

Application

Federal Award Identification Number (FAIN)	AZ-2022-040-00
Temporary Application Number	7292-2022-3
Application Name	Hopi Tribe FY 2020 5311 Tribal Transit Formula - Capital Bus Purchase & Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: HOPI TRIBE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7292	Indian Tribe	Hopi Tribe	CXTLBKAXP5Y7	116136961

Location Type	Address	City	State	Zip
Headquarters	1 MAIN ST	KYKOTSMOVI VILLAGE	AZ	86039
Physical Address	1 MAIN ST	KYKOTSMOVI VILLAGE	AZ	86039
Mailing Address	P. O. BOX 123	KYKOTSMOVI	AZ	86039

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hopi Tribe FY 2020 5311 Tribal Transit Formula - Capital Bus

Purchase & Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2022-040-00	Final Concurrence / Reservation	Grant	8/31/2022	8/31/2022	No

Application Executive Summary

This application is being made to request FY 2020 Section 5311 Tribal Transit Formula funds appointed to the Hopi Tribe, Arizona, in the amount of \$494,487.

This is to enhance and assist funding of public transportation on the Hopi Reservation and to provide transit services to the general public. Hopi Senom Transit provides the Hopi Reservation, Navajo Nation and surrounding non-tribal areas with affordable, accessible and reliable rural transportation. Our service consists of five routes and is operated five days a week, Monday through Friday, covering a 13-14 hour service period.

Purpose

The purpose of this application is to assist with Capital and Operating funds. Our Capital purchase will be a 30-passenger bus, Operating funds will be used for an additional Transit Driver, Fuel (gasoline & diesel), Preventative Maintenance, Vehicle Tires, PPE and General Operating Expenses. Funding will be provided at a 100-percent federal share with no local match required and will be available to support operating and other expenses generally eligible under Section 5311.

Activities to be Performed

The activities to be performed include purchase of a 30-passenger bus to be put into service on our Flagstaff Route, to create and hire an additional transit vehicle operator position and for assistance with rising Operating costs.

Expected Outcomes

Improvement of Hopi Senom Transit services with the addition of a 30-passenger bus to meet the increased demand for transit service to and from Flagstaff, AZ; adding a transit vehicle operator to address our driver shortage; and assistance to meet rising operating costs. All will increase reliability of service.

Intended Beneficiaries

Intended beneficiaries will be residents of the Hopi Reservation, a remote federally-recognized Native American tribe, and the general public of surrounding areas will benefit from increased transit services for employment, education, health care, shopping, essential and recreational travel. The bus and increase in staff and funds for Operating expenses will increase the quality and frequency of service and will make our service more reliable.

Subrecipient Activities: None

The following is a breakdown of costs that will be incurred with this grant award: 32-passenger bus, \$264,004; Driver Salary & Fringe: \$41,693; Fuel (Gasoline & Diesel): \$100,000; Preventative Maintenance: \$50,000; PPE: \$3,000; Tires: \$22,000; General Operating Costs: \$13,790.

The bus to be purchased is a 2022 Hometown View 31 Freightliner 6.7L Diesel 30 passenger with two wheelchair positions, a rear wheelchair lift, USB ports at each two-passenger seats, a 12V air purifier, 3-position bike rack and on-board camera security system. This bus will not replace any bus currently in service but will expand services to Flagstaff, Arizona.

As with many transit agencies, Hopi Senom Transit has been impacted by lingering This amount will be

used for public transportation costs, operating and maintenance costs of transit vehicles, equipment and facility and enhancement of existing services.

Scope 1, Federal Funds: FY2020 5311 Tribal Transit Formula, Capital/Bus Purchase Funds from Hopi Tribe, Arizona, in the amount of \$264,004.

Local Match: \$0 Local Sales Tax (the Hopi Tribe is exempt from Local Sales Tax)

Total Project Cost: \$264,004

Scope 2, Federal Funds: FY2020 5311 Tribal Transit Formula, Operating Assistance Funds from Hopi Tribe, Arizona, in the amount of \$230,483.

Local Match: \$0 Local Sales Tax (the Hopi Tribe is exempt from Local Sales Tax)

Total Project Cost: \$230,483

Performance start date: 10/01/2022

Performance end date: 9/30/2025

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Donovan	Gomez	Transit Administrator	dgomez@hopi.nsn.us	928-734-3231

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$494,487

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$494,487

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-040-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$494,487.00	\$0.00	\$494,487.00	2
AZ-2022-040-01-00	11.13.03 BUY 30-FT BUS FOR EXPANSION	\$264,004.00	\$0.00	\$264,004.00	1
AZ-2022-040-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$230,483.00	\$0.00	\$230,483.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Hopi Tribe FY 2020 5311 Tribal Transit Formula - Capital Bus Purchase & Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2022-040-01-00	7292-2022-3-P2	9/1/2022	10/15/2022	9/30/2025

Project Description

Scope 1: FY 2020 5311 Tribal Transit Formula funds in the amount of \$264,004 will be used to purchase a 2022 Hometown View 31' Freightliner 6.7L Chassis Diesel 30 passenger with two wheelchair positions, a rear wheelchair lift, USB ports at each two-passenger seats, a 12V air purifier, 3-position bike rack, a Safety Vision 8 on-board camera security system and Hanover front and side destination signs. This bus will not replace any bus currently in service but will expand our services to Flagstaff, Arizona.

Scope 2: FY 2020 5311 Tribal Transit Formula funds in the amount of \$230,483 will be used for public transportation costs, operating and maintenance costs of transit vehicles, equipment and enhancement of

existing services. There is no Local Match and the Hopi Tribe is exempt from Local Sales Tax. Total Cost: \$230,483.

Project Benefits

Public transit services are important to the Hopi Tribe and the scope of this project is to enhance and improve public transit service at a critical time for the Hopi Tribe. The November 2019 closure of the Navajo Generating Station power plant has resulted in the loss of an estimated 85 percent of the Hopi Tribe's source of income from coal sales from the Peabody Mine causing a severe impact on services provided by the Hopi Tribe. With the size and remoteness of the Hopi Reservation, US Census data shows that 17.9% of tribal residents do not have access to an automobile, and another 38% percent of households have only one vehicle available. With 34% of the Tribe's residents living below poverty level, many who have access to automobiles have difficulty keeping them maintained and fueled.

Additional Information

Hopi Senom Transit is a rapidly growing program: from 2014 to 2019, our services have increased 535 percent as we serve residents of the Hopi Reservation, the Navajo Nation and the general public. With the Tribe now focusing on economic development to replace this lost coal mining income, Hopi Senom Transit is now more than ever a valuable resource and important part of the Tribe's economy by providing transportation to Flagstaff, Arizona, for employment, job opportunities, job training and education as well as medical services, social services and essential shopping. Even with the COVID-19 pandemic entering its third year, continued growth in services and ridership is expected.

Location Description

The Hopi Tribe is a sovereign tribal nation located in northeastern Arizona. The Hopi Reservation occupies parts of Coconino and Navajo Counties encompassing more than 1.5 million acres at an elevation of 5,800 to 6,000 feet. The Hopi Tribe is made up of 12 Villages on three mesas located in four regions referred to as First Mesa, Second Mesa and Third Mesa. The Hopi trace their history back thousands of years, making them one of the oldest living cultures in with world with some of the Hopi Villages among the oldest continuously occupied settlements in the North American continent. The reservation occupies over 2,400 square miles in east north-central Arizona, 60 miles north of Winslow in Navajo County and 90 miles northeast of Flagstaff, northern Arizona's largest city. Although the trade area population exceeds 23,000, the Hopi Reservation population of approximately 7,000 creates a small market and limited labor pool. The reservation's isolation is intensified by political and social factors since it is completely surrounded by the Navajo Reservation and most commercial functions are supplied by off-reservation communities. The remoteness and expanse of Hopitutskwa (Hopi Land) has isolated the Hopi people from the outside world and has helped preserve the culture.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: Not Provided

Description: N/A

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$494,487
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$494,487

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-040-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$494,487.00	\$0.00	\$494,487.00	2
AZ-2022-040-01-00	11.13.03 BUY 30-FT BUS FOR EXPANSION	\$264,004.00	\$0.00	\$264,004.00	1
AZ-2022-040-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$230,483.00	\$0.00	\$230,483.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.03 - BUY 30-FT BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.03	BUY 30-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

FY2020 5311 Tribal Transit Formula funds in the amount of \$264,004 will be used to purchase a 2022 Hometown View 31' Freightliner 6.7L Diesel 30 passenger with two wheelchair positions, a rear wheelchair lift, USB ports at each two-passenger seats, a 12V air purifier, 3-position bike rack and on-board camera security system. This bus will not replace any bus currently in service but will expand services to Flagstaff, Arizona.

Scope 1, Federal Funds: FY2020 5311 Tribal Transit Formula, Capital/Bus Purchase Funds from Hopi Tribe, Arizona, in the amount of \$264,004.

Local Match: \$0 Local Sales Tax (the Hopi Tribe is exempt from Local Sales Tax)

Total Project Cost: \$264,004.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$264,004
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$264,004

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/15/2022	RFP/IFB Issue Date
Contract Award Date	11/30/2022	Contract Award Date
Initial Delivery Date	1/30/2023	Initial Delivery Date
Final Delivery Date	4/30/2023	Final Delivery Date
Contract Completion Date	6/30/2023	Contract Completion Date

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

FY 2020 5311 Tribal Transit Formula, Operating Assistance funds in the amount of \$230,483 will be used for public transportation costs, operating and maintenance costs of transit vehicles, equipment and enhancement of existing services.

Scope 2, Federal Funds: FY2020 5311 Tribal Transit Formula, Operating Assistance Funds from Hopi Tribe, Arizona, in the amount of \$230,483.

Local Match: \$0 Local Sales Tax (the Hopi Tribe is exempt from Local Sales Tax)

Total Project Cost: \$230,483

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$230,483
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$230,483

Milestone Name	Est. Completion Date	Description
Start Date	11/1/2022	Start Date
End Date	9/30/2025	End Date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
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Class IIc CE Approved

7/1/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.13.03	BUY 30-FT BUS FOR EXPANSION	1	\$264,004.00	\$264,004.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved	7/1/2022
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$230,483.00	\$230,483.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type	DOL Review for Information
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Date	9/9/2022
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Comment	Department of Labor (DOL) certification is not required. It is for information purposes—as with regular Section 5311 Program applications.
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