrete work on garage doors in the Spring completed.

Budget Activity Line Item: 30.09.02 - Tribal Transit Operating

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment #1

These funds will be used for continued support of the MPTN's operating activities (net fare revenues) for FY23. The amendment includes an additional \$94,628 in FY20 Formula Tribal Transit funds to support payroll for the Mobility Manager, driver salaries and fuel cost. The total new budget for FY22 and FY23 operating and payroll is \$357,045.

****Original Grant****

The funds will be used to operate service that will provide tribal members with transportation options that presently are not available paying for Mobility Manager and Driver salaries in addition to fuel. Local transit company does not come on the reservation. As a rural community, roads leading to the reservation are not constructed to add bus stops that are safe for both rider and equipment. No shoulders or sidewalks are available for patrons to stand and wait. This project will improve the mobility of our community and offer access to important services within our community.

FY22

Payroll - \$118,652

Other Operating - \$63,000

Costs are broken down in the attached budget.

Total:\$181,652

FY23

Payroll - \$80,765

Costs are estimated in the attached budget.

Total: \$262,417

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$357,045
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$357,045

Milestone Name	Est. Completion Date	Description
FY22 Operating Start Date	10/1/2021	Funds will be used for operating costs in FY22
FY22 Operating End Date	9/30/2022	Operating funds expended for FY22 costs
FY23 Operating Start Date	10/1/2022	Remaining funds used the beginning of FY23
FY23 Operating End Date	9/30/2023	All Operating Costs in this grant expended by the end of fiscal year 2023.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.02	REHAB/RENOVATE - MAINTENANCE FACILITY	0	\$4,873.00	\$4,873.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	Tribal Transit Operating	0	\$357,045.00	\$357,045.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Burns

Comment Type DOL Review for Information

Date 9/20/2022

The Mashantucket Pequot Tribal Nation (MPTN) is requesting an additional \$94,628 of FY20 Tribal Transit Formula funds from the Connecticut Statewide Urbanized Area. The FY20 Public Transportation on Indian Reservations apportionment table is attached.

Purpose: The MPTN Tribe will utilize this award for operating assistance for transit services throughout the reservation.

Activities to be Performed: Funds will be used to cover additional operating expenses for MPTN's fiscal year 2023. Operating assistance will support salaries for a Mobility Manager, driver salaries, fringe benefits and fuel.

Comment

Expected Outcomes: Funding will permit the MPTN to continue with operating transit services on the Mashantucket Pequot Tribal Reservation.

Intended Beneficiaries: Include staff, residents and visitors at the Mashantucket Pequot Tribal reservation. The service is especially beneficial for the elderly Tribal members who need to reach medical appointments at the Tribal Health Services clinic or need to reach their jobs at the Grand Pequot Tower.

Subrecipient Activities: None