

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	CT-2022-016-00
Temporary Application Number	1334-2022-7
Application Name	Section 5311 Program Funding FFY 2020 & 2021
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2027
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, CONNECTICUT DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1334	State Agency	CONNECTICUT DEPARTMENT OF TRANSPORTATION	EED8DPB3U7M8	807854583

Location Type	Address	City	State	Zip
Headquarters	210 CAPITOL AVE STE 202	HARTFORD	CT	061061535
Physical Address	2800 BERLIN TURNPIKE	NEWINGTON	CT	06111
Mailing Address	2800 BERLIN TPKE	NEWINGTON	CT	06111

Union Information

Union Name	AMALGAMATED TRANSIT UNION - LOCAL 281
Address 1	139 Orange Street
Address 2	
City	New Haven
State	Connecticut

Zipcode	06510
Contact Name	Philip Madonna
Telephone	2035622252
Fax	
E-mail	
Website	

Union Name	AMALGAMATED TRANSIT UNION - LOCAL 425
Address 1	222 BURNSIDE AVE APT. 2A
Address 2	
City	EAST HARTFORD
State	Connecticut
Zipcode	06108
Contact Name	Alvin Douglas
Telephone	8602829933
Fax	8602829933
E-mail	
Website	

Union Name	AMALGAMATED TRANSIT UNION - LOCAL 443
Address 1	P.O. BOX 15385
Address 2	PARK SQUARE STATION
City	STAMFORD
State	Connecticut
Zipcode	06901
Contact Name	Thomas Oliver
Telephone	2034060181
Fax	00000
E-mail	
Website	

Union Name	AMERICAN RAILWAY SUPERVISORS ASSOCIATION
Address 1	24 Tina Lane
Address 2	
City	Hopewell Junction
State	New York
Zipcode	12533
Contact Name	William Mills
Telephone	9142711512
Fax	9142711963
E-mail	gcbm504@aol.com
Website	

Union Name	AMERICAN RAILWAY SUPERVISORS ASSOCIATION
Address 1	15 Turtle Court
Address 2	
City	Bethany
State	Connecticut
Zipcode	06524
Contact Name	James Kelly
Telephone	2039774137
Fax	
E-mail	
Website	

Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION 1 CONDUCTORS/YARDSME
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Anthony Bottalico
Telephone	2125995856
Fax	2125992029
E-mail	ajb1956@gmail.com
Website	

Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 113 RAIL TRAFFI
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	James Fahey
Telephone	2125995856
Fax	2125992029
E-mail	
Website	

Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 166 SIGNALMEN
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York

State	New York
Zipcode	10017
Contact Name	Thomas Ryder
Telephone	2125995856
Fax	2125992029
E-mail	thomas.ryder@snet.net
Website	

Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 37 POWER DIRECTO
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Joseph Savio
Telephone	2123402100
Fax	
E-mail	cricketjr1@aol.com
Website	

Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 9
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Michael Doyle
Telephone	2125995856
Fax	2125992029
E-mail	mfdoyle@aol.com
Website	

Union Name	HOTEL & RESTAURANT EMPLOYEES INTERNATIONAL DINING CAR EMPLOYEES UNION
Address 1	1004 Ravens Worth Court
Address 2	
City	Accokeek
State	Maryland
Zipcode	20607
Contact Name	Dwayne Bateman
Telephone	3012924219

Fax	3012924731
E-mail	
Website	

Union Name	INTERNATIONAL ASSOCIATION OF MACHINISTS & AEROSPACE WORKERS
Address 1	2031 Gerritsen Avenue
Address 2	
City	Brooklyn
State	New York
Zipcode	12229
Contact Name	Norman Brown
Telephone	7183828523
Fax	7183828523
E-mail	
Website	

Union Name	INTERNATIONAL BROTHERHOOD OF BOILERMAKERS, IRON SHIP BUILDERS, BLACKSM
Address 1	P.O. Box 459
Address 2	
City	Soddy Daisy
State	Tennessee
Zipcode	37379
Contact Name	Alan Scheer
Telephone	4233320111
Fax	4233320112
E-mail	
Website	

Union Name	INTERNATIONAL BROTHERHOOD OF BOILERMAKERS, IRON SHIP BUILDERS, BLACKSM
Address 1	
Address 2	
City	
State	New York
Zipcode	
Contact Name	Gary Caliendo
Telephone	9142711512
Fax	
E-mail	
Website	

Union Name	IBEW System Council No. 7
Address 1	300 Cantrell Street
Address 2	
City	Philadelphia
State	PA
Zipcode	19148
Contact Name	Arthur Davidson
Telephone	(845) 534-8853
Fax	(845) 534-7621
E-mail	ajdavidsonsc7@aol.com
Website	

Union Name	IBEW Local Union 1573 (Supervisors)
Address 1	P.O. Box 1485
Address 2	
City	New York
State	NY
Zipcode	10163
Contact Name	Pete Famularo
Telephone	(718) 614-6159
Fax	
E-mail	petefamularo@gmail.com
Website	

Union Name	INTERNATIONAL BROTHERHOOD OF TEAMSTERS
Address 1	22-43 Jackson Avenue
Address 2	
City	Long Island City
State	New York
Zipcode	11101
Contact Name	Glenn Conklin
Telephone	7183891900
Fax	7183892171
E-mail	
Website	None

Union Name	NATIONAL CONFERENCE OF FIREMEN & OILERS
Address 1	4201 Church Road
Address 2	Suite 7
City	Mt. Laurel
State	New Jersey
Zipcode	08054

Contact Name	Dean DeVita
Telephone	8567784411
Fax	8567786169
E-mail	
Website	

Union Name	SHEETMETAL WORKERS INTERNATIONAL ASSOCIATION
Address 1	22 North Street
Address 2	
City	Albany
State	New York
Zipcode	12205
Contact Name	Charles Fraley
Telephone	5184822070
Fax	5164590211
E-mail	cfraley@smwia.org
Website	

Union Name	TRANSPORT WORKERS UNION OF AMERICA
Address 1	570 Taxter Road
Address 2	
City	Elmsford
State	New York
Zipcode	10523
Contact Name	Peter McMahon
Telephone	9145920221
Fax	9145920097
E-mail	hfe2001@aol.com ; Jrf624@aol.com
Website	

Union Name	TRANSPORTATION COMMUNICATIONS INTERNATIONAL UNION
Address 1	309 A Street
Address 2	
City	Wilmington
State	Delaware
Zipcode	19801
Contact Name	Russell Oathout
Telephone	3024980959
Fax	3024980969
E-mail	tcussb86@aol.com
Website	

Union Name	International Brotherhood of Electrical Workers
-------------------	--

Address 1	900 Seventh Street NW
Address 2	
City	Washington
State	DC
Zipcode	20001
Contact Name	Jim Meyer
Telephone	(202) 728-6069
Fax	(202) 728-6097
E-mail	railroad@ibew.org
Website	

Part 2: Application Information

Title: Section 5311 Program Funding FFY 2020 & 2021

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CT-2022-016-00	Final Concurrence / Reservation	Grant	3/31/2022	3/31/2022	No

Application Executive Summary

Purpose: The Connecticut Department of Transportation is requesting FFY 2020 & 2021 Section 5311 program funds in the amount of \$7,034,027 (federal FY 20-\$3,508,399 and FY 21-\$3,525,628), \$11,729,560 (total) for capital, operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

Activities to be Performed:

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

Capital projects include the purchase of thirteen (13) small buses, security cameras, computer hardware and software, bus shelters, and other miscellaneous support equipment.

Funds will also be used for comprehensive transit planning activities as identified in the attached Unified Planning Work Programs.

The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5311 funding. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

Expected Outcomes:

These projects support the rural transit program in the State of Connecticut and provide continued service

to the State's residents.

Intended Beneficiaries:

Five rural transit districts and two MPOs will receive funds that will benefit residents living in rural areas of Connecticut.

- Estuary Transit District - Chester, Clinton, Deep River, Durham, Essex, East Haddam, Haddam, Killingworth, Lyme, Old Lyme, Old Saybrook, and Westbrook
- Middletown Area Transit District – East Hampton and Portland
- Northeastern CT Transit District - Brooklyn, Canterbury, Killingly, Putnam, Thompson, Eastford, Plainfield, Pomfret, Woodstock, and Union
- Northwestern CT Transit District - Torrington, Winsted and Litchfield Barkhamsted, Canaan, Falls Village, Sharon, Salisbury, Colebrook, Cornwall, - Goshen, Harwinton, Kent, Warren, Litchfield, Morris, New Hartford, Norfolk, Torrington, Winchester & Winsted
- Windham Region Transit District – Ashford, Chaplin, Columbia, Coventry, Lebanon, Mansfield, Scotland, Willington and Windham
- Northeastern Connecticut Council of Governments (NECCOG)
- Northwest Hills Council of Governments (NHCOG)

Subrecipient Activities:

- Estuary Transit District - Three (3) small buses, miscellaneous support equipment, operating assistance
- Middletown Area Transit District – Operating assistance
- Northeastern CT Transit District - Six (6) small buses, miscellaneous support equipment, operating assistance
- Northwestern CT Transit District - Two (2) small buses, miscellaneous support equipment, operating assistance
- Windham Region Transit District – Two (2) small buses, miscellaneous support equipment, operating assistance
- Northeastern Connecticut Council of Governments (NECCOG) - Comprehensive transit planning
- Northwest Hills Council of Governments (NHCOG) - Comprehensive transit planning

The Program of Projects file is attached in the Project Information section of this application.

All projects associated with this grant are included on pages 12 & 13 of the 2022 STIP under Federal Aid Code (FA Code) 5311 which was most recently changed via STIP Administrative Amendment 4/13/2022.

CTDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	(617) 494-2484
Michael	Klick	Associate Accountant	michael.klick@ct.gov	860-594-2815
Veronica	Pelt	Transportation Program Specialist	Veronica.Pelt@dot.gov	(212) 668-2184

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,034,027
Local			\$1,331,797
Local/In-Kind			\$0
State			\$3,363,736
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,729,560

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2022-016-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,288,000.00	\$322,000.00	\$1,610,000.00	13
CT-2022-016-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$1,288,000.00	\$322,000.00	\$1,610,000.00	13
CT-2022-016-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$636,000.00	\$159,000.00	\$795,000.00	0
CT-2022-	11.42.20 Purchase	\$636,000.00	\$159,000.00	\$795,000.00	0

016-01-00		Miscellaneous Equipment				
CT-2022-016-01-00	600-00 (600-A3)	OTHER PROGRAM COSTS	\$4,873,259.00	\$4,214,533.00	\$9,087,792.00	0
CT-2022-016-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$595,726.00	\$0.00	\$595,726.00	0
CT-2022-016-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$4,193,533.00	\$4,193,533.00	\$8,387,066.00	0
CT-2022-016-01-00	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$84,000.00	\$21,000.00	\$105,000.00	0
CT-2022-016-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$236,768.00	\$0.00	\$236,768.00	0
CT-2022-016-01-00	43.50.01	TRAINING	\$195,000.00	\$0.00	\$195,000.00	0
CT-2022-016-01-00	43.50.02	TECHNICAL ASSISTANCE	\$41,768.00	\$0.00	\$41,768.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Section 5311 Program Funding FFY 20 & 21

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CT-2022-016-01-00	1334-2022-7-P1	3/31/2022	12/23/2021	12/31/2027

Project Description

The Connecticut Department of Transportation is requesting FFY 2020 & 2021 Section 5311 program funds in the amount of \$7,034,027 (federal FY 20-\$3,508,399 and FY 21-\$3,525,628), \$11,729,560 (total) for capital, operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

The 5 Rural Transit Operators provide transit services in the following areas:

Estuary Transit District - Chester, Clinton, Deep River, Durham, Essex, East Haddam, Haddam, Killingworth, Lyme, Old Lyme, Old Saybrook, and Westbrook

Middletown Area Transit District - East Hampton and Portland

Northeastern CT Transit District - Brooklyn, Canterbury, Killingly, Putnam, Thompson, Eastford, Plainfield, Pomfret, Woodstock, and Union

Northwestern CT Transit District - Torrington, Winsted and Litchfield Barkhamsted, Canaan, Falls Village, Sharon, Salisbury, Colebrook, Cornwall, Goshen, Harwinton, Kent, Warren, Litchfield, Morris, New

Hartford, Norfolk, Torrington, Winchester & Winsted
 Windham Region Transit District – Ashford, Chaplin, Columbia, Coventry, Lebanon, Mansfield, Scotland, Willington and Windham

Project Benefits

These projects support the rural transit program in the State of Connecticut and provide continued service to the State's residents.

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5311 funding. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

Additional Information

None provided.

Location Description

Locations across the State of Connecticut.

Project Location (Urbanized Areas)

UZA Code	Area Name
090000	Connecticut

Congressional District Information

State	District	Representative
Connecticut	1	John B Larson
Connecticut	2	Joe Courtney
Connecticut	3	Rosa L DeLauro
Connecticut	4	James A Himes
Connecticut	5	Jahana Hayes

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,034,027
Local			\$1,331,797
Local/In-Kind			\$0
State			\$3,363,736
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,729,560

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2022-016-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,288,000.00	\$322,000.00	\$1,610,000.00	13
CT-2022-016-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$1,288,000.00	\$322,000.00	\$1,610,000.00	13
CT-2022-016-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$636,000.00	\$159,000.00	\$795,000.00	0
CT-2022-016-01-00	11.42.20 Purchase Miscellaneous Equipment	\$636,000.00	\$159,000.00	\$795,000.00	0
CT-2022-016-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$4,873,259.00	\$4,214,533.00	\$9,087,792.00	0
CT-2022-016-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$595,726.00	\$0.00	\$595,726.00	0
CT-	30.09.01 UP TO 50%	\$4,193,533.00	\$4,193,533.00	\$8,387,066.00	0

2022-016-01-00	FEDERAL SHARE					
CT-2022-016-01-00	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$84,000.00	\$21,000.00	\$105,000.00	0
CT-2022-016-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$236,768.00	\$0.00	\$236,768.00	0
CT-2022-016-01-00	43.50.01	TRAINING	\$195,000.00	\$0.00	\$195,000.00	0
CT-2022-016-01-00	43.50.02	TECHNICAL ASSISTANCE	\$41,768.00	\$0.00	\$41,768.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Funds in the amount of \$4,193,533 (federal); \$8,387,066 (total) for the operating assistance for the Rural Transit Operators including Estuary Transit District, Middletown Area Transit District, Northeastern CT Transit District, Northwestern CT Transit District and Windham Region Transit District. The local funding source is from the State of Connecticut Legislative Appropriation.

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist. Operating assistance will run for 2.5 years from SFY 2024 - SFY 2026.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,193,533
Local			\$1,321,297

Local/In-Kind		\$0
State		\$2,872,236
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$8,387,066

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2024	Beginning of transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of vehicles.
End Date	6/30/2026	Completion of operating assistance activities.

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	13

Extended Budget Description

Funds in the amount of \$1,288,000 (federal); \$1,610,000 (total) for the purchase of 13 replacement small buses.

The vehicles being replaced have reached the end of their useful life of 4 – 5 years and/or miles (100,000 – 150,000). The vehicles being replaced are medium-size light-duty transit buses and other light-duty vehicles such as vans with lifts. The average prices of new vehicles \$90,000 - \$120,000 each and the new vehicles have a useful life of 4 years or 100,000 – 150,000 miles.

Fuel type for the new vehicles is anticipated to be gasoline or electric once available. These vehicles will be purchased off the Greater New Haven Transit District bus procurement contract that is anticipated to be awarded by 6/30/22. Titles will be retained by the Transit Districts and vehicles will be operated in the respective operator's service areas.

The following rural transit districts will be purchasing vehicles:

- Estuary Transit District - 3 Small Bus
- Northeastern CT Transit District - 6 Small Buses
- Northwestern CT Transit District - 2 Small Bus
- Windham Region Transit District - 2 Small Bus

The Transit Districts will follow the disposition requirements for the vehicle(s), as outlined in circular 5010.

All vehicles will be purchased from a Transit Vehicle Manufacturer (TVM).

Vehicle replacements can be found in attachment "CT-2022-Section 5311 Grant App Bus Replacement."

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,288,000
Local			\$0
Local/In-Kind			\$0
State			\$322,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,610,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	12/23/2021	As part of the sealed bid process, CTDOT provides in-depth specifications for projects and invites contractors to bid their proposals for various projects. The sealed bids are opened at the predetermined time and recorded. A contract is awarded to the best bid. Also called invitation for bids.
Contract Award Date	6/30/2022	CTDOT's notice to the lowest responsible bidding contractor of the acceptance of the submitted bid, subject to the execution and approval by CTDOT of a contract therefor and the provision by the bidder of performance and payment bonds to secure the performance as acceptable to the Commissioner and in conformance with all applicable laws and regulations.
Initial Delivery Date	9/30/2023	First vehicle delivered.
Final Delivery Date	10/31/2023	All vehicles delivered.
Contract Completion Date	11/30/2023	As documented on the milestone table, the point at which the work under an FTA grant-funded project has materially concluded.

Budget Activity Line Item: 11.42.20 - Purchase Miscellaneous Equipment

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

The Department is requesting funds in the amount of \$636,000 (federal); \$795,000 (total) for the Rural Transit Operators to purchase support equipment. The local funding source is from the State of Connecticut Legislative Appropriation.

The equipment that is anticipated to be purchased includes but not limited to, replacing and upgrading computer hardware and software, scheduling software, security cameras, branding and marketing, bus shelters, benches and signs, office equipment and furniture, and maintenance equipment. All equipment listed above has an estimated useful life of approximately 3-5 years. All replacements are in-kind, meet all ADA requirements and are not located on properties listed on the National Register of Historic Places.

The following operators will receive funding under this line item:

- Estuary Transit District
- Northeastern CT Transit District
- Northwestern CT Transit District
- Windham Region Transit District

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$636,000
Local			\$0
Local/In-Kind			\$0
State			\$159,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$795,000

Milestone Name	Est. Completion Date	Description
Start Date	11/30/2022	Replacing and upgrading computer hardware and software, scheduling software, security cameras, branding and marketing, bus shelters, benches and signs, office equipment and furniture, and maintenance equipment.
End Date	12/31/2024	All equipment purchased and implemented.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Funds in the amount of \$595,726 (federal & total) for state administration and to provide technical support as related to the Rural program. No more than 10% of apportionment will be used on state/program administration as demonstrated in attachment CT-2022-XXX Section 5311 POP.

Funds are used for the salaries and overhead of CTDOT's personnel whose duties include administration,

planning, and oversight of the Section 5311 program. There is no plan to use State Administration funds to hire any third party contractors.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$595,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$595,726

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Start of technical support for the rural program.
End Date	12/31/2026	Completion of technical support for the program.

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	METROPOLITAN PLANNING	0

Extended Budget Description

Funds in the amount of \$84,000 (federal); \$105,000 (total) for the Northeastern Connecticut Council of Governments (NECCOG) and the Northwest Hills Council of Governments (NHCOG). The funds under this ALI will be used for planning work as specified in the attached scopes of work for the NECCOG and NHCOG agencies.

NECCOG Tasks:

- Task 1: Management of the Planning Process - 3%
- Task 2: Data Collection/Analysis, Planning and Technical Assistance - 92%
- Task 3: Public Participation - 5%

NHCOG Tasks:

- a. Regional Planning technical assistance
- b. NHCOG assistance with installation of bus signs (FY 2020) and development of bus shelter plans for the NWTG (FY 2021).
- c. Technical assistance and coordination by NWTG to member towns, the general public and others on transit matters.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$84,000
Local			\$10,500
Local/In-Kind			\$0
State			\$10,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$105,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Start of regional planning support activities.
End Date	12/31/2026	Completion of planning support activities.
Grant Activities Closeout	12/31/2027	Grant Closeout Activities Completed

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP Funds in the amount \$195,000 (federal & total) to provide training to 5 Rural Transit Operators for approved training programs and professional staff development as well as travel and hosting of professional conferences and regional meetings geared toward training and coordination.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$195,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$195,000

Milestone Name	Est. Completion	Description
----------------	-----------------	-------------

Date		
Start Date	7/1/2023	Beginning of training programs and professional staff development as well as travel and hosting of professional conferences and regional meetings geared toward training and coordination.
End Date	12/31/2026	Completion of training programs and professional staff development as well as travel and hosting of professional conferences and regional meetings geared toward training and coordination.

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP Funds in the amount \$41,768 (federal & total) for CTDOT staff to attend professional conferences enabling staff to develop and improve their skills in the areas of rural, elderly and handicap transportation.

Funds are used for Rural Transit Operators' drivers and administrative staff to attend trainings, conferences, workshops. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas. Examples of trainings provided are Defensive Driving, Driving Simulator, Customer Service, Wheelchair and Lift Securement, Passenger Service and Safety (PASS) certifications, Professional Scheduling and Dispatching, Bus Collision Investigations and various other safety and security related trainings. Funds are also used to hold Annual Connecticut Spring Conference which is attended by all transit operators in CT. Sessions are geared towards current trends and problem areas experienced by transit operators. Other conferences which rural transit operators staff attend are American Public Transportation Association (APTA) meetings, Community Transportation Association of America (CTAA) Expo, NorthEast Passenger Transportation Association (NEPTA). Funds are also used for CTDOT's staff to attend the above conferences and trainings.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$41,768
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$41,768

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Initiation of assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.
End Date	12/31/2026	Completion of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	13	\$1,288,000.00	\$1,610,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that

can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	Purchase Miscellaneous Equipment	0	\$636,000.00	\$795,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$595,726.00	\$595,726.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
------	-------------

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	0	\$84,000.00	\$105,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description

Date

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$195,000.00	\$195,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description

Date

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$41,768.00	\$41,768.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$4,193,533.00	\$8,387,066.00

Part 4: Fleet Details

Fleet Type: Light Rail

Fleet Comments

No rolling stock will be purchased in this grant.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	0
	B. Spares	0
	C. Total (A+B)	0
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0

III.	Total (I.C and II.C)	0
------	-----------------------------	---

Fleet Type: Paratransit

Fleet Comments

Fleet Status entered above is for the SEAT PARATRANSIT FLEET, the recipient of 4

		Current Value
I.	Active Fleet	
	A. Peak Requirement	3
	B. Spares	1
	C. Total (A+B)	4
	D. Spare Ratio (B/A)	33.33%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	4

Fleet Type: Commuter Rail

Fleet Comments

The New Haven Line active fleet figures represent only M2/4/6 electric vehicles. Downtime for any vehicle during the M2 Overhaul (2000-2004) is the effect of one of two programs, the M2 Overhaul Program on the fleet, or the Heavy Repair Program on individual cars.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	288
	B. Spares	56
	C. Total (A+B)	344
	D. Spare Ratio (B/A)	19.44%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	344

Fleet Type: Other

Fleet Comments

The Fleet Status entered above is for the FIXED ROUTE BUS FLEET OF THE NEW HAVEN DIVISION OF CTRTRANSIT.

While New Haven's spare ratio is higher than normal, it is trending in the right direction--down to 22.6% following this procurement from a high of 28.5%.

Factors contributing to the higher than normal ratio include the following:

- Buses are frequently requisitioned to fill in for Metro-North and Shore Line East train service.
- CTTransit is operating out of a very old facility. With current conditions of the facility many of the maintenance functions cannot be done as efficiently as compared to a more modern facility; therefore, additional spare vehicles are required.
- The New Haven Division has long peak hours; as a result, the time period between the end of the a.m. peak and the beginning of the p.m. peak is relatively short and insufficient to allow proper maintenance in between peak periods.

The Vehicles being purchased in the Section 5310 grant application that is being filed in April 2017 are not state owned vehicles.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	84
	B. Spares	24
	C. Total (A+B)	108
	D. Spare Ratio (B/A)	28.57%
II.	Inactive Fleet	
	A. Other	21
	B. Pending Disposal	0
	C. Total (A+B)	21
III.	Total (I.C and II.C)	129

Fleet Type: Fixed Route

Fleet Comments

Fleet Status: Hartford Division of CTtransit:

The Hartford Division has a fleet of 280 buses of which 36% are 12 years old or older and 29% are branded to meet the sub-fleet demands. The buses that have reached or surpassed their useful life (94, 40-ft buses) have added maintenance and longer down time which requires additional spares to ensure service demands are met. CTfastrak, a dedicated, uniquely branded fleet operating along a newly built BRT Busway, opened for service on March 28, 2015, offers 3 types of services operated with 3 types of buses. Forty-two (42) new buses were purchased exclusively for this service, and 3 pre-existing buses.

The spare ratio was also kept elevated in order to guarantee availability for service on the new CTfastrak BRT, which was instituted on March 28, 2015 and whose demand has been rapidly changing. Several buses included in the above table were re-branded during the year in order to become contingency buses for CTfastrak. As far as the Hartford Division was concerned, they became effectively part of the "inactive" fleet, as their livery was different and for start-up marketing reasons, they became unavailable for conventional Hartford Division service. Lastly, as part of the State-owned bus fleet, several buses were lent out to other State-subsidized operators for extended periods of time reducing the effective spare ratio

within the Hartford Division fleet.

An additional file containing information on the Fleet Status and Spare Ratio details for: CTTtransit Hartford Division, the CTfastrak (New Britain-Hartford), CTTtransit New Haven Division and CTTtransit Stamford Division are attached in the TEAM Fleet Status folder.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	237
	B. Spares	46
	C. Total (A+B)	283
	D. Spare Ratio (B/A)	19.41%
II.	Inactive Fleet	
	A. Other	12
	B. Pending Disposal	0
	C. Total (A+B)	12
III.	Total (I.C and II.C)	295

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Veronica Pelt

Comment Type DOL Review for Information

Date 6/13/2022

Comment Sent to DOL for informational purposes.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, CONNECTICUT DEPARTMENT OF

Recipient ID: 1334

UEI: EED8DPB3U7M8

DUNS: 807854583

Application Information

Federal Award Identification Number: CT-2022-016-00

Application Name: Section 5311 Program Funding FFY 2020 & 2021

Application Start Date: N/A

Original Award End Date: 12/31/2027

Current Award End Date: N/A

Application Executive Summary: Purpose: The Connecticut Department of Transportation is requesting FFY 2020 & 2021 Section 5311 program funds in the amount of \$7,034,027 (federal FY 20-\$3,508,399 and FY 21-\$3,525,628), \$11,729,560 (total) for capital, operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

Activities to be Performed:

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and

maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

Capital projects include the purchase of thirteen (13) small buses, security cameras, computer hardware and software, bus shelters, and other miscellaneous support equipment.

Funds will also be used for comprehensive transit planning activities as identified in the attached Unified Planning Work Programs.

The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5311 funding. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

Expected Outcomes:

These projects support the rural transit program in the State of Connecticut and provide continued service to the State's residents.

Intended Beneficiaries:

Five rural transit districts and two MPOs will receive funds that will benefit residents living in rural areas of Connecticut.

- Estuary Transit District - Chester, Clinton, Deep River, Durham, Essex, East Haddam, Haddam, Killingworth, Lyme, Old Lyme, Old Saybrook, and Westbrook
- Middletown Area Transit District – East Hampton and Portland
- Northeastern CT Transit District - Brooklyn, Canterbury, Killingly, Putnam, Thompson, Eastford, Plainfield, Pomfret, Woodstock, and Union
- Northwestern CT Transit District - Torrington, Winsted and Litchfield Barkhamsted, Canaan, Falls Village, Sharon, Salisbury, Colebrook, Cornwall, - Goshen, Harwinton, Kent, Warren, Litchfield, Morris, New Hartford, Norfolk, Torrington, Winchester & Winsted
- Windham Region Transit District – Ashford, Chaplin, Columbia, Coventry, Lebanon, Mansfield, Scotland, Willington and Windham
- Northeastern Connecticut Council of Governments (NECCOG)
- Northwest Hills Council of Governments (NHCOG)

Subrecipient Activities:

- Estuary Transit District - Three (3) small buses, miscellaneous support equipment, operating assistance
- Middletown Area Transit District – Operating assistance
- Northeastern CT Transit District - Six (6) small buses, miscellaneous support equipment, operating assistance
- Northwestern CT Transit District - Two (2) small buses, miscellaneous support equipment, operating assistance
- Windham Region Transit District – Two (2) small buses, miscellaneous support equipment, operating assistance
- Northeastern Connecticut Council of Governments (NECCOG) - Comprehensive transit planning
- Northwest Hills Council of Governments (NHCOG) - Comprehensive transit planning

The Program of Projects file is attached in the Project Information section of this application.

All projects associated with this grant are included on pages 12 & 13 of the 2022 STIP under Federal Aid Code (FA Code) 5311 which was most recently changed via STIP Administrative Amendment 4/13/2022.

CTDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$11,729,560.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$7,034,027.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$4,695,533.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$7,034,027.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$4,695,533.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,034,027
Local			\$1,331,797
Local/In-Kind			\$0
State			\$3,363,736
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,729,560

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, CONNECTICUT DEPARTMENT OF