

Application

Federal Award Identification Number (FAIN)	TN-2022-019-00
Temporary Application Number	1007-2022-1
Application Name	FFY2022 5311 Rural
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2029
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, TENNESSEE DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1007	State Agency	TENNESSEE DEPARTMENT OF TRANSPORTATION	ML56NPH3NFF9	878297712

Location Type	Address	City	State	Zip
Headquarters	600 CHARLOTTE AVE	NASHVILLE	TN	372439034
Physical Address	505 DEADRICK ST STE 700	NASHVILLE	TN	37243
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY2022 5311 Rural

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
TN-2022-019-00	Final Concurrence / Reservation	Grant	3/30/2022	3/30/2022	No

Application Executive Summary

Award Executive Summary

This is a FFY2022 Section 5311 application in the federal amount of \$26,607,602, including Section 5311, Rural Technical Assistance Program, and Appalachian Development Public Transportation Assistance Program funds. This grant is a partial obligation of the FFY2022 Apportionment, which totals a federal amount of \$28,426,420. Of the \$26,607,602 obligated in this grant, Tennessee included the full Appalachian apportionment amount of \$1,457,357 and \$110,000 of the RTAP funds. Of the remaining funds, \$1,398,388 of State Administration and \$331,630 of RTAP funds will be obligated by TDOT in a separate, future grant. \$88,800 in 5311 will be obligated to this grant via amendment after TDOT and the subrecipient complete the NEPA work with FTA.

TDOT will not obligate any of the FFY2022 apportionment to the 5311(f) Intercity Bus program. Following the comprehensive intercity bus consultation completed in June 2021, the attached Governor's Certification Letter notified FTA that intercity bus needs are being adequately met.

Five Elements:

Purpose: The purpose of this award is to provide the state of Tennessee and its subrecipients operating assistance, eligible capital projects, and for the rural transit assistance program (RTAP) to assist the state and its subrecipients in providing public transportation in the rural and non-urbanized areas of Tennessee.

Activities to be Performed: Funds will be used to cover eligible operating expenses for rural and non-urbanized public transportation. Funds will also be used to cover eligible capital expenses such as the purchase of passenger vehicles, support equipment, preventative maintenance, and mobility management programs. Funds will also be used for training and technical assistance.

Expected Outcomes: Continued safe and effective operation of transit services for rural areas of Tennessee, including Appalachian areas.

Intended Beneficiaries: State transit agencies and citizens in the rural and non-urbanized areas of the state.

Subrecipient Activities: Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, Operating, and RTAP.

This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT used the existing performance-based formula for the 5311 FFY2022 allocation. Factors for the formula include the 2010 Census non-urbanized population, public ridership, and public revenue miles.

The Operational Expenses requested in this application were determined by subrecipient budgeting processes. TDOT and its subrecipients understand that the expenses requested in this application are subject to review for their reasonableness as part of TDOT's State Management Review

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The FFY2022 5311 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, Operating, and RTAP.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Kaitlyn	McClanahan	Transit Manager	kaitlyn.mcclanahan@tn.gov	615-532-5835

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$26,607,602
Local			\$10,275,996
Local/In-Kind			\$0
State			\$10,275,996
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$47,159,594

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2022-019-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$388,317.00	\$97,080.00	\$485,397.00	1
TN-2022-019-01-00	11.12.01 BUY REPLACEMENT 40-FT BUS	\$388,317.00	\$97,080.00	\$485,397.00	1
TN-2022-019-01-00	116-00 (116-A2) SIGNAL & COMM EQUIPMENT (BUS)	\$287,895.00	\$71,974.00	\$359,869.00	0
TN-2022-019-01-00	11.62.20 PURCHASE MISC COMMUNICATIONS EQUIP	\$287,895.00	\$71,974.00	\$359,869.00	0
TN-2022-019-01-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$1,168,914.00	\$292,228.00	\$1,461,142.00	0
TN-2022-019-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$1,043,714.00	\$260,928.00	\$1,304,642.00	0
TN-2022-019-01-00	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$125,200.00	\$31,300.00	\$156,500.00	0
TN-2022-019-01-00	300-00 (300-A4) OPERATING ASSISTANCE	\$17,118,976.00	\$17,118,968.00	\$34,237,944.00	0
TN-	30.09.01 UP TO 50%	\$17,118,976.00	\$17,118,968.00	\$34,237,944.00	0

FEDERAL SHARE						
TN-2022-019-01-00	435-00	RURAL TRANSIT ASST PROGRAM	\$110,000.00	\$0.00	\$110,000.00	0
TN-2022-019-01-00	43.50.01	TRAINING	\$110,000.00	\$0.00	\$110,000.00	0
TN-2022-019-01-00	620-00 (620-A6)	PROJECT ADMINISTRATION	\$6,076,143.00	\$1,519,038.00	\$7,595,181.00	0
TN-2022-019-01-00	11.79.00	PROJECT ADMINISTRATION	\$6,076,143.00	\$1,519,038.00	\$7,595,181.00	0
TN-2022-019-01-00	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,457,357.00	\$1,452,704.00	\$2,910,061.00	0
TN-2022-019-01-00	11.12.01	BUY REPLACEMENT 40-FT BUS	\$6,201.00	\$1,550.00	\$7,751.00	0
TN-2022-019-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,451,156.00	\$1,451,154.00	\$2,902,310.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY2022 5311 Rural

Project Number	Temporary Project Number	Date Created	Start Date	End Date
TN-2022-019-01-00	1007-2022-1-P1	5/31/2022	7/1/2021	12/31/2023

Project Description

This application represents a partial obligation of the FFY2022 5311 Apportionment. Of the \$28,426,420 apportioned to Tennessee's Section 5311 Program, \$26,607,602 will be obligated in this application. This project includes federal and state funding in support of public transportation Project Administration, Operating, Capital, and RTAP.

Project Benefits

The project will benefit a total of eleven (11) rural public transit agencies across the state of Tennessee

Additional Information

None provided.

Location Description

The eleven (11) rural transit agencies supported by this project provide public transportation in all 95 counties of the state.

Project Location (Urbanized Areas)

UZA Code	Area Name
470000	Tennessee

Congressional District Information

State	District	Representative
Tennessee	1	Diana Harshbarger
Tennessee	3	Charles J Fleischmann
Tennessee	4	Scott DesJarlais
Tennessee	5	Jim Cooper
Tennessee	9	Steve Cohen
Tennessee	2	Tim Burchett
Tennessee	6	John Rose
Tennessee	7	Mark Green
Tennessee	8	David Kustoff

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$26,607,602
Local			\$10,275,996
Local/In-Kind			\$0

State		\$10,275,996
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$47,159,594

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2022-019-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$388,317.00	\$97,080.00	\$485,397.00	1
TN-2022-019-01-00	11.12.01 BUY REPLACEMENT 40-FT BUS	\$388,317.00	\$97,080.00	\$485,397.00	1
TN-2022-019-01-00	116-00 (116-A2) SIGNAL & COMM EQUIPMENT (BUS)	\$287,895.00	\$71,974.00	\$359,869.00	0
TN-2022-019-01-00	11.62.20 PURCHASE MISC COMMUNICATIONS EQUIP	\$287,895.00	\$71,974.00	\$359,869.00	0
TN-2022-019-01-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$1,168,914.00	\$292,228.00	\$1,461,142.00	0
TN-2022-019-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$1,043,714.00	\$260,928.00	\$1,304,642.00	0
TN-2022-019-01-00	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$125,200.00	\$31,300.00	\$156,500.00	0
TN-2022-019-01-00	300-00 (300-A4) OPERATING ASSISTANCE	\$17,118,976.00	\$17,118,968.00	\$34,237,944.00	0
TN-2022-019-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$17,118,976.00	\$17,118,968.00	\$34,237,944.00	0

TN-2022-019-01-00	435-00 (435-A5)	RURAL TRANSIT ASST PROGRAM	\$110,000.00	\$0.00	\$110,000.00	0
TN-2022-019-01-00	43.50.01	TRAINING	\$110,000.00	\$0.00	\$110,000.00	0
TN-2022-019-01-00	620-00 (620-A6)	PROJECT ADMINISTRATION	\$6,076,143.00	\$1,519,038.00	\$7,595,181.00	0
TN-2022-019-01-00	11.79.00	PROJECT ADMINISTRATION	\$6,076,143.00	\$1,519,038.00	\$7,595,181.00	0
TN-2022-019-01-00	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,457,357.00	\$1,452,704.00	\$2,910,061.00	0
TN-2022-019-01-00	11.12.01	BUY REPLACEMENT 40-FT BUS	\$6,201.00	\$1,550.00	\$7,751.00	0
TN-2022-019-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,451,156.00	\$1,451,154.00	\$2,902,310.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Pigeon Forge Mass Transit will purchase a replacement 40FT rubber-tire replica trolley. 2% of the vehicle will be paid from the Appalachian ALI and 98% will be paid from the 5311 Capital ALI. Both ALIs will utilize the 80/10/10 match level. Useful life will be 7 years or 150,000 miles.

Replacement vehicle 2009 Freightliner Replica Trolley, VIN 4UZAB0DT59CAK2735, 143,475 miles

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance

Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	40FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$388,317
Local			\$48,540
Local/In-Kind			\$0
State			\$48,540
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$485,397

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2021	RFP released for purchase of multiple vehicles
Contract Award Date	9/30/2021	Vehicle contract awarded to Hometown Trolley
Purchase Order	10/1/2022	Issue Purchase Order
Vehicle Delivery Date	10/30/2023	Vehicle Delivered
Contract Completion Date	12/31/2023	Contract complete.

Budget Activity Line Item: 11.62.20 - PURCHASE MISC COMMUNICATIONS EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	AQUISITION	0

Extended Budget Description

First Tennessee Human Resource Agency will purchase radios and miscellaneous communications equipment. Subrecipient will purchase the equipment through an FTA-compliant procurement process.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$287,895

Local		\$35,987
Local/In-Kind		\$0
State		\$35,987
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$359,869

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2022	Agency will submit procurement documentation to TDOT for review & approval
Contract Complete	6/30/2023	All equipment received and contract complete.
Purchase Order	10/31/2023	Agency will purchase equipment

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Funds will be used by grantees for eligible Preventive Maintenance activities in State Fiscal Year 2023 (July 1, 2022 - June 30, 2023). Preventive Maintenance activities include activities, supplies, materials, labor, services, and associated costs for the maintenance of transit vehicles and equipment. Grantees do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,043,714
Local			\$130,464
Local/In-Kind			\$0
State			\$130,464
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,304,642

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Preventive Maintenance activities begin
End Date	6/30/2023	Preventive Maintenance activities complete

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Upper Cumberland Human Resources Agency (UCHRA) will utilize funds for Mobility Management activities, including salary, benefits, and administrative expenses for mobility managers.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$125,200
Local			\$15,650
Local/In-Kind			\$0
State			\$15,650
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$156,500

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Mobility Management activities begin
End Date	6/30/2023	Mobility Management activities complete.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating funds for 10 rural public agencies (not Pigeon Forge Mass Transit) for the period of July 1, 2022 through June 30, 2023. Funds will be used by grantees for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone,

occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,118,976
Local			\$8,559,484
Local/In-Kind			\$0
State			\$8,559,484
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$34,237,944

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Operating Assistance activities begin
End Date	6/30/2023	Operating Assistance activities complete.

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Funds to be used by all grantees of the program for receiving and/or accessing training or technical assistance, including travel related to conference, meeting, & training attendance, registration for in-person training, and training materials.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$110,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$110,000
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	RTAP activities begin
End Date	6/30/2023	RTAP activities complete.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Funds will be used by grantees for salary, wages, benefits, and payroll taxes for administrative staff, administrative leave for administrative staff, travel and training, professional fees, licenses and insurance, administrative expenses, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, advertising, other direct costs, indirect costs, and administrative expenses including incidental costs associated with operating and maintaining administrative premises.

Grantees with sales tax exemptions will attempt to remove all sales tax from reimbursement requests; however, the reimbursement of sales tax when exempted is allowed.

Grantees will attempt to remove all late fees from reimbursement requests; however, the reimbursement of late fees is allowed.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,076,143
Local			\$759,519
Local/In-Kind			\$0
State			\$759,519
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,595,181

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Project Administration activities begin
End Date	6/30/2023	Project Administration activities end.

Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648- 00)	11.12.01	BUY REPLACEMENT 40- FT BUS	BUY REPLACEMENTS - BUS ROLLING STOCK APPALACHIAN	0

Extended Budget Description

Pigeon Forge Mass Transit will purchase a replacement 40FT rubber-tire replica trolley. 2% of the vehicle will be paid from the Appalachian ALI and 98% will be paid from the 5311 Capital ALI. Both ALIs will utilize the 80/10/10 match level. Useful life will be 7 years or 150,000 miles.

Replacement vehicle 2009 Freightliner Replica Trolley, VIN 4UZAB0DT59CAK2735, 143,475 miles

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,201
Local			\$775
Local/In-Kind			\$0
State			\$775
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,751

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2021	RFP released for purchase of multiple vehicles
Contract Award Date	9/30/2021	Vehicle contract awarded to Hometown Trolley
Purchase Order	10/1/2022	Issue Purchase Order
Vehicle Delivery Date	10/30/2023	Vehicle Delivered
Contract Completion Date	12/31/2023	Contract Complete.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

Extended Budget Description

Operating funds to be used by six (6) Appalachian area grantees from 7/1/2022 through 6/30/2023. Funds will be used for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,451,156
Local			\$725,577
Local/In-Kind			\$0
State			\$725,577
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,902,310

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Operating activities begin
End Date	6/30/2023	Operating activities complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-

site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	1	\$388,317.00	\$485,397.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	0	\$287,895.00	\$359,869.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$1,043,714.00	\$1,304,642.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$125,200.00	\$156,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$17,118,976.00	\$34,237,944.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description

Date

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	0	\$110,000.00	\$110,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description

Date

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$6,076,143.00	\$7,595,181.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	0	\$6,201.00	\$7,751.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA Amount	Total Eligible
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	Number			Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,451,156.00 \$2,902,310.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Sherman

Comment Type DOL Review for Information

Date 7/29/2022

Comment Sent to DOL 7/29/2022

Application Review Comments

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 6/13/2022

Comment

1. For ALI 11.44.02 (Rehab/Renovate maintenance facility), once the DCE checklist is completed and the FTA EPS provides their concurrence that NEPA is completed, please upload the email into the application documents in TrAMS.
2. For ALI 11.44.02 (Rehab/Renovate maintenance facility), please include the address in the EBD to the transit facility adjacent to the parking lot where the resurfacing and storm water retention pond will be constructed.
3. For ALI 11.7A.00 (PM), please include the start and end dates in the EBD which correspond to the milestone.
4. For ALI 11.7A.00 (PM), please provide any additional details of some PM activities in the EBD narrative.
5. For ALI 43.50.01 (RTAP), please provide any additional details for the training/ technical assistance if possible in the EBD.
6. For any Operating Assistance and Preventative Maintenance activities in the same application, they must be in separate projects. Please revise the application to move either OA or PM out into a separate project.

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 7/20/2022

Comment Regarding the required 15% portion for Intercity Bus, please include the following in the

Executive Summary: 1) details from the letter to for the 15% intercity bus expenses are not required and 2) reference the Governor's Certification letter itself that it is attached to the application.