

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2022-019-00
<b>Temporary Application Number</b>	1725-2021-6
<b>Application Name</b>	CRRSAA - FY 2021 Section 5311 Formula - Operating Assistance and State Administration for AKDOT&PF
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION AND PUBLIC FACILITIES, ALASKA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1725	State Agency	ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES		809387434

Location Type	Address	City	State	Zip
Headquarters	CAPITOL BLDG FL 3	JUNEAU	AK	99811
Physical Address	3132 CHANNEL DR	JUNEAU	AK	99801
Mailing Address	PO BOX 112500	JUNEAU	AK	99801

### Union Information

<b>Union Name</b>	AFSCME
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Address 1	1625 L Street NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Gerald McEntee
Telephone	2024291000
Fax	2024291293
E-mail	kkorpi@afscme.org
Website	www.afscme.org/

<b>Union Name</b>	<b>Alaska Public Employees Association</b>
Address 1	211 4th Street, Suite 306
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	bludwig@apea-aft.org
Website	www.apea-aft.org/

<b>Union Name</b>	<b>Confidential Employees Association</b>
Address 1	211 4th Street
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	cea@apea-aft.org
Website	www.apea-aft.org/

<b>Union Name</b>	<b>InlandBoatmans Union National Office</b>
Address 1	1711 West Nickerson ST Suite D
Address 2	
City	Seattle
State	Washington
Zipcode	98111
Contact Name	David Freiboth

Telephone	2062846001
Fax	2062845043
E-mail	david.ibu@mindspring.com
Website	www.ibu.org/
<b>Union Name</b>	<b>International Org of Masters, Mates and Pilots International HQ</b>
Address 1	700 Maritime BLVD
Address 2	
City	Linthicum Heights
State	Maryland
Zipcode	21090
Contact Name	Timothy Brown
Telephone	4108508700
Fax	4108500973
E-mail	president@bridgedeck.org
Website	www.bridgedeck.org/
<b>Union Name</b>	<b>Laborers International Union of North America</b>
Address 1	905 16th ST NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20006
Contact Name	Terence OSullivan
Telephone	2027378320
Fax	2027372754
E-mail	communications@liuna.org
Website	www.liuna.org/
<b>Union Name</b>	<b>MARINE ENGINEERS BENEFICIAL ASSOCIATION</b>
Address 1	444 North Capitol St., NW, Sui
Address 2	
City	Washington
State	District of Columbia
Zipcode	20001
Contact Name	Ron Davis
Telephone	2026385355
Fax	2026385369
E-mail	mebahq@d1meba.org
Website	www.d1meba.org
<b>Union Name</b>	<b>Public Employees Local 71 AFL-CIO Anchorage Office</b>
Address 1	2510 Arctic Boulevard

Address 2	
City	Anchorage
State	Alaska
Zipcode	99530
Contact Name	Jim Ashton
Telephone	9072767211
Fax	9072797171
E-mail	tracy@local71.com
Website	ak.afcio.org/anchorageclc
<b>Union Name</b>	<b>Public Employees Local 71 AFL-CIO Juneau Office</b>
Address 1	710 West 9th St
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Tom Brice
Telephone	9075866993
Fax	9075865757
E-mail	tom@local71.com
Website	www.ak.afcio.org/12

## Part 2: Application Information

### Title: CRRSAA - FY 2021 Section 5311 Formula - Operating Assistance and State Administration for AKDOT&PF

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2022-019-00	Final Concurrence / Reservation	Grant	8/31/2021	8/31/2021	No

#### Application Executive Summary

##### START INITIAL APPLICATION (00)

This grant application uses FY 2021 Section 5311 CRRSAA funds in the amount of \$7,079,237 (representing 13.84% of the total allocation) for operating assistance and state administration to prevent, prepare for, and respond to coronavirus. The remaining \$48,000,200 (representing 86.16% of the total allocation) was previously applied for by the Alaskan Marine Highway System under grant application AK-2021-038-00 for a total of \$55,079,437 (representing 100% of the State of Alaska allocation).

#### SPECIAL LANGUAGE FOR FURLOUGH CERTIFICATION

AKDOT&PF certifies that it and any subrecipient or contractor that is a provider of public transportation: (a) are currently not furloughing any employees; (b) have, to the maximum extent possible, brought back any employees previously furloughed as a direct result of financial challenges caused by the COVID-19 public health emergency; and (c) have rehired, or posted to rehire, any positions of employees who were

laid off as a result of financial challenges caused by the COVID-19 public health emergency

#### **SPECIAL CONDITION FOR EMERGENCY RELATED FUNDING**

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

END INITIAL APPLICATION (00)

#### **PURPOSE:**

The application scope of work includes operating assistance to aid the rural public transportation providers statewide in returning to and maintaining the pre-COVID level of services, as well as meeting the post-COVID ongoing challenges.

#### **ACTIVITIES TO BE PERFORMED:**

FY2021 Section 5311 CRRSAA Funds will be used for rural and intercity operating assistance.

#### **EXPECTED OUTCOMES:**

This project will provide continued operation of public transportation services statewide.

#### **INTENDED BENEFICIARIES:**

Beneficiaries include public transportation providers in Bethel, Sitka, Kenai, Juneau, Girdwood, Craig, Ketchikan, Kodiak, Gakona and Mat-Su.

#### **SUBRECIPIENT ACTIVITIES:**

Activities will be operating assistance to support the continued operation of public transportation.

#### **Frequency of Milestone Progress Reports (MPR)**

Annual

#### **Frequency of Federal Financial Reports (FFR)**

Annual

#### **Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

#### **Pre-Award Authority**

This award is using Pre-Award Authority.

#### **Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

#### **Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

#### **Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Federal Highway Administration approved the Alaska Department of Transportation and Public Facilities (AKDOT&PF) FY 2022 indirect cost rate of 6.78% on 8/31/2021 and a copy of the approved indirect cost rate letter is on file with FTA.

#### **Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

#### **Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Meleta	Winn	Grant Administrator	meleta.winn@alaska.gov	(907) 465-2780
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$7,709,237
Local			\$0
Local/In-Kind			\$0
State			\$192,732
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,901,969</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2022-019-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$6,812,036.00	\$0.00	\$6,812,036.00	0
AK-2022-019-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY21 CRRSAA 5311 100:0)(00)	\$6,812,036.00	\$0.00	\$6,812,036.00	0
AK-2022-019-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$770,924.00	\$192,732.00	\$963,656.00	0
AK-2022-019-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION DIRECT (FY21 CRRSAA 5311 80:20)(00)	\$248,238.00	\$62,060.00	\$310,298.00	0

AK-2022-019-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION - Indirect (FY21 CRRSAA 5311 80:20)(00)	\$522,686.00	\$130,672.00	\$653,358.00	0
AK-2022-019-01-00	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$126,277.00	\$0.00	\$126,277.00	0
AK-2022-019-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY21 Rural CRRSAA Act 100:0)(00)	\$126,277.00	\$0.00	\$126,277.00	0

### Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: CRRSAA - FY 2021 Section 5311 Formula - Operating Assistance & State Administration for the AKDOT&PF

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2022-019-01-00	1725-2021-6-P1	8/31/2021	7/1/2021	6/30/2023

#### Project Description

This project uses FY 2021 Section 5311 CRRSAA funds in the amount of \$7,079,237 (representing 13.84% of the total allocation) for operating assistance and state administration to prevent, prepare for, and respond to coronavirus. The remaining \$48,000,200 (representing 86.16% of the total allocation) was previously applied for by the Alaskan Marine Highway System under grant application AK-2021-038-00 for a total of \$55,079,437 (representing 100% of the State of Alaska allocation).

#### Project Benefits

The benefits of this project will be providing emergency relief funds to subrecipients affected by the COVID-19 pandemic by providing continued operation of public transportation.

#### Additional Information

*None provided.*

#### Location Description

Locations for subrecipient projects will take place in various rural State of Alaska locations, as provided in the attached Program of Projects.

### Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

## Congressional District Information

State	District	Representative
Alaska	1	Don Young

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: Per the CRRSAA, the projects in this application are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$7,709,237
Local			\$0
Local/In-Kind			\$0
State			\$192,732
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,901,969</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2022-019-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$6,812,036.00	\$0.00	\$6,812,036.00	0
AK-2022-019-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100%	\$6,812,036.00	\$0.00	\$6,812,036.00	0



Fed Share (FY21 CRRSAA 5311 100:0)(00)						
AK-2022-019-01-00	610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$770,924.00	\$192,732.00	\$963,656.00	0
AK-2022-019-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION DIRECT (FY21 CRRSAA 5311 80:20)(00)	\$248,238.00	\$62,060.00	\$310,298.00	0
AK-2022-019-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION - Indirect (FY21 CRRSAA 5311 80:20)(00)	\$522,686.00	\$130,672.00	\$653,358.00	0
AK-2022-019-01-00	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$126,277.00	\$0.00	\$126,277.00	0
AK-2022-019-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY21 Rural CRRSAA Act 100:0)(00)	\$126,277.00	\$0.00	\$126,277.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY21 Rural CRRSAA Act 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

This activity line item makes use of FY 2021 CRRSAA Section 5311 funds in the amount of \$126,277 for activities pertaining to inter-city operating assistance. The federal-to-local match ratio is operating assistance 100:0.

Gulkana - \$126,277

### STIP

This Project includes only operating assistance. Per CRRSAA Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is

needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$126,277
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$126,277</b>

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	7/1/2021	
End Operating Assistance	6/30/2023	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY21 CRRSAA 5311 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

This activity line item makes use of FY 2021 CRRSAA Section 5311 funds in the amount of \$6,812,036 for operating assistance. The federal-to-local match ratio is 100:0.

- City of Bethel - \$251,860
- Center for Community - \$217,169
- CARTS - \$771,540
- City and Borough of Juneau - \$1,026,177
- Glacier Valley Transit - \$359,405
- Inter-Island Ferry Authority - \$1,026,177
- Ketchikan Gateway Borough - \$1,026,177
- Senior Citizens of Kodiak - \$441,276
- Sunshine Transit - \$666,078
- Valley Transit - \$1,026,177

**STIP**

This Project includes only operating assistance. Per CRRSAA Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is

needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$6,812,036
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,812,036</b>

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	7/1/2021	
End Operating Assistance	6/30/2023	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION DIRECT (FY21 CRRSAA 5311 80:20)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

This activity line item utilizes FY2021 CRRSAA Section 5311 funds in the amount of \$248,238 for direct State Administration of the 5311 rural public transit program. The federal-to-local match ratio is 100:0; however, the State of Alaska is implementing a 20% match providing additional funds for costs of administering the grant. Match will be provided through state general funds.

This activity includes only operations-related state administration costs, with no substantial functional, location, or capacity change. Therefore, per CRRSAA Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$248,238
Local			\$0
Local/In-Kind			\$0

State			\$62,060
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$310,298</b>

Milestone Name	Est. Completion Date	Description
Start State Administration - Direct	7/1/2022	
End State Administration - Direct	6/30/2023	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION - Indirect (FY21 CRRSAA 5311 80:20)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

This activity line item utilizes FY 2021 CRRSAA Section 5311 funds in the amount of \$522,686 for indirect State Administration for the 5311 rural public transit program. The federal-to-local match ratio is 100:0; however, the State of Alaska is implementing a 20% match providing additional funds for costs of administering the grant. Match will be provided through state general funds.

The Federal Highway Administration approved the Alaska Department of Transportation and Public Facilities (AKDOT&PF) FY 2022 indirect cost rate of 6.78% on 8/31/2021 and a copy of the approved indirect cost rate letter is on file with FTA.

**PLANNING**

This activity includes only operations-related state administration costs, with no substantial functional, location, or capacity change. Therefore, per CRRSAA Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$522,686
Local			\$0
Local/In-Kind			\$0
State			\$130,672
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$653,358</b>

Milestone Name	Est. Completion Date	Description
Start State Administration - Indirect	7/1/2021	
End State Administration - Indirect	6/30/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Annette McKelvey

**Comment Type** DOL Review for Information

**Date** 6/28/2022

**Comment** The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us

of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Application Review Comments

**Comment By** Annette McKelvey

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Comment Type Pre-Award Manager Returns Application

Date 9/23/2021

Comment Returning for edits to be processed next federal fiscal year