

## Application

<b>Federal Award Identification Number (FAIN)</b>	SD-2020-009-01
<b>Temporary Application Number</b>	1160-2020-7
<b>Application Name</b>	SDDOT Section 5311 CARES Act Project Admin, ICB, State Admin & Operating
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	6/9/2020
<b>Original Period of Performance End Date</b>	3/31/2022
<b>Current Period of Performance End Date</b>	10/31/2023 Revision #: 2

### Part 1: Recipient Information

#### Name: Transportation, South Dakota Department Of

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1160	State Agency	South Dakota Department of Transportation	LWAMCP81LP14	809588098

Location Type	Address	City	State	Zip
Headquarters	500 E CAPITOL AVE	PIERRE	SD	575015007
Physical Address	700 E BROADWAY AVE	PIERRE	SD	57501
Mailing Address	700 E BROADWAY AVE	PIERRE	SD	57501

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: SDDOT Section 5311 CARES Act Project Admin, ICB, State Admin & Operating**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
SD-2020-009-01	Final Concurrence / Reservation	Grant	6/2/2022	6/2/2022	No

**Application Executive Summary**

Amendment 1-Deobligating some funding from ICB Operating & Administration. Will reobligate in another amendment in ICB Capital. Moved remaining funds from Project Admin to Operating. In total, this Amendment deobligates \$1,124,024, which will be reobligated in the next Amendment for revised scopes.  
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Original

**Budget Revision#4**

Moving funds from Operating Assistance to Project Administration. Adding an additional year onto the Period of Performance End date to allow for more time to draw down state admin costs.

**Budget Revision #3 9-13-2021**

Move funds from State Admin to Project Administration and Operating. Move funds additional funds from Project Administration to Operating. Updating Period of Performance by 7 months.

**Budget Revision #1 2-1-2021**

Move funds from Project Administration to Operating. See Updated POP.

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This is an FFY2020 Section 5311 CARES Act grant in the amount of \$22,495,400 full apportionment. Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19. The grant scope of work includes the following breakdown:

- \$9,285,088 Project Administration
- \$3,036,879 Intercity Bus Administration and Intercity Bus Operating (13.5% of apportionment)
- \$2,249,540 State Administration (10% of apportionment)
- \$7,295,345 Operating Assistance for rural providers

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\$21,866,852 total federal CARES Act funds in this grant

Total FTA CARES Act funds in the amount of \$22,495,400

\$22,495,400

- \$628,548 allocation of funds to Rosebud Sioux Tribe (see letter attached under application documents)

For specific details of each selected subrecipient refer to the attached Program of Projects (POP). There is no local match requirement on the CARES funds.

This grant application includes 13.5% towards Intercity Bus, this amount is less than the required minimum amount of 15%. A letter from the Governor stating all of the ICB needs have been met not using the minimum 15% required is under application documents.

State administration funds will be used for costs related administration of the funds and the transit program by the transit, internal control and finance staff.

SDDOT agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity

approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or insurance company. This information has also been added to the funding agreement with each subrecipient.

Per the CARES Act, the projects in this grant are not required to be programmed in the Long-Term Range Transportation Plan, Transportation Improvement Program or Statewide Transportation Improvement Program.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Talbot	Hauffe	Transportation Program Specialist	talbot.hauffe@dot.gov	(303) 362-2402
Monte	Meier	Transportation Specialist II	monte.meier@state.sd.us	(605) 773-4169

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$20,742,828
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$20,742,828</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-009-01-01	620-00 (620-A5) PROJECT ADMINISTRATION	\$5,356,251.00	\$0.00	\$5,356,251.00	16
SD-2020-009-01-01	11.79.00 PROJECT ADMINISTRATION	\$5,356,251.00	\$0.00	\$5,356,251.00	16
SD-2020-009-02-01	600-00 (600-A4) OTHER PROGRAM COSTS	\$11,795,158.00	\$0.00	\$11,795,158.00	16
SD-2020-009-02-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$11,795,158.00	\$0.00	\$11,795,158.00	16
SD-2020-009-03-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$717,620.00	\$0.00	\$717,620.00	1
SD-2020-009-03-01	11.79.00 PROJECT ADMINISTRATION	\$717,620.00	\$0.00	\$717,620.00	1
SD-2020-009-04-01	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$1,264,259.00	\$0.00	\$1,264,259.00	1
SD-2020-009-04-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,264,259.00	\$0.00	\$1,264,259.00	1
SD-2020-009-05-01	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,609,540.00	\$0.00	\$1,609,540.00	1
SD-2020-009-05-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,609,540.00	\$0.00	\$1,609,540.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Project Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-009-01-01	1160-2020-7-P1	6/2/2022	1/20/2020	12/31/2022

#### Project Description

Amendment 1-Deobligating some funding from Project Admin. Will reobligate in another amendment in Operations.

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Budget Revision #1 2-1-2021

Move funds from Project Administration to Operating. See updated POP.

SDDOT is requesting FFY 5311 CARES Act funds to provide project administrative funds to sixteen rural public transit providers in the amount of \$9,285,088. The attached POP reflects the list of providers, service area, entity type and awarded amount. The federal share is 100%. The award was based on the percentage the provider received of the formula 5311 funds. A Program of Projects is attached in the application documents.

#### Project Benefits

Funds will assist providers to continue to provide public transportation to the rural communities served and recover from the impact to operations in relations to coronavirus public health emergency.

#### Additional Information

*None provided.*

#### Location Description

South Dakota

### Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

### Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

### Program Plan Information

#### STIP/TIP

Date: Not Provided

Description: These projects include only operations, administration with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

#### UPWP

Date: N/A  
 Description: N/A

**Long Range Plan**

Date: N/A  
 Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$5,356,251
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,356,251</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-009-01-01	620-00 PROJECT ADMINISTRATION (620-A5)	\$5,356,251.00	\$0.00	\$5,356,251.00	16
SD-2020-009-01-01	11.79.00 PROJECT ADMINISTRATION	\$5,356,251.00	\$0.00	\$5,356,251.00	16

**Project Budget Activity Line Items**

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	16

**Extended Budget Description**

Amendment 1-Deobligating \$69,024 from Project Admin. Will reobligate in another amendment in Operating.

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Budget Revision #4  
 Moving funds from Operating to Project Administration.

Budget Revision #2 9-13-2021  
 Move funds from Project Administration to Operating, and funds from State Admin into Project Administration.

Budget Revision #1 2-1-2021  
 Move funds from Project Administration to Operating.

FFY2020 CARES Act funds will be used to cover general Administration expenses such as salaries, benefits, marketing expenses, utilities, marketing, insurance premiums, office supplies at 100% federal funding. These funds will cover expenses for existing service incurred beginning 1/20/20 until 12/31/22. The attached POP has details for each subrecipient.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$5,356,251
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,356,251</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Eligible activity start date.
End Date	12/31/2022	Final draw down.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction,

such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/8/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	16	\$5,356,251.00	\$5,356,251.00

**Project Title: Operating Assistance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-009-02-01	1160-2020-7-P2	6/2/2022	1/20/2020	12/31/2022

**Project Description**

Amendment #1: No change.

Budget Revision #1 2-1-2021

Move funds from Project Administration to Operating. See Updated POP.

SDDOT is requesting FFY2020 5311 CARES Act funds to provide Operating Assistance funds to sixteen rural public transit providers in the amount of \$7,295,345. The attached POP reflects the list of providers, service area, entity type and awarded amount. The federal share is 100%. The award was based on the percentage the provider received of the formula 5311 funds.

**Project Benefits**

Funds will assist providers to continue to provide public transportation to the rural communities served and recover from the impact to operations in relations to coronavirus public health emergency.

**Additional Information**

*None provided.*

**Location Description**

South Dakota

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
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## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: These projects include only operations, administration with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$11,795,158
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$11,795,158</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-009-02-01	600-00 (600-A4) OTHER PROGRAM COSTS	\$11,795,158.00	\$0.00	\$11,795,158.00	16
SD-2020-009-02-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed	\$11,795,158.00	\$0.00	\$11,795,158.00	16

Share
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### Project Budget Activity Line Items

<b>Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	16

**Extended Budget Description**

Amendment #1 No Change

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 Budget Revision #3  
 Moving funds from Operating to Project Administration

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 Budget Revision #2 9-13-2021

Move funds from State and Program Admin to Operating

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 Budget Revision #1 2-1-2021  
 Move funds to Operating from Project Administration .

FFY2020 CARES Act Operating Assistance will cover expenses for existing service incurred beginning 1/20/2020 through 12/31/22. Operating Assistance is based on last year's NTD data. Operating Assistance includes fuel, driver wages & benefits, maintenance and garage utilities. Community Transit of Watertown\Sisseton, ROCS, and PHT will continue with consolidation efforts to continue to improve services. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service. The attached POP has details for each subrecipient.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$11,795,158
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$11,795,158</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	1/20/2020	Eligible activity start date.
End Date	12/31/2022	Date of final draw down date.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date</b>	<b>Description</b>
	Class IIc CE Approved

<b>Scope Name / Code</b>	<b>Line Item Number</b>	<b>Line Item Name</b>	<b>Quantity</b>	<b>FTA Amount</b>	<b>Total Eligible Cost</b>
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	16	\$11,795,158.00	\$11,795,158.00

## Project Title: ICB Administration

<b>Project Number</b>	<b>Temporary Project Number</b>	<b>Date Created</b>	<b>Start Date</b>	<b>End Date</b>
SD-2020-009-03-01	1160-2020-7-P3	6/2/2022	1/20/2020	12/31/2022

#### Project Description

Amendment 1-Deobligating some funding from ICB Administration. Will reobligate in another amendment in ICB Capital.

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Original

FFY2020 CARES Act 5311 funds to provide Intercity Bus (ICB) project administrative funds to one ICB provider in the amount of \$1,245,120. The attached POP reflects the list of providers, service area, entity type and awarded amount. The federal share is 100%. The award was based on the percentage the provider received of the formula 5311 funds. A Program of Projects is attached in the in Application Documents.

**Project Benefits**

Funds will assist providers to continue to provide public transportation to the rural communities served and recover from the impact to operations in relations to coronavirus public health emergency.

**Additional Information**

*None provided.*

**Location Description**

South Dakota

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
South Dakota	1	Dusty Johnson

**Program Plan Information****STIP/TIP**

Date: Not Provided

Description: These projects include only operations, administration with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$717,620
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$717,620</b>
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## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-009-03-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$717,620.00	\$0.00	\$717,620.00	1
SD-2020-009-03-01	11.79.00 PROJECT ADMINISTRATION	\$717,620.00	\$0.00	\$717,620.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

### Extended Budget Description

Amendment 1-Deobligating \$527,500 from ICB Administration. Will reobligate in another amendment in ICB Capital.

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Original

FFY2020 CARES Act funds will be used to cover general administration expenses for intercity services. This ALI will have the following expenses; salaries, benefits, marketing expenses, utilities, marketing, insurance premiums, office supplies, etc. The attached POP has details for each subrecipient. Eligible date of 1/20/2020 until 12/31/22. SDDOT is awarding the ICB provider 13.5% of the award, a letter from the Governor stating the ICB needs have been met not using the 15% minimum requirement is uploaded under application documents.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$717,620
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$717,620</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Intercity eligible activity start date.
End Date	12/31/2022	Intercity final draw down.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	1	\$717,620.00	\$717,620.00

### Project Title: ICB Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-009-04-01	1160-2020-7-P4	6/2/2022	1/20/2020	12/31/2022

#### Project Description

Amendment 1-Deobligating some funding from ICB Operating. Will reobligate in another amendment in ICB Capital.

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Original

FFY2020 CARES Act 5311 funds to provide Intercity Bus (ICB) project operating funds to one ICB provider in the amount of \$1,791,759. The attached POP reflects the list of providers, service area, entity type and awarded amount. The federal share is 100%. The award was based on the percentage the provider received of the formula 5311 funds. A Program of Projects is attached in the in Application

Documents.

**Project Benefits**

Funds will assist providers to continue to provide public transportation to the rural communities served and recover from the impact to operations in relations to coronavirus public health emergency.

**Additional Information**

*None provided.*

**Location Description**

South Dakota

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
South Dakota	1	Dusty Johnson

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: These projects include only operations, administration with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,264,259
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$1,264,259</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-009-04-01	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$1,264,259.00	\$0.00	\$1,264,259.00	1
SD-2020-009-04-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,264,259.00	\$0.00	\$1,264,259.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

Amendment 1 Deobligate \$527,500 from ICB Operating. Will be reobligated in the next amendment for ICB Capital.

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Original:

Intercity operating funds will cover expenses for existing service incurred beginning 1/20/2020 through 12/31/21. Expenses including such as fuel, driver wages & benefits, maintenance, garage utilities, etc. The attached POP has details for each subrecipient. SDDOT is awarding the ICB provider 13.5% of the award, a letter from the Governor stating the ICB needs have been met not using the 15% minimum requirement is uploaded under application documents.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,264,259
Local			\$0
Local/In-Kind			\$0



State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$1,264,259</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project operating eligible activities start date.
End Date	12/31/2022	Project operating final draw down.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

### Project Title: State and Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2020-009-05-01	1160-2020-7-P5	6/2/2022	1/20/2020	12/31/2022

#### Project Description

Amendment #1: No Change

SDDOT is requesting 10% of the FFY2020 5311 CARES Act funds in the amount of \$22,495,400 to provide State and Program Administrative funds in the amount of \$2,249,540. The attached POP reflects the amount. The federal share is 100%. The award was based on the allowable amount and the cost of adding additional internal control and finance personal time and transit staff to administer the program.

#### Project Benefits

State Administration funds will provide ongoing training, technical support, compliance, financial management, internal controls and overall administration. The funds will be used for transit, internal control and financial staff.

**Additional Information**

*None provided.*

**Location Description**

South Dakota

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
South Dakota	1	Dusty Johnson

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: These projects include only operations, administration with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,609,540
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$1,609,540</b>
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## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2020-009-05-01	610-00 STATE / PROGRAMS (610-A1) ADMINISTRATION	\$1,609,540.00	\$0.00	\$1,609,540.00	1
SD-2020-009-05-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,609,540.00	\$0.00	\$1,609,540.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

#### Extended Budget Description

Amendment #1 No Change

#### Budget Revision #1

Move from State Administration to project admin and operating categories.

FFY2020 CARES Act 5311 funds will be used to support administration of CARES Act 5311 funding. This ALI applies for 10% of the allowable CARES Act apportionment in the amount of \$22,495,400. This ALI will be from 1/20/2020 until 12/31/2022.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,609,540
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$1,609,540</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Eligible activity start date.
End Date	12/31/2022	Final draw down.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Talbot Hauffe

**Comment Type** DOL Review for Information

**Date** 6/10/2022

**Comment** This Amendment deobligates \$1,124,024 from ICB Operating & Administration, and moves remaining funds from Project Admin to Operating. These funds will be reobligated in the next Amendment for revised scopes. Contact Monte Meier, South Dakota DOT  
Monte.Meier@state.sd.us.  
605.773.4169

## Application Review Comments

Comment By **Robyn Kullas**

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Comment Type	Environmental Findings
Date	6/8/2022
Project Title	Project Administration
Project Number	SD-2020-009-01-01
Comment	The project meets the requirements of CE List C Type 4; no additional documentation is required for NEPA Clearance.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, South Dakota Department Of

Recipient ID: 1160

UEI: LWAMCP81LP14

DUNS: 809588098

## **Application Information**

Federal Award Identification Number: SD-2020-009-01

Application Name: SDDOT Section 5311 CARES Act Project Admin, ICB, State Admin & Operating

Application Start Date: 6/9/2020

Original Award End Date: 3/31/2022

Current Award End Date: 10/31/2023

Application Executive Summary: Amendment 1-Deobligating some funding from ICB Operating & Administration. Will reobligate in another amendment in ICB Capital. Moved remaining funds from Project Admin to Operating. In total, this Amendment deobligates \$1,124,024, which will be reobligated in the next Amendment for revised scopes.

\*\*\*\*\*

Original

Budget Revision#4

Moving funds from Operating Assistance to Project Administration. Adding an additional year onto the Period of Performance End date to allow for more time to draw down state admin costs.

Budget Revision #3 9-13-2021

Move funds from State Admin to Project Administration and Operating. Move funds additional funds from Project Administration to Operating. Updating Period of Performance by 7 months.

Budget Revision #1 2-1-2021

Move funds from Project Administration to Operating. See Updated POP.

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This is an FFY2020 Section 5311 CARES Act grant in the amount of \$22,495,400 full apportionment. Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19. The grant scope of work includes the following breakdown:

\$9,285,088 Project Administration

\$3,036,879 Intercity Bus Administration and Intercity Bus Operating (13.5% of apportionment)

\$2,249,540 State Administration (10% of apportionment)

\$7,295,345 Operating Assistance for rural providers

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\$21,866,852 total federal CARES Act funds in this grant

Total FTA CARES Act funds in the amount of \$22,495,400

\$22,495,400

- \$628,548 allocation of funds to Rosebud Sioux Tribe (see letter attached under application documents)

For specific details of each selected subrecipient refer to the attached Program of Projects (POP). There is no local match requirement on the CARES funds.

This grant application includes 13.5% towards Intercity Bus, this amount is less than the required minimum amount of 15%. A letter from the Governor stating all of the ICB needs have been met not using the minimum 15% required is under application documents.

State administration funds will be used for costs related administration of the funds and the transit program by the transit, internal control and finance staff.

SDDOT agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or insurance company. This information has also been added to the funding agreement with each subrecipient.

Per the CARES Act, the projects in this grant are not required to be programmed in the Long-Term Range Transportation Plan, Transportation Improvement Program or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$20,742,828.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): (\$1,124,024.00)

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$20,742,828.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$20,742,828
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$20,742,828</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.



Executed By:

*Transportation, South Dakota Department Of*