

Application

Federal Award Identification Number (FAIN)	AZ-2022-018-00
Temporary Application Number	7252-2022-3
Application Name	Salt River Pima-Maricopa Indian Community FY2020 5311 Tribal Formula Van Purchase and Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Salt River Pima-Maricopa Indian Community Educational SE, The

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7252	Indian Tribe	Salt River Pima-Maricopa Indian Community Educational SE	CMTGGNVGKE65	108588716

Location Type	Address	City	State	Zip
Headquarters	10005 E OSBORN RD	SCOTTSDALE	AZ	85256
Physical Address	10005 E OSBORN RD	SCOTTSDALE	AZ	85256
Mailing Address	10005 E. OSBORN RD	SCOTTSDALE	AZ	85256

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Salt River Pima-Maricopa Indian Community FY2020 5311 Tribal Formula Van Purchase and Operating Assistance
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2022-018-00	Final Concurrence / Reservation	Grant	6/22/2022	6/22/2022	No

Application Executive Summary

This application is to request FY2020 Section 5311 Tribal Formula funds apportioned to the Salt River Pima-Maricopa Indian Community, Arizona in the amount of \$196,867.

Federal Funds: FY 2020 5311 Tribal Formula Operating Assistance from the Salt River Pima-Maricopa Indian Community, Arizona in the amount of \$111,867.

Local Match: \$0 Local Match

Total Project Cost: \$111,867

Federal Funds: FY 2020 5311 Tribal Formula capital funds for the Purchase of a Vehicle for the Salt River Pima-Maricopa Indian Community, Arizona in the amount of \$85,000.

Local Match: \$0 Local Match

Total Project Cost: \$85,000

Purpose

The purpose of this award is to provide operating assistance funds for the period of July 1, 2022 through July 1, 2025. The funds will also be used to purchase a replacement vehicle for a vehicle that has reached the end of its useful life.

Activities

The award will cover operating expenses related to the provision of public transit services such as salaries, fuel, supplies, etc. Additionally, SRPMIC will purchase a replacement vehicle for a vehicle that has reached the end of its useful life.

Expected Outcomes

This funding will allow for the continued operation of public transit services within the Tribal Community.

Intended Beneficiaries

The intended beneficiaries are the SRPMIC Community Members and general public transit riders who travel within the Salt River Transit service area.

Subrecipient Activities

None.

SRPMIC will also purchase a new replacement van to replace a van - vehicle #20-0237 VIN #1FBZX2CM4FKA63469, a frontline 2015 Ford Transit van with a current mileage of 147,968 - that will have reached the end of its useful life cycle. The van will be a 2024 gasoline powered Transit Works - 8 passenger van equipped with a wheelchair lift. The van will be equipped with a two-way radio, GPS system, RouteMatch dispatch system equipment, and vinyl barrier to prevent the spread of coronavirus. Once the new vehicle is placed into revenue service, the vehicle that is being replaced will be disposed of through auction.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A***Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Sal	La Puma	Transit Manager	salvatore.lapumaiii@srpmic-nsn.gov	(480) 362-5995

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$196,867
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$196,867

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$196,867.00	\$0.00	\$196,867.00	2
AZ-2022-018-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$85,000.00	\$0.00	\$85,000.00	1
AZ-2022-018-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$111,867.00	\$0.00	\$111,867.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY2020 5311 Tribal Formula Van Purchase and Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2022-018-01-00	7252-2022-3-P1	6/22/2022	7/1/2022	7/1/2025

Project Description

The Salt River Pima-Maricopa Indian Community (SRPMIC) will utilize \$196,867 in lapsing FTA Tribal Transit Program (TTP) formula funds for operating expenses to support the existing tribal transit service. SRPMIC will also purchase a new replacement van to replace a van - vehicle #20-0237 VIN #1FBZX2CM4FKA63469, a frontline 2015 Ford Transit van with a current mileage of 147,968 - that will have reached the end of its useful life cycle. The van will be a 2024 gasoline powered Transit Works - 8 passenger van equipped with a wheelchair lift. The van will be equipped with a two-way radio, GPS system, RouteMatch dispatch system equipment, and vinyl barrier to prevent the spread of coronavirus.

Project Benefits

The SRPMIC's Salt River Transit service currently operates within the SRPMIC Community in Scottsdale, Arizona. The service operates five days per week, twelve hours per day. The transit system originates in SRPMIC and provides service to the neighboring communities of Scottsdale, Mesa and Tempe. The SRPMIC transit operation consists of ten (10) vehicles, 12 employees.

Additional Information

The Salt River Pima-Maricopa Indian Community is comprised of 52,600 acres and is adjacent to the Phoenix metropolitan area in central Arizona, located within Maricopa County. SRPMIC shares a common boundary with the cities of Mesa, Tempe, Scottsdale, the Town of Fountain Hills and the Fort McDowell Yavapai Nation. SRPMIC has a commercial development corridor along the Community's western boundary, which has two major commuter freeways which travel through the Community.

Location Description

The Salt River Pima-Maricopa Indian Community is comprised of 52,600 acres and is adjacent to the Phoenix metropolitan area in central Arizona, located within Maricopa County. SRPMIC shares a common boundary with the cities of Mesa, Tempe, Scottsdale, the Town of Fountain Hills and the Fort McDowell Yavapai Nation. SRPMIC has a commercial development corridor along the Community's western

boundary, which has two major commuter freeways which travel through the Community.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	6	David Schweikert

Program Plan Information

STIP/TIP

Date: 5/1/2019

Description: SRPMIC TTIP

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 5/1/2020

Description: SRPMIC Long Range Plan

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$196,867
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$196,867

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA	Total Eligible	Quantity
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			Amount	Amount		
AZ-2022-018-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$196,867.00	\$0.00	\$196,867.00	2
AZ-2022-018-01-00		11.12.04 BUY REPLACEMENT <30 FT BUS	\$85,000.00	\$0.00	\$85,000.00	1
AZ-2022-018-01-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$111,867.00	\$0.00	\$111,867.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

This application is to request FY2020 Section 5311 Tribal Formula funds apportioned to the Salt River Pima-Maricopa Indian Community, Arizona in the amount of \$196,867.

SRPMIC will utilize \$111,867 in lapsing FTA Tribal Transit Program formula funds for operating expenses, equipment and supplies to support existing transit services.

Federal Funds: FY 2020 5311 Tribal Formula Operating Assistance from the Salt River Pima-Maricopa Indian Community, Arizona in the amount of \$111,867.

Local Match: \$0 Local Match

Total Project Cost: \$111,867

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$111,867
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$111,867
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	SRPMIC will begin expending funds for the purpose for which they were intended.
End Date	7/1/2025	It is anticipated that all activities will be completed and funds expended by 7/1/2025. All milestone activities will be updated on a regular basis using the milestone activity report.

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This application is to request FY2020 Section 5311 Tribal Formula funds apportioned to the Salt River Pima-Maricopa Indian Community, Arizona in the amount of \$196,867.

SRPMIC will purchase a new replacement van to replace vehicle #20-0237 VIN #1FBZX2CM4FKA63469, a frontline 2015 Ford Transit van with a current mileage of 147,968 - that will have reached the end of its useful life cycle. The replacement van will be a 2024 gasoline powered Transit Works - 8 passenger van equipped with a wheelchair lift. The van will be equipped with a two-way radio, GPS system, RouteMatch dispatch system equipment, and vinyl barrier to prevent the spread of coronavirus.

Federal Funds: FY 2020 5311 Tribal Formula Capital Funds for the Purchase of a Vehicle for the Salt River Pima-Maricopa Indian Community, Arizona in the amount of \$85,000.

Local Match: \$0 Local Match

Total Project Cost: \$85,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$85,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$85,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/1/2024	SRPMIC proposes to utilize the Arizona State Procurement Preferred Vendor for vehicle purchase to select and purchase an 8-passenger van, therefore an RFP/IFB process is not applicable.
Contract Award Date	4/1/2024	SRPMIC proposes to utilize the Arizona State Procurement Preferred Vendor for vehicle purchase to select and purchase an 8-passenger van. The State of Arizona already has a contract in place that can be utilized by other entities for purchase as the vendor's have already completed an RFP and have been through an FTA vetting process in order to be placed on the vendor list. Therefore a contract award date is not applicable.
Initial Delivery Date	5/1/2024	It is estimated that after review of the State Procurement Contract and full development of vehicle specifications an order date will be placed for between June 15th, 2024 and August 1st, 2024.
Final Delivery Date	9/30/2024	It is estimated, barring continued current delays due to circuit board and chassis supply chain issues, that SRPMIC will receive the purchased vehicle on-site by September 30, 2024.
Contract Completion Date	10/31/2024	The purchased vehicle will be incorporated into the existing Tribal Transit Program fleet to be able to provide frontline transit services.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/11/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$111,867.00	\$111,867.00
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Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	7/11/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	1	\$85,000.00	\$85,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 7/7/2022

Comment Department of Labor (DOL) certification is not required. It is for information purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date	6/23/2022
Comment	<p>1) Please change the application name to, Salt River Pima-Maricopa Indian Community, FY2020 5311 Tribal Transit Formula, Capital/Van Purchase and Operating Assistance</p> <p>2) On executive summary and extended budget descriptions (both van purchase and operating assistance), on scope/activity info, can you split the capital/van purchase and operating assistance to two separate entries?</p> <p>3) From project description, can you copy the highlighted texts (van replacement info) to executive summary?</p> <p>4) On both van purchase and operating extended budget descriptions, the 5 elements are for executive summary only, please remove.</p> <p>5) On environmental finding, please add a new finding for operating assistance, type 04 and enter the approved date</p> <p>6) On operating assistance extended budget description, the amount you indicated is incorrect, please change to \$111,867 and please provide more detail and breakdown cost of the operating expenses.</p>

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	6/27/2022
Comment	<p>1) On executive summary, under Federal fund info for purchase bus, please change from operating assistance to Capital</p> <p>2) On extended budget description for operating assistance, please delete the 5 required elements.</p> <p>3) On extended budget description for van purchase, under Federal fund info, please change from operating assistance to Capital.</p> <p>4) On environmental finding, please add a new finding for capita/van purchase, type 07 and enter the approved date</p> <p>5) On application document, please upload the support document for new van, like the pic of the van description.</p> <p>6) On application document, please upload the TAM plan (Transit Assets Management Plan)</p> <p>7) On executive summary and/or description, please indicate the plan on how you will dispose the old vehicle.</p> <p>8) Please move the Funding info for van purchase underneath the Funding info operating assistance.</p>

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	7/5/2022
Comment	<p>1) On executive summary, the 5 elements had been duplicated, can you remove and/or merge the duplication into one element? Also, on each element, you only mentioned operating assistance, please also include the capital/van purchase info as well.</p> <p>2) On operating assistance, your start and end date description are the same, please adjust. Also, we have the new rule that operating assistance will only last three years, please change the end date to 7/1/2025 (3 years).</p>

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/6/2022

Comment 1) On executive summary, the 5 elements, on each element, you only mentioned operating assistance, please also include the capital/van purchase detail/info.
2) We have the new rule that operating assistance will only last three years, please change the end date to 7/1/2025 on executive summary, performance end date and milestone estimate completion end date.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/6/2022

Comment On executive summary, the 5 elements, on each element, you only mentioned operating assistance, please also include the capital/van purchase detail/info.