

Application

Federal Award Identification Number (FAIN)	AZ-2022-015-00
Temporary Application Number	7396-2022-1
Application Name	Gila River Indian Community FFY 2020 Section 5311 Tribal Transit Formula CARES Act Grant Operating Assistance and Preventative Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: GILA RIVER INDIAN COMMUNITY

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7396	Indian Tribe	Gila River Indian Community	VEB2J5QVVNN9	074449323

Location Type	Address	City	State	Zip
Headquarters	525 W GU U KI RD	SACATON	AZ	85147
Physical Address	151 S BLUEBIRD RD	SACATON	AZ	85147
Mailing Address	P.O. BOX 97	SACATON	AZ	85147

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Gila River Indian Community FFY 2020 Section 5311 Tribal Transit Formula CARES Act Grant Operating Assistance and Preventative Maintenance
--

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2022-015-00	Final Concurrence / Reservation	Grant	5/11/2022	5/11/2022	No

Application Executive Summary

This application is to request FFY 2020 Section 5311 Tribal Transit Formula CARES funds apportioned to the Gila River Indian Community, state of Arizona, in the amount of \$210,929.

This application seeks CARES funds to prevent, prepare for, and respond to coronavirus. Gila River Indian Community has not furloughed any staff due to the COVID-19 pandemic.

Purpose

The purpose of this award is to provide operating assistance and capital/preventative maintenance in FFY 2023, the period of October 1, 2022 through September 30, 2023.

Activities to Be Performed

Funds will cover operating expenses including: transportation manager salary and fringe (approx. \$45,401); driver salaries and fringe (approx. \$112,536); fuel for transit buses (approx. \$27,552); operating supplies (such as cleaning supplies and other items used on buses) (approx. \$1,200); expenses for radio and GPS tracking software for transit buses (approx. \$4,200); and preventative maintenance (approx. \$16,335) to ensure safe transit operations. Indirect costs (\$3,428) will be applied per the most recent Indian Organization Indirect Cost Negotiation Agreement approved in April 2021 by the US Department of the Interior, Bureau of Indian Affairs, with the 10% FTA 5311 Tribal indirect cost limit applied.

Budget detail by scope item is estimated based on current staff costs with 3% annual escalations for direct labor rates. Fringe is applied based on a three-year average. Note that a Community compensation study is underway which may affect actual labor costs.

Expected Outcomes

Funding will allow the continued operation of Gila River Transit.

Intended Beneficiaries

Intended beneficiaries include residents of the Gila River Indian Community. The Gila River Indian Community, a federally-recognized tribe established in 1859, is located in south-central Arizona bordering both Maricopa and Pinal counties. With close to 21,300 enrolled members, the Community is home to two tribes, the Akimel O'Odham and Pee Posh. The reservation has a land area of 584 square miles and a 2000 Census population of 11,257. The Community includes seven districts along the Gila River and its largest communities are Sacaton, Komatke, Santan and Blackwater.

Gila River Transit operates deviated fixed route public transit service for the Gila River Indian Community. We operate four routes. Sacaton Shuttle (serves District 3) and West End Shuttle (serves Districts 6 and 7) started in 2016. The East Side Shuttle (Districts 1, 2 and 3) and the Central Shuttle (Districts 3, 4 and 5) started in April 2019.

Transit provide vital connectivity within a tribal community, which, like all reservations, has been disenfranchised and historically underserved by public investment. Gila River Transit provides connections to community destinations, such as the Governance Center, Huhukam Hospital and other

medical facilities, residential and shopping areas, library, and district service centers. Nearly all Gila River Transit riders are tribal members and many are elderly. Most have limited access to vehicles. As part of a 2020 transit needs study, onboard surveys were distributed among all four transit routes. Most who took the onboard survey use transit (72%) or walk (22%) as their main form of transportation. More than 71% of transit trips are for medical purposes; 36% are for shopping and another 27% are for recreational purposes. Nearly 43% use transit three to five days per week. Of the 67 people who responded when asked, 56 (84%) had one or fewer vehicles in their home, with an average household size of 5.6 persons. Most respondents expressed support for service that is more frequent, longer service hours and additional stops.

Subrecipient Activities

None.

Gila River Indian Community agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under the Grant Agreement provided by FEMA, another federal agency, or an insurance company, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding.

Scope 1: Operating Assistance Federal Funds, FFY 2020 Section 5311 Tribal Transit Formula CARES funds apportioned to the Gila River Indian Community, state of Arizona, in the amount of \$194,594

Local Match: no local/tribal share.

Total project cost: \$194,594

Scope 2: Capital/Preventative Maintenance Funds, FFY 2020 Section 5311 Tribal Transit Formula CARES funds apportioned to the Gila River Indian Community, state of Arizona, in the amount of \$16,335

Local Match: no local/tribal share

Total project cost: \$16,335

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Indirect costs will be applied to eligible activities. The cognizant agency, US Department of the Interior, approved the Gila River Indian Community Indirect Cost Negotiation Agreement on April 2, 2021. This document is on file with FTA. The FFY 2022 indirect cost rate is 19.41%. For this application, the base is \$176,643. Indirect Costs to be charged are $\$176,643 \times 19.41\% = \$34,286$ x 10% FTA 5311 Tribal indirect cost cap = \$3,428.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Dawn	Coomer	Transportation Manager	dawn.coomer@gric.nsn.us	(520) 562-6306

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$210,929
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$210,929

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-015-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$210,929.00	\$0.00	\$210,929.00	2
AZ-2022-015-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$16,335.00	\$0.00	\$16,335.00	1
AZ-2022-015-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$194,594.00	\$0.00	\$194,594.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Gila River Indian Community FFY 2020 Section 5311 Tribal Transit Formula CARES Act Grant – Operating Assistance and Preventative Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2022-015-01-00	7396-2022-1-P1	5/11/2022	10/1/2022	9/30/2023

Project Description

This FFY 2020 CARES Tribal Operating Assistance and Preventative Maintenance project will provide funding for Gila River Transit for the Gila River Indian Community. This application seeks CARES Act funding to prevent, prepare for, and respond to coronavirus. Funds will cover operating expenses including driver salaries and fringe, dispatch supervisor salary and fringe, fuel for transit buses, operating supplies (such as cleaning supplies and other items used on buses), monthly fees for radio and GPS tracking software for transit buses, and preventative maintenance to ensure safe transit operations. Preventative maintenance will include tires, regular oil changes and other required maintenance activities to keep fleet in safe operating condition. All preventative maintenance will be performed by an approved dealer or service shop (third party contractor).

Project Benefits

The CARES grant funds for operating assistance and capital/preventative maintenance will be used to support normal transit service costs. Gila River Transit operates deviated fixed route public transit service for the Gila River Indian Community. The Gila River Indian Community, a federally-recognized tribe established in 1859, is located in south-central Arizona bordering both Maricopa and Pinal counties.

Gila River Transit provides connections to community destinations, such as the Governance Center, Huhukam Hospital and other medical facilities, residential and shopping areas, library, and district service centers. Nearly all Gila River Transit riders are tribal members and many are elderly. Most have limited access to vehicles. Most respondents to a 2020 onboard survey use transit (72%) or walk (22%) as their main form of transportation. More than 71% of transit trips are for medical purposes; 36% are for shopping and another 27% are for recreational purposes. Nearly 43% use transit three to five days per week. Of the 67 people who responded when asked, 56 (84%) had one or fewer vehicles in their home, with an average household size of 5.6 persons. Most respondents expressed support for service that is more frequent, longer service hours and additional stops.

Additional Information

This project will result in safe and reliable public transportation services for members of the Gila River Indian Community, and provide life-sustaining access to medical facilities, residential and shopping areas, the library, and district service centers.

Location Description

The Gila River Indian Community, a federally-recognized tribe established in 1859, is located in south-central Arizona bordering both Maricopa and Pinal counties. With close to 21,300 enrolled members, the Community is home to two tribes—the Akimel O’Odham and Pee Posh. The reservation has a land area of 584 square miles and a 2000 Census population of 11,257. The Community includes seven districts along the Gila River and its largest communities are Sacaton, Komatke, Santan and Blackwater.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: Not Provided

Description: TIP/STIP exemption per CARES Act for programming of operating assistance projects

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$210,929
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$210,929

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2022-015-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$210,929.00	\$0.00	\$210,929.00	2
AZ-2022-015-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$16,335.00	\$0.00	\$16,335.00	1
AZ-2022-015-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100%	\$194,594.00	\$0.00	\$194,594.00	1

Fed Share

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
--

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

This application requests FFY 2020 Section 5311 Tribal Transit Formula CARES funds apportioned to the Gila River Indian Community, state of Arizona, in the amount of \$194,594. Funds will cover operating expenses including transportation manager salary and fringe, driver salaries and fringe, fuel for transit buses, operating supplies, and monthly charges for radio and GPS tracking software on buses.

Federal Funds: Operating Assistance FFY 2020 Section 5311 Tribal Transit Formula CARES funds from Gila River Indian Community, state of Arizona, in the amount of \$194,594

Local Match: \$0 Tribal funds

Total Project Cost: \$194,594

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$194,594
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$194,594

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	The date expenses will begin.
End Date	9/30/2023	The date by which all funds will be expended.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
-------------------	-----------	----------------	----------	----------

#				
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

This application requests FFY 2020 Section 5311 Tribal Transit Formula CARES funds apportioned to the Gila River Indian Community, state of Arizona, in the amount of \$16,335. Funds will cover preventative maintenance (PM) costs for the transit program. All PM will be performed by third party contractors.

Federal Funds: Capital/Preventative Maintenance FFY 2020 Section 5311 Tribal Transit Formula CARES funds from Gila River Indian Community, state of Arizona, in the amount of \$16,335

Local Match: \$0 Tribal funds

Total Project Cost: \$16,335

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$16,335
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$16,335

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	The date expenses will begin.
End Date	9/30/2023	The date by which all funds will be expended.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/30/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$194,594.00	\$194,594.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	12/30/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$16,335.00	\$16,335.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 7/6/2022

Comment Gila River Indian Community FFY 2020 Section 5311 Tribal Transit Formula CARES Act Grant Operating Assistance and Preventative Maintenance, Department of Labor (DOL) certification is not required. However, it must be sent for information purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/21/2022

Comment

- 1) On executive summary, can you move the whole highlighted paragraphs to the bottom?
- 2) On executive summary, on the 5 elements description, please provide the detail related to covid 19.
- 3) On indirect rate detail, please change the calculation like this, \$176,643 federal award amount x 19.41% = \$34,286 x 10% FTA 5311 Tribal Indirect Cost cap = \$3,428 total eligible indirect cost. Also, please also enter \$3,428 on apply estimate direct cost section.
- 4) On both extended description for operating assistance and capital/PM, please enter the fund/scope/activity information.
- 5) On project description, please provide the detail related to covid 19.
- 6) On recipient document, please unload the authorization resolution form

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/21/2022

Comment

- 1) Please add the word Formula on every sentence that start like this, FFY 2020 Section 5311 Tribal Transit Formula CARES Act Grant (application name, executive summary, scope/activity info and project description).
- 2) If the tribe did not furlough any staff after December 2020, on executive summary, please enter the statement to verify the tribe did not furlough staff during the pandemic.
- 3) On operating assistance activity, under extended budget description, please insert the word Operating Assistance at the beginning of the funding information. You will do the same thing with the preventative maintenance activity, extended description, please enter Capital/Preventative Maintenance as well.