

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	NC-2022-036-00
<b>Temporary Application Number</b>	1005-2022-9
<b>Application Name</b>	ARP Section 5311 Rural Transit Operating Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2029
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, NORTH CAROLINA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1005	State Agency	NORTH CAROLINA DEPARTMENT OF TRANSPORTATION	TPF3F49RKAZ3	948614169

Location Type	Address	City	State	Zip
Headquarters	1 S WILMINGTON ST	RALEIGH	NC	276101453
Physical Address	1 S WILMINGTON ST RM 542	RALEIGH	NC	27611
Mailing Address	NCDOT - PUBLIC TRANSPORTATION DIVISION	RALEIGH	NC	27699

### Union Information

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	5025 Winsonsin Avenue, NW
Address 2	
City	Washington
State	District of Columbia

Zipcode	20016
Contact Name	Meghan Ritson
Telephone	2025371645
Fax	2022447824
E-mail	
Website	

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	5025 Wisconsin Avenue, NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20016
Contact Name	Leo Wetzel
Telephone	2025371645
Fax	2022447824
E-mail	
Website	

<b>Union Name</b>	<b>NONE</b>
Address 1	
Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

<b>Union Name</b>	<b>UNITED TRANSPORTATION UNION</b>
Address 1	Local # 36
Address 2	204 Forest Manor Drive
City	Garner
State	North Carolina
Zipcode	27529
Contact Name	R.H. Westbrook, Jr.
Telephone	9197794852
Fax	
E-mail	
Website	

<b>Union Name</b>	<b>UNITED TRANSPORTATION UNION</b>
Address 1	14600 Detroit Avenue
Address 2	
City	Cleveland
State	Ohio
Zipcode	44107
Contact Name	Victor Baffoni
Telephone	2162289400
Fax	
E-mail	
Website	

## Part 2: Application Information

### Title: ARP Section 5311 Rural Transit Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NC-2022-036-00	Final Concurrence / Reservation	Grant	3/29/2022	3/29/2022	No

#### Application Executive Summary

This is an FFY 2021 Section 5311 ARP funding application for North Carolina DOT in the amount of \$18,226,140, representing 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes categories for the subrecipients of NCDOT statewide: \$9,893,876 in operating funds, \$495,553 in capital funds, \$209,718 in RTAP ARP funds, \$5,839,739 in Intercity Bus funds, \$1,787,254 in state admin funds. Purpose: The purpose of this award is to provide the state of North Carolina and its subrecipients operating assistance, eligible capital projects, and for the rural transit assistance program (RTAP) for the state and its subrecipients.

Expected Outcomes: Continued safe and effective operation of transit services for rural areas of North Carolina.

Intended Beneficiaries: North Carolina State transit agencies and citizens in the rural and non-urbanized areas of the state.

Subrecipient Activities: Several rural agencies will receive operating assistance, along with three intercity bus operators. Several rural agencies will receive capital funding for the purchase of equipment eligible for ARP.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per ARP, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

The operating expenses requested in this application were determined by a formula allocation based on the three factors: 1) amount of CARES funding allocated to subrecipients, 2) the normal 5311 formula allocation and 3) the amount of operating funds requested by the subrecipient. North Carolina DOT understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next Triennial/State Management Review.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Jennifer	Hibbert	Senior Transit and Rail Planner	ext-jkhibbert@ncdot.gov	(404) 469-0946
Holly	Liles	Community Planner	holly.liles@dot.gov	(404) 865-5637

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$14,043,104
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$4,183,036
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$18,226,140</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2022-036-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$495,553.00	\$0.00	\$495,553.00	181
NC-2022-036-01-00	11.42.07 ACQUIRE - ADP HARDWARE	\$269,373.00	\$0.00	\$269,373.00	77
NC-2022-036-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$226,180.00	\$0.00	\$226,180.00	104
NC-2022-036-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$9,893,876.00	\$0.00	\$9,893,876.00	0
NC-2022-036-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$9,893,876.00	\$0.00	\$9,893,876.00	0
NC-2022-036-01-00	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$1,787,253.00	\$0.00	\$1,787,253.00	0
NC-2022-036-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,787,253.00	\$0.00	\$1,787,253.00	0
NC-2022-036-01-00	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$1,656,704.00	\$0.00	\$1,656,704.00	0
NC-2022-036-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,656,704.00	\$0.00	\$1,656,704.00	0
NC-2022-036-01-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$209,718.00	\$0.00	\$209,718.00	0
NC-2022-036-01-00	43.50.01 TRAINING	\$209,718.00	\$0.00	\$209,718.00	0
NC-2022-036-	634- INTERCITY BUS	\$4,183,036.00	\$0.00	\$4,183,036.00	0

02-00	00 (634- A6)	TRANSPORTATION					
NC-2022-036- 02-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,183,036.00	\$0.00	\$4,183,036.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: ARP Section 5311

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NC-2022-036-01-00	1005-2022-9-P1	5/11/2022	3/2/2022	6/30/2024

#### Project Description

This is a FFY 2021 ARP Section 5311 application in the amount of \$18,226,140 (100% Federal Share) for total award. This application utilizes ARP ACT funding for operating, capital, RTAP, intercity bus and state administration to help respond to and recover from the COVID-19 pandemic. This application is programmed to provide immediate funds for subrecipients with a secondary process to award remaining funds to address additional needs. Due to the changing nature of the current public health emergency, this will provide maximum flexibility to NCDOT.

North Carolina DOT has developed a program of projects consistent with its documented State Management Plan that has been updated to accommodate ARP funds. That POP has been attached to this application.

In relation to intercity bus, the entire funding amount allocated to 5311(f) has been utilized in this application.

#### Project Benefits

This project enables rural transit provides to continue operating adjusting transit services as needed to aid communities during the COVID-19 pandemic. This includes necessary trips to work and medical appointments. Such services such as meal delivery and other critical services as needed may be provided during this time. This project also provides for extra maintenance of transit buses including sanitizing, modifications for social distancing and driver and other staff personal protective devices. Other benefits are the provision of salaries for staff on administration leave, agency administration and continued connections for travelers between rural areas.

#### Additional Information

*None provided.*

#### Location Description

This project will occur statewide.

## Project Location (Urbanized Areas)

UZA Code	Area Name
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370000

North Carolina

## Congressional District Information

State	District	Representative
North Carolina	1	G. K Butterfield
North Carolina	2	Renee L Ellmers
North Carolina	3	Walter B Jones
North Carolina	4	David E Price
North Carolina	5	Virginia Foxx
North Carolina	6	Mark Walker
North Carolina	7	David Rouzer
North Carolina	8	Richard Hudson
North Carolina	9	Robert Pittenger
North Carolina	10	Patrick T McHenry
North Carolina	11	Mark Meadows
North Carolina	12	Alma S Adams
North Carolina	13	George Holding

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per ARP requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$14,043,104
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$14,043,104</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2022-036-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$495,553.00	\$0.00	\$495,553.00	181
NC-2022-036-01-00	11.42.07 ACQUIRE - ADP HARDWARE	\$269,373.00	\$0.00	\$269,373.00	77
NC-2022-036-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$226,180.00	\$0.00	\$226,180.00	104
NC-2022-036-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$9,893,876.00	\$0.00	\$9,893,876.00	0
NC-2022-036-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$9,893,876.00	\$0.00	\$9,893,876.00	0
NC-2022-036-01-00	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$1,787,253.00	\$0.00	\$1,787,253.00	0
NC-2022-036-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,787,253.00	\$0.00	\$1,787,253.00	0
NC-2022-036-01-00	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$1,656,704.00	\$0.00	\$1,656,704.00	0
NC-2022-036-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,656,704.00	\$0.00	\$1,656,704.00	0
NC-2022-036-01-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$209,718.00	\$0.00	\$209,718.00	0
NC-2022-036-	43.50.01 TRAINING	\$209,718.00	\$0.00	\$209,718.00	0



01-00

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

#### Extended Budget Description

NCDOT is applying for \$5,839,739 in operating assistance for ARP 5311(f) Intercity Bus Operating Assistance that includes \$4,183,036 in ARP 5311(f) and \$1,656,704 in ARP 5311.

These are three projects included in the Program of Project and they are awarded to Greyhound Lines, and Barons Bus Inc and Trolley's Inc. The attached Program of Projects to this application details funding for each of the three intercity bus providers.

7/1/2022-6/30/2024

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,656,704
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,656,704</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	6/30/2024	

### Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	77

**Extended Budget Description**

Subrecipients will purchase the following from this ALI:

City of Rocky Mount - (14) Automatic Vehicle Locators, (14) Automated Passenger Count systems, (14) Automated Voice Annunciation units (useful life of 7 years)

Robeson County - security camera system (useful life is 7 years)

Yancey County - replacement radios (useful life of 6 years) and computers for vans and offices (useful life of 5 years)

Craven County - (27) mobile radio systems (useful life of 6 years) , a wheelchair securement training table, (5) hard-drive replacement for cameras (useful life of 7 years), and a contactless fare collection system (useful life of 7 years)

All useful life information is found within the descriptions of the specific items above.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$269,373
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$269,373</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/3/2022	
End Date	6/30/2023	

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	104

**Extended Budget Description**

NCDOT subrecipients will purchase the following misc equipment with funds from this ALI.

Choanoke County - (5) Safety Lift Guards, (1) Driver Training TV and Mount, (25) HEPA Air Filtration Systems (useful life is 7 years), (25) Driver Protection Barriers

Union County - (12) Vehicle Wraps with COVID Message

Martin County - (13) COVID-19 Bus/Van Barriers, (13) Bus/Van Air Filtration Units (useful life is 7 years)

McDowell County - Plexiglass driver shields for LTVs

Mitchell County - (10) driver barriers

Useful life for all of these items are 5 years, unless otherwise noted in the line item above.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$226,180
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$226,180</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/3/2022	
End Date	6/30/2023	

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

North Carolina Department of Transportation will use funds for training, technical assistance and conference workshops. The Rural Transit Assistance Program (RTAP) provides a source of funding to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operations in non-urbanized areas. The department received an annual allocation of these funds to develop and implement training and technical assistance programs in conjunction with the administration of the CTP program.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$209,718
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$209,718</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/3/2022	
End Date	6/30/2023	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**  
 These funds will be used to support administration of ARP 5311 funding. This ALI does not exceed the maximum of 10% of the state's ARP apportionment.

**Will 3rd Party contractors be used to fulfill this activity line item?**  
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,787,253
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,787,253</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/3/2022	
End Date	6/30/2023	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 0

**Extended Budget Description**

This grant will use \$9,893,876 in ARP funding for rural operating assistance for 31 transportation providers for the period of March 3, 2022 thru June 30,2023. Funds are being programmed to provide immediate funds for subrecipients. Due to the changing nature of the current public health emergency, this will provide maximum flexibility to NCDOT. See the attached Program of Projects for listing of each subrecipient and the award amount detail.

3/2/2022-6/30/2023

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$9,893,876
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,893,876</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/2/2022	
End Date	6/30/2023	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$9,893,876.00	\$9,893,876.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	77	\$269,373.00	\$269,373.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	104	\$226,180.00	\$226,180.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$209,718.00	\$209,718.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA Amount	Total Eligible
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	Number			Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,787,253.00 \$1,787,253.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$1,656,704.00	\$1,656,704.00

**Project Title: ARPA Intercity Bus Funds**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NC-2022-036-02-00	1005-2022-9-P2	6/2/2022	7/1/2022	6/30/2024

**Project Description**

This project contains only the ARPA Intercity Bus Funds in the amount of \$4,183,036

**Project Benefits**

This project will assist the three intercity bus providers with operating expenses with this funding. These operators provide statewide service for intercity bus.

**Additional Information**

*None provided.*

**Location Description**

This is a statewide project.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
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370000

North Carolina

## Congressional District Information

State	District	Representative
North Carolina	1	G. K Butterfield
North Carolina	2	Renee L Ellmers
North Carolina	3	Walter B Jones
North Carolina	4	David E Price
North Carolina	5	Virginia Foxx
North Carolina	6	Mark Walker
North Carolina	7	David Rouzer
North Carolina	8	Richard Hudson
North Carolina	9	Robert Pittenger
North Carolina	10	Patrick T McHenry
North Carolina	11	Mark Meadows
North Carolina	12	Alma S Adams
North Carolina	13	George Holding

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per ARP requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$4,183,036
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$4,183,036</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2022-036-02-00	634-00 (634-A6) INTERCITY BUS TRANSPORTATION	\$4,183,036.00	\$0.00	\$4,183,036.00	0
NC-2022-036-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,183,036.00	\$0.00	\$4,183,036.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

NCDOT is applying for \$5,839,739 in operating assistance for ARP 5311(f) Intercity Bus Operating Assistance that includes \$4,183,036 in ARP 5311(f) and \$1,656,704 in ARP 5311.

These are three projects included in the Program of Project and they are awarded to Greyhound Lines, and Barons Bus Inc and Trolley's Inc. The attached Program of Projects to this application details funding for each of the three intercity bus providers.

7/1/2022-6/30/2024

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$4,183,036
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$4,183,036</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	6/30/2024	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Holly Liles

Comment Type DOL Review for Information

Date 6/16/2022

Comment

## Application Review Comments

Comment By **Holly Liles**

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Comment Type Pre-Award Manager Returns Application

Date 6/2/2022

Comment The ARP Intercity bus funds (\$4,183,036) needs to be in a separate project by itself.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION, NORTH CAROLINA DEPARTMENT OF

Recipient ID: 1005

UEI: TPF3F49RKAZ3

DUNS: 948614169

## **Application Information**

Federal Award Identification Number: NC-2022-036-00

Application Name: ARP Section 5311 Rural Transit Operating Assistance

Application Start Date: N/A

Original Award End Date: 3/30/2029

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2021 Section 5311 ARP funding application for North Carolina DOT in the amount of \$18,226,140, representing 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes categories for the subrecipients of NCDOT statewide: \$9,893,876 in operating funds, \$495,553 in capital funds, \$209,718 in RTAP ARP funds, \$5,839,739 in Intercity Bus funds, \$1,787,254 in state admin funds.

Purpose: The purpose of this award is to provide the state of North Carolina and its subrecipients operating assistance, eligible capital projects, and for the rural transit assistance program (RTAP) for the state and its subrecipients.

Expected Outcomes: Continued safe and effective operation of transit services for rural areas of North Carolina.

Intended Beneficiaries: North Carolina State transit agencies and citizens in the rural and non-urbanized areas of the state.

Subrecipient Activities: Several rural agencies will receive operating assistance, along with three intercity bus operators. Several rural agencies will receive capital funding for the purchase of equipment eligible for ARP.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per ARP, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

The operating expenses requested in this application were determined by a formula allocation based on the three factors: 1) amount of CARES funding allocated to subrecipients, 2) the normal 5311 formula allocation and 3) the amount of operating funds requested by the subrecipient. North Carolina DOT understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next Triennial/State Management Review.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$18,226,140.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$18,226,140.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$18,226,140.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$14,043,104
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$4,183,036
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$18,226,140</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

## **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, NORTH CAROLINA DEPARTMENT OF*