

## Application

<b>Federal Award Identification Number (FAIN)</b>	ND-2022-012-00
<b>Temporary Application Number</b>	5842-2022-2
<b>Application Name</b>	FFY 2020 5311 Tribal Transit Formula Funds - Operating Assistance and Preventive Maintenance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: SPIRIT LAKE TRIBE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
5842	Indian Tribe	SPIRIT LAKE TRIBE OF FORT TOTTEN	SZYEAFV7UUKK9	060487915

Location Type	Address	City	State	Zip
Headquarters	816 3RD AVE N	FORT TOTTEN	ND	58335
Physical Address	816 3RD AVE N	FORT TOTTEN	ND	58335
Mailing Address	PO BOX 359	FORT TOTTEN	ND	58335

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<p><b>Title: FFY 2020 5311 Tribal Transit Formula Funds - Operating Assistance and Preventive Maintenance</b></p>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
ND-2022-012-00	Final Concurrence / Reservation	Grant	5/24/2022	5/24/2022	No

**Application Executive Summary**

This application is to request FFY 2020 Section 5311 TTP Funds apportioned to Spirit Lake Tribe (North Dakota, UZA 380000), in the amount of \$410,392 (full apportionment), representing 100% federal share of the total eligible amount. Funds are lapsing. Pre-Award has been selected. Spirit Lake estimates expenses of approximately \$25,000 for the period beginning July 1, 2022, through the execution of this award.

**Purpose:**

FFY 2020 5311 TTP Formula Funds are to support transit services on the Spirit Lake Reservation and surrounding areas. This grant will be used to support extended evening and weekend transit services, pay for transit employee salaries and preventive maintenance of vehicles and equipment.

The application scope of work includes the following projects in support of the Spirit Lake Tribal Transit Program.

- 1) Operating Assistance - \$399,790
- 2) Preventive Maintenance (vehicles and equipment) \$10,602

**Activities to be performed:**

Funding will be used for operating and preventive maintenance expenses commencing July 1, 2022, through June 30, 2023, for the Spirit Lake Public Transit Program.

**Expected Outcomes:**

Funding will assist Spirit Lake to continue operating their Tribal Transit program and provide necessary transportation to the reservation communities.

**Intended Beneficiaries:**

The tribal transit program provides needed transportation services for public, elderly and individuals with disabilities for shopping, employment, medical, education, and social appointments on and near the Spirit Lake Reservation.

Subrecipient Activities: NA

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Indirect Cost Approval letter is attached. Current letter covers 10/01/2021 through 09/30/2022 with a Fixed Carryover Rate Type. Updated letter will be added to recipient documents once received. Approved rate is 24.08% Base A and the estimated indirect costs is \$70,321.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
	terry.gonzales@dot.gov	Administrative Officer		
	jolene1@gondtc.com	Director		

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$410,392
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$410,392</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2022-012-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$10,602.00	\$0.00	\$10,602.00	0
ND-2022-012-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$10,602.00	\$0.00	\$10,602.00	0
ND-2022-012-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$399,790.00	\$0.00	\$399,790.00	0
ND-2022-012-02-00	30.09.01 Operating - 100%	\$399,790.00	\$0.00	\$399,790.00	0

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## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Spirit Lake Tribe 5311 - Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2022-012-01-00	5842-2022-2-P1	6/6/2022	7/1/2022	6/30/2023

#### Project Description

FFY 2020 5311 Tribal Formula Funds in the amount of \$10,602 will be used for preventive maintenance assistance of vehicles and equipment for the Spirit Lake Public Transportation Program. Federal Share is 100%.

The FFY 2020 5311 Tribal Funds will be used for preventive maintenance beginning on July 1, 2022, through June 30, 2023, for the Spirit Lake Public Transit Program.

Spirit Lake Public Transit does not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included after award (actual value and useful life).

The Spirit Lake Public Transportation currently operates a Demand Response and a Dial a Ride service seven (7) days a week, 6:00 am to 12:30 am, Sunday through Saturday. Transportation is provided by the means of 5 buses at the fare rate of \$1.00 per ride. The Spirit Lake Reservation encompasses all of Benson County and the communities of Fort Totten, Crow Hill, St. Michaels, and Tokio. Transportation services are provided to these communities and the off-reservation community of Devils Lake.

#### Project Benefits

The funds are essential for the Spirit Lake Public Transportation Program to continue to operate transit services. The tribal transit program provides needed transportation services for the general public, elderly, and individuals with disabilities for shopping, employment, medical and social appointments on and near the reservation.

#### Additional Information

*None provided.*

#### Location Description

The Spirit Lake Reservation is located in North Dakota and encompasses all of Benson County and the communities of Fort Totten, St. Michaels, Tokio and Crow Hill.

## Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

## Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$10,602
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,602</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2022-012-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$10,602.00	\$0.00	\$10,602.00	0
ND-2022-012-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$10,602.00	\$0.00	\$10,602.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

FFY 2020 5311 TTP Formula Funds of \$10,602 will be used for preventive maintenance expenses for the Spirit Lake Tribal Transit Program, to include small capital purchases for preventive maintenance and repairs to vehicles and equipment. Timeframe for PM expenses is from July 1, 2022, to June 30, 2023. Pre-Award has been selected to cover the period beginning July 1, 2022, through the execution of this award to pay for preventive maintenance expenses.

Spirit Lake Public Transit does not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included after award (actual value and useful life).

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$10,602
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,602</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Start of Preventive Maintenance Expenses
End Date	6/30/2023	End of Preventive Maintenance Expenses

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/1/2022

## Project Title: Spirit Lake FY 2020 5311 Tribal Funds - Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2022-012-02-00	5842-2022-2-P2	6/6/2022	7/1/2022	6/30/2023

### Project Description

FFY 2020 5311 Tribal Formula Funds in the amount \$399,790 will be used for operating assistance for the Spirit Lake Public Transit Program. Federal Share 100%.

The Tribal 5311 Tribal Funds will be used for operating expenses commencing July 1, 2022, through June 30, 2023, for the Spirit Lake Public Transit Program.

The Spirit Lake Public Transportation currently operates a Demand Response and a Dial a Ride service seven (7) days a week 6:00 am to 12:30 am., Sunday through Saturday. Transportation is provided by the means of 5 buses at the fare rate of \$1.00 per ride. The Spirit Lake Reservation encompasses all of Benson County and the communities of Fort Totten, Crow Hill, St. Michaels and Tokio. Transportation services are provided to these communities and the off-reservation community of Devils Lake.

### Project Benefits

The funds are essential for the Spirit Lake Public Transportation Program to continue to operate transit services. The tribal transit program provides needed transportation services for the general public, elderly, and individuals with disabilities for shopping, employment, medical and social appointments on and near the Spirit Lake Reservation.

### Additional Information

*None provided.*

### Location Description

The Spirit Lake Reservation is located in North Dakota and encompasses all of Benson County and the communities of Fort Totten, St. Michaels, Tokio and Crow Hill.

## Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

## Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$399,790
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$399,790</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2022-012-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$399,790.00	\$0.00	\$399,790.00	0
ND-2022-012-02-00	30.09.01 Operating - 100% FEDERAL SHARE	\$399,790.00	\$0.00	\$399,790.00	0

## Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - Operating - 100% FEDERAL SHARE



Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

FFY 2020 5311 Tribal Formula Funds will be used to provide operating assistance for the continued operations of the Tribal Transit Program. Federal Share is 100%. Pre-Award has been selected to cover the period beginning July 1, 2022, through the execution of this award to pay for operating expenses.

Operating funds will be from July 1, 2022, through June 30, 2023, for the amount of \$399,790, to include drivers' salaries and fringe benefits fuel, oil, dispatcher salaries and fringe benefits and licenses. Operating expenses are based on an approved fiscal year operating budget and monthly expenditure reports are provided from the tribal finance office showing actual expenditures that were incurred to operate the tribal transit program

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$399,790
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$399,790</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Start of Operating Expenses
End Date	6/30/2023	End of Operating Expenses

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	6/7/2022

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Terry Gonzales

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Comment Type DOL Review for Information

Date 7/11/2022

Comment Agency Contact: Jolene Crosswhite  
Email: jolene1@gondtc.com  
Phone: 701-766-1200

### Application Review Comments

**Comment By** Terry Gonzales

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Comment Type Pre-Award Manager Returns Application

Date 6/28/2022

Comment Update ALI from 30.09.08 to 30.09.01 Operating Assistance up to 50% Federal Share. Change Custom Line Item name to reflect 100% Federal Share. Scope remains at 600-00 Other Program Costs.

### Application Review Comments

**Comment By** Robyn Kullas

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Comment Type Environmental Findings

Date 7/1/2022

Project Title Spirit Lake Tribe 5311 - Preventive Maintenance

Project Number ND-2022-012-01-00

Comment Project meets requirements of CE List C type 7; no additional documentation is required for NEPA approval.

