

Application

| | |
|---|---|
| Federal Award Identification Number (FAIN) | OK-2022-020-00 |
| Temporary Application Number | 5490-2022-1 |
| Application Name | The Chickasaw Nation FY 20 5311 TTP Lapsing Funds Operating and Rolling Stock |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|---------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 6/30/2024 |
| Current Period of Performance End Date | N/A Revision #: N/A |

Part 1: Recipient Information

Name: CHICKASAW NATION

| Recipient ID | Recipient OST Type | Recipient Alias | UEI | DUNS |
|--------------|--------------------|------------------|--------------|-----------|
| 5490 | Indian Tribe | CHICKASAW NATION | KS8HLVMJEMW9 | 070848361 |

| Location Type | Address | City | State | Zip |
|------------------|--------------------|------|-------|-------|
| Headquarters | 520 ARLINGTON ST | ADA | OK | 74820 |
| Physical Address | 520 E ARLINGTON ST | ADA | OK | 74820 |
| Mailing Address | 231 SEABROOK ROAD | ADA | OK | 74820 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: The Chickasaw Nation FY 20 5311 TTP Lapsing Funds Operating

and Rolling Stock

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| OK-2022-020-00 | Final Concurrence / Reservation | Grant | 4/18/2022 | 4/18/2022 | No |

Application Executive Summary

This application is to request Section 5311 funds apportioned to the Chickasaw Nation in the amount of \$1,070,620 for operating and the purchase of one vehicle.

Purpose: The Chickasaw Nation will utilize this award to maintain existing transit services within the treaty territory.

Activities to be Performed: Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year and purchase of a vehicle.

Expected Outcomes: Funding will permit the Chickasaw Nation to continue to provide service within the treat territory.

Intended Beneficiaries: Chickasaw Nation transit riders

Subrecipients: None

The total FY 2020 lapsing funds are being requested in the amount of \$1,070,620. No local match requirement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Indirect costs are calculated based on the Chickasaw Nation's federally negotiated indirect cost rate of 24.41 percent. As this rate is negotiated on an annual basis, projected expenditures may require modification.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-----------------------------------|----------------------|----------------|
| Sharon | Coats | Transportation Program Specialist | sharon.coats@dot.gov | (817) 978-0552 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|--------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$1,070,620 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$1,070,620 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|----------------|----------------|-----------------------|----------|
| OK-2022-020-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$1,070,620.00 | \$0.00 | \$1,070,620.00 | 1 |
| OK-2022-020-01-00 | 11.12.15 BUY REPLACEMENT VAN | \$170,000.00 | \$0.00 | \$170,000.00 | 1 |
| OK-2022-020-01-00 | 30.09.01 Operating Assistance | \$900,620.00 | \$0.00 | \$900,620.00 | 0 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

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|------------------------------------|
| Project Title: Road to Work |
|------------------------------------|

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| OK-2022-020-01-00 | 5490-2022-1-P1 | 4/18/2022 | 10/1/2022 | 9/30/2023 |

Project Description

The Road to Work program will utilize federal funds for operating in the amount of \$900,620 and for the

purchase of one vehicle in the amount of \$170,000.

Project Benefits

The Road to Work program provides efficient, effective, environmentally friendly and safe mobility for the Chickasaw Nation. Existing ridership base will be maintained while increasing ridership levels and expanding the types of services offered under the system’s current operating parameters (service area and operating times). Additionally, this program will continue to research ways to provide for the economic stability of the transit system. The Road to Work program will also continue to promote the current services provided by the Chickasaw Transportation Services Program, as well as continue to coordinate and improve communications among the tribal stakeholders. This program also plans to continue exploring new and expanded service technologies to increase and enhance overall ridership experiences.

Additional Information

N/A

Location Description

The Chickasaw Nation treaty territory encompasses all or part of the following 13 counties in Oklahoma, Bryan, Carter, Coal, Garvin, Grady, Jefferson, Johnston, Love, Marshall, McClain, Murray, Pontotoc and Stephens.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 400000 | Oklahoma |

Congressional District Information

| State | District | Representative |
|----------|----------|----------------|
| Oklahoma | 4 | Tom Cole |

Program Plan Information

STIP/TIP

Date: Not Provided

Description: STIP/TIP not required.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$1,070,620 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |

| | | |
|-----------------------------------|--|--------------------|
| State | | \$0 |
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$1,070,620 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|----------------|----------------|-----------------------|----------|
| OK-2022-020-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$1,070,620.00 | \$0.00 | \$1,070,620.00 | 1 |
| OK-2022-020-01-00 | 11.12.15 BUY REPLACEMENT VAN | \$170,000.00 | \$0.00 | \$170,000.00 | 1 |
| OK-2022-020-01-00 | 30.09.01 Operating Assistance | \$900,620.00 | \$0.00 | \$900,620.00 | 0 |

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - Operating Assistance

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|-------------------------|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 0 |

Extended Budget Description

This includes salaries, benefits, payroll taxes and general operating costs. Indirect cost will be applied to this ALI.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$900,620 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |

| | | |
|-----------------------------------|--|------------------|
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$900,620 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------|
| Start Date | 10/1/2022 | |
| End Date | 9/30/2023 | |

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---------------------|--------------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.12.15 | BUY REPLACEMENT VAN | BUY REPLACEMENTS - CAPITAL BUS | 1 |

Extended Budget Description

The Chickasaw Nation Road to Work program plans to purchase one new vehicle to replace an older model vehicle that has reached its useful life. The vehicle being replaced is a 2015 Dodge Grand Caravan with mileage of 171,545, VIN# 2C7WDGBG8FR686517 and a useful life of four years and 100,000 miles; useful life has been exceeded as this 2015 Dodge Grand Caravan is completely out of commission with a blown transmission that would cost more to repair than the vehicle is worth. The new EV vehicle will be ADA accessible and it is anticipated it will have a useful life of four years and 100,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$170,000 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$170,000 |

| Milestone Name | Est. Completion Date | Description |
|-----------------------|----------------------|-------------|
| RFP/IFB | 10/1/2022 | |
| Contract Award Date | 1/1/2023 | |
| Initial Delivery Date | 5/1/2023 | |
| Final Delivery Date | 6/30/2023 | |

| | |
|---------------------|-----------|
| Contract Completion | 7/30/2023 |
|---------------------|-----------|

Project Environmental Findings

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|---|
| Finding: Class II(c) - Categorical Exclusions (C-List) |
|---|

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|---------------------|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.12.15 | BUY REPLACEMENT VAN | 1 | \$170,000.00 | \$170,000.00 |

| |
|---|
| Finding: Class II(c) - Categorical Exclusions (C-List) |
|---|

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|-------------------|------------------|----------------|----------|------------|---------------------|
|-------------------|------------------|----------------|----------|------------|---------------------|

| | | | | | |
|------------------------------|----------|----------------------|---|--------------|--------------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | Operating Assistance | 0 | \$900,620.00 | \$900,620.00 |
|------------------------------|----------|----------------------|---|--------------|--------------|

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Sharon Coats

Comment Type DOL Review for Information

Date 6/8/2022

Comment Lapsing Section 5311 TTP Funds for information purposes only.

Application Review Comments

Comment By Sharon Coats

Comment Type Pre-Award Manager Returns Application

Date 5/20/2022

Comment

Application Review Comments

Comment By Marcos Lechuga

Comment Type Application Details

Date 6/7/2022

Comment Recipient should be aware and follow vehicle useful life and disposition regulations and aware of the National Defense Authorization Act, section 7613, subsection 49 U.S.C. 5323(u)- limits the use of FTA funds, and in some circumstances local funds, to procure rolling stock from certain transit vehicle manufacturers.

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: CHICKASAW NATION

Recipient ID: 5490

UEI: KS8HLVMJEMW9

DUNS: 070848361

Application Information

Federal Award Identification Number: OK-2022-020-00

Application Name: The Chickasaw Nation FY 20 5311 TTP Lapsing Funds Operating and Rolling Stock

Application Start Date: N/A

Original Award End Date: 6/30/2024

Current Award End Date: N/A

Application Executive Summary: This application is to request Section 5311 funds apportioned to the Chickasaw Nation in the amount of \$1,070,620 for operating and the purchase of one vehicle.
Purpose: The Chickasaw Nation will utilize this award to maintain existing transit services within the treaty

territory.

Activities to be Performed: Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year and purchase of a vehicle.

Expected Outcomes: Funding will permit the Chickasaw Nation to continue to provide service within the treat territory.

Intended Beneficiaries: Chickasaw Nation transit riders

Subrecipients: None

The total FY 2020 lapsing funds are being requested in the amount of \$1,070,620. No local match requirement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,070,620.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,070,620.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,070,620.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|---------------------------|--------------------|--------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$1,070,620 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$1,070,620 |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

CHICKASAW NATION